

Arizona State Treasurer's Office
FMS RFI



DEAN MARTIN, STATE TREASURER

**Arizona State Treasurer's Office (ASTO)
financial management system, general ledger and
related information technology applications (FMS)
Request for Information
(RFI)**

**Arizona State Treasurer's Office
FMS RFI**

INSTRUCTIONS TO PROSPECTIVE OFFERORS

We request that Offerors' responses to the Request for Information (RFI) be submitted to by 3:00 p.m. MST, May 7, 2008. E-mail soft-copy and mail one-hard copy of all response files to:

*Klint Tegland, Deputy Treasurer – Operations
Office of the Arizona State Treasurer
1700 W. Washington 1st Floor
Phoenix, Arizona 85007
Phone: (602) 604-7800
E-Mail: KlintT@aztreasury.gov*

Questions regarding any aspect of this acquisition should be emailed to KlintT@aztreasury.gov. Questions and/or responses may be posted on the Arizona State Treasurer's Office (ASTO) website, <http://www.aztreasury.gov/>, at the ASTO's discretion. No pre-RFI conference is planned.

We request that all RFI responses be provided in the following two parts:

I. Cover Letter

The cover letter - include the following information:

- Company name
- Company point of contact with email address and telephone number
- Date submitted

II. RFI Questionnaire Response

Respond in the provided Question/Answer format for each question in the RFI Questionnaire section. The ASTO recognizes that potential responders may only have information pertaining to a portion of a FMS's goals but that this limited, "best of breed," information could still be of high quality and significant value to the ultimate common solution for the FMS. Therefore, while the ASTO seeks to define a common solution that addresses the entire FMS, it does not discourage partial responses. If you choose not to respond to a question, indicate "no response" and identify the rationale.

We suggest you limit your response to be no more than 100 total single-sided pages with print no smaller than 12 point. We request that you provide sufficient detail and clarity to assist our assessing your solution approach. All additional marketing material and reference material may be placed in appendices. Please respond electronically in Microsoft Word 2003 (or excel) or later. Marketing materials may be provided in PDF format.

Arizona State Treasurer's Office
FMS RFI

INTRODUCTION

The ASTO seeks information related to internal business consolidation efforts. The objective of this initiative is to establish common solution and target architecture approaches that identify systems, best practices, migration strategies and key interfaces to develop common business process and system solutions. The current system is a “home grown” application developed in Microsoft Visual FoxPro.

This is a RFI issued solely for information and planning purposes. This document does not constitute a Request for Proposal (RFP). The FMS Task Force intends to assess the capabilities and issue a RFP at a later date. Responses to this RFI will not affect a potential offeror's ability to respond to any RFP that might follow. Acquisition is currently planned to begin in FY 2009. The ASTO seeks to implement such a system to facilitate its banking and financial management functions. The system described as FMS will provide such capability.

Please ensure that any sensitive or protected information is marked as such. All information submitted shall become the property of the ASTO and is subject to the public disclosure laws of the state of Arizona. All submitted information not marked as sensitive or proprietary will be available for public review upon request.

MANAGEMENT SUMMARY

The State Treasurer serves as the Chief Financial Officer for the State of Arizona, overseeing nearly \$12.7 billion in assets under management. The Treasurer is responsible for the prudent custody and management of Arizona tax dollars.

The State Treasurer is the trustee and manages nearly \$2.6 billion of investments for the Arizona State Land Endowment Trust. There are 13 different investment pools for the endowment; the largest is the Public Common School Fund benefiting education. Millions of dollars each year are distributed directly to classrooms around Arizona as a result of our investments.

Overview

The State Treasurer also provides investment services to local governments (counties, cities, towns, etc). Local governments may use the State Treasurer as an investment manager. By pooling their deposits with the State Treasurer, we can earn higher returns for both the State and local governments. We also provide same-day liquidity, and save local governments the cost of operating a duplicate trading room and investment accounting operation. Currently, we manage nearly \$5.4 billion for local governments in Arizona.

The Treasurer's office has three separate accounting divisions to track deposits, investments, transfers, and distributions. The Treasurer's office also has audit authority over all state finances. Every dollar deposited earns investment income; revenue from non-interest earning eligible accounts is deposited in the General Fund.

Arizona State Treasurer's Office

FMS RFI

The Treasurer is the bank for state government. We reconcile approximately \$300 million dollars of transactions each day (credits and debits). Our office pays all warrants for the State of Arizona, as well as wire transfers. We manage the state's cash flow and daily forecast future cash needs. We also contract with armored car service to pick up deposits directly from state agencies and branch offices across Arizona so that tax money is deposited, invested, and earning interest the same day.

The ASTO is looking to improve its operating efficiency by continuing to streamline policies and procedures, upgrading its technology and communication systems, and assuring adequate levels and allocation of human resources to be able to meet growing demands for its services.

STRATEGIC ISSUES

Strategic Issue 1 – Efficient Delivery of Services

Current ASTO procedures for financial management services and related information technology (IT) applications (FMS) generally are labor-intensive and aging. To the extent possible under statute, and with respect for the legal requirements to ensure due process, the ASTO will identify and implement ways to streamline and simplify these operational processes to serve the ASTO sister government entities and the public in a timelier manner.

Strategic Issue 2 – Technology Resources

Based on the ASTO's recently completed business technology assessment, the ASTO will develop an implementation plan to replace its existing information technology (IT) systems, additionally providing opportunities for re-engineering appropriate business processes throughout all areas of the ASTO. Implementation and integration of these systems will provide faster, more efficient customer service to government entities, and the public, as well as enhance staff efficiency by reducing duplication of effort and shortening time spent on various data-gathering, operational and verification tasks.

Strategic Issue 3 – Business Continuity Plan

In the event of emergency or disaster conditions resulting from natural, technological, or human-caused conditions, it is likely that the recovery period will involve a significant response effort. It is therefore critical that the ASTO review, update, and continue to maintain its Business Continuity Plan (BCP) to ensure that it will facilitate that effort, including having the appropriate technology to ensure that ASTO employees will be able to work, communicate with individuals inside and outside the ASTO, and access ASTO data from a variety of alternative remote locations.

PURPOSE

The purpose of this RFI is to provide industry service providers with a vehicle to describe solutions and implementation approaches for achieving the goals of the three strategic

Arizona State Treasurer's Office

FMS RFI

issues (as indicated above) through delivery of industry-standard solutions that are compliant with Arizona target architectures. This will enable the ASTO and its partners to incorporate strategies, alternatives, and experiences, representing best practices in developing and implementing transformational common solutions and a target enterprise architecture, into implementation strategies for the FMS. The ultimate goal is to develop and implement common solutions for the ASTO that provides capabilities that achieve the FMS objectives.

The intent for the RFI response is to:

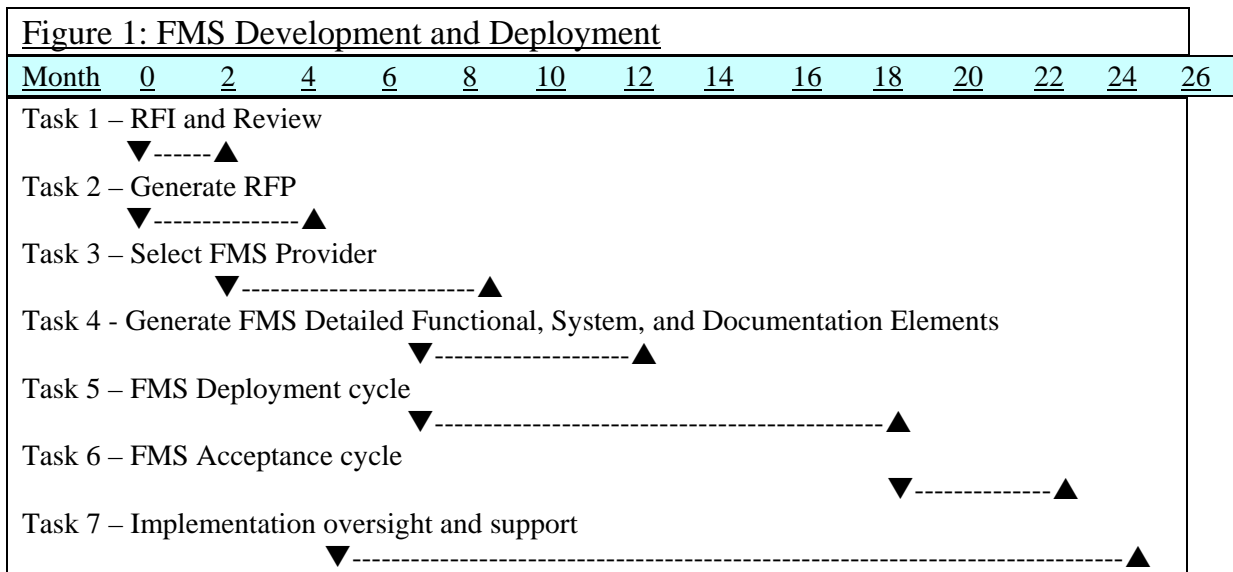
- Identify solutions that address FMS vision and goals.
- Be structured so that its results can be used to develop the FMS common solution and target architecture.

SCOPE

The RFI solicits information regarding the Common Solution and Target Architecture for each of the following business areas:

- **Strategic Issue 1 – Efficient Delivery of Services,**
- **Strategic Issue 2 – Technology Resources, and**
- **Strategic Issue 3 – Business Continuity Plan.**

The FMS Task Force (composed of ASTO and participating ASTO partners) intends to identify a common solution, develop target architecture, and develop an implementation strategy by September 2008. The high-level timeline, illustrated in Figure 1, provides the context of this RFI activity.



**Arizona State Treasurer's Office
FMS RFI**

BACKGROUND

The FMS Task Force is focused on a business-driven, common solution and target architecture developed through architectural processes. The definitions of these key characteristics of the FMS approach include:

- ***Common Solution*** – End-to-end shared service capabilities needed to achieve the FMS Statement of Objectives through a Target Architecture that includes business process, technology solutions, and data standardization.
- ***Target Architecture*** – End state toward which all efforts are directed; it is the architecture which integration efforts will be directed.
- ***Business Driven (vs. Technology Driven)*** – Solutions address distinct business improvements that directly impact FMS performance goals.
- ***Developed Through Architectural Processes*** – Solutions are developed through a set of common and repeatable processes and tools with a growing future emphasis on service oriented architecture.

Refer to the State of Arizona, GITA Policy P-100 (http://azgita.gov/policies_standards/html/p100_information_technology_policy.htm) for additional background information, references and a glossary with acronyms and terms used in this RFI.

FMS STATEMENT OF OBJECTIVES (SOO)

Strategic Issue #1– Efficient Delivery of Services

To the extent possible under statute, and with respect for the legal requirements to ensure due process, the ASTO will identify and implement ways to streamline and simplify operational processes for financial services relating to custody, management and investment services for Arizona government entities and citizens in a timelier manner.

Goal

Maintain high level and quality of service for financial services relating to custody, management and investment services, for Arizona government entities and citizens, in the face of increased demand.

Performance Measures

1. Decreased start-to-finish times for processing and tracking of operational processes of financial services provided to Arizona government entities and citizens.
2. Increase in efficiency in the investment of Arizona government entity resources by ASTO.

Arizona State Treasurer's Office

FMS RFI

3. Increase in Arizona government entity usage of online services provided by ASTO.

Strategies

1. Expand and complete the re-thinking of major business processes, as they are impacted by the next generation of automation.
2. Assess the ASTO's organization structure with regard to alignment of operational processes of financial services relating to custody, management and investment services for Arizona government entities and citizens – particularly with regard to empowering ASTO personnel by both providing them with more data and enabling them to react quickly and precisely to their customer needs.
3. Survey Arizona government entities and citizens to evaluate the ASTO's performance and provide input for better customer service.

Strategic Issue #2 – Update Technology Resources

Based on the ASTO's recently completed business technology assessment, the ASTO will develop an implementation plan to replace its financial management system.

The financial management system upgrade is necessary to migrate ASTO's data system from its current, internally developed, financial management environment to a state-of-the-art relational model that facilitates the generation of complex reports, the sharing of data with ASTO customers through use of Services Oriented Architecture constructs, and streamlined operational processes. Implementation and integration of these systems will provide faster, more efficient customer service, as well as enhance ASTO staff efficiency by reducing duplication of effort and shortening time spent on various data-gathering, processing and verification tasks.

It is important to note that the technology will not dictate the manner in which the ASTO business is conducted. However, to most effectively fulfill the ASTO's business objectives in light of the technology directions being considered, all business processes are open for re-examination.

Goal

Improve internal operational efficiency; enable timely generation of reports, electronic sharing of data, and exchange of information with other Arizona public-sector entities; support the ASTO's Disaster Recovery Planning for the IT portion of the Business Continuity Plan; and serve the public in a timely and professional manner.

Performance Measures

1. Physical and/or functional replacement of existing financial management system hardware/software.

Arizona State Treasurer's Office

FMS RFI

2. Reduced time spent on repetitive and/or manual tasks in the financial management system processes.
3. Reduction of labor-intensive operations typically required for the existing financial management system to generate reports or share data and provide related services to ASTO customers.

Strategies

1. Adopt a new financial management system enabling IT architectural alignment, integration with newer technologies and applications, and timely professional service delivery of ASTO services to Arizona government entities and citizens.
2. Plan for eventual out-tasking of system maintenance, wherever practicable, to ensure that responsibilities are handled by the most experienced, best qualified people without being subject to the personnel turnover particularly common in the technology industry.
3. Adopt and deploy appropriate Internet-centric outlets providing direct online access to the ASTO's services and information, in order to extend Internet-based online customer services.

The objective of this ASTO technology update project is to address the critical need for the ASTO to advance its ability to efficiently manage its daily activities through the use of automation that will enable ASTO to move toward a comprehensive, integrated paperless system with distributed results reporting, thereby strengthening the foundation of the ASTO's ability to serve its Arizona stakeholders.

The proposed system is a comprehensive; vendor developed and supported financial management system that will meet the needs of the ASTO within the Arizona Enterprise Architecture framework. ASTO will decrease reliance on paper-based procedures to perform core business functions. ASTO will also focus to deployment of multi-channel user-access interfaces, accessing and updating a central database as the primary means for obtaining and conveying critical information to our stakeholders.

Strategic Issue #3 –Business Continuity Plan

In the event of emergency or disaster conditions resulting from natural, technological, or human-caused conditions, it is likely that the recovery period will involve a massive effort to construct and rehabilitate buildings and infrastructure. It is therefore critical that the ASTO review, update, and continue to maintain its Business Continuity Plan (BCP) to ensure that it will facilitate that effort, including having the appropriate technology to ensure that ASTO employees will be able to work and communicate with individuals inside and outside the ASTO, and access ASTO data from a variety of alternate remote locations if necessary.

**Arizona State Treasurer's Office
FMS RFI**

Goal

In case of emergency or disaster conditions, limit injuries to staff, provide responsive financial resources and guidance to ASTO customers, and restore resources and services necessary to operate the ASTO's critical, essential, and administrative business functions provided to Arizona government entities and the public.

Performance Measures

1. Preparation of Emergency Response and Evacuation Plans and Test Schedule.
2. Testing of the plan.

Strategies

1. Review the ASTO's current BCP for adherence to ADEM (AZ Division of Emergency Management) guidelines and inclusion of required elements – such as an introduction, basic plan, and functional annexes – and revise as necessary.
2. Monitor and document progress in addressing the ASTO's strategic issue of technology resources and the impact on Disaster Recovery Planning (DRP) for Information Technology.
3. Enhance the ASTO's technology for remote access, a critical factor during the recovery phase because it allows staff to work from any location.
4. Establish a process-oriented approach to business continuity that includes: business impact analysis; risk assessment; risk management; and risk monitoring.

Existing Financial Management System Structure and Implementation

The existing ASTO financial management system structure is provided, in block-diagram form, in Appendix A.

MAJOR OUTCOMES

FMS DESIRES TO PROVIDE THESE DELIVERABLES:

- **Arizona Government Entities and Citizens**
 - Self-serve user enablement to both obtain information from and provide information to ASTO
 - More complete and timely financial status information
 - Faster receipt and tracking of funds
 - More timely and accurate records and results reporting
 - Improved efficiencies of processes.
- **ASTO staff**
 - General improvement in availability of decision-making data
 - Substantially reduced data entry activities – and in particular the elimination of multiple inputs

Arizona State Treasurer's Office

FMS RFI

- More flexible, efficient, and intuitive process for report generation
- More features and functionality to minimize manual processes
- Consistency of ASTO inputting and reporting requirements
- Improved ability to share data through advanced technologies
- Survivable data system that is highly available even during severe events or conditions.

The following metrics will be used to determine FMS success:

- Increased accountability to ASTO customers
- Increased ASTO efficiency and effectiveness
- Increased stakeholder responsiveness
- Regulatory requirements are satisfied.

RFI QUESTIONNAIRE

1.1. SOLUTION AND APPROACH

1. To which ASTO Strategic Issues does this response apply? (*check all that apply*)

Strategic Issue 1 – Efficient Delivery of Services	Strategic Issue 2 – Technology Resources	Strategic Issue 3 – Business Continuity Plan

2. Which operating model(s) best describe your recommended approach?

X	Operating Model
	Individual government entity operates solution(s) in government environment
	Multiple government entities operate solution(s) in government environment (cross-entity service providers)
	Government entity out sources services independently (individual buying)
	Government entities outsource services independently (bulk buying)
	Government entities outsource services as groups (consolidated solution)
	Other (<i>specify</i>)

- Why will the selected model(s) yield better value for the ASTO than the other models? Provide pricing or benchmark cost information if possible.
- How does the solution allow the ASTO to benefit from competition in the marketplace?
- What types of economies of scale will be provided by the solution? At what point (size of operations) will the economies of scale begin? Is there a point beyond which there are no further economies of scale (i.e., no further gains or risks outweigh gains)?
- Is a particular operating model or combination of operating models required to achieve economies of scale?

**Arizona State Treasurer's Office
FMS RFI**

3. Describe (and graphically depict as needed) the common solution, target architecture and implementation approach (herein referred to as your recommended “solution” or “approach”) using the EA Reference model taxonomy illustrated below. Include performance, business, service, data and technical layers. (See Arizona State Enterprise Architecture as described in Arizona Statewide Policy P700 and Software Architecture Policy P730 (<http://azgita.gov/policies>) and shall specifically adhere to the requirements of the Arizona Enterprise Architecture Target Technology Table (http://azgita.gov/enterprise_architecture/AZ_EA_Target_Technology_Table.htm)).
- a) How does your recommended solution map to the GITA Enterprise Architecture (EA) Reference Models?
- Describe the measurement areas and their associated categories that are addressed by your recommended solution. Please provide a rationale.
 - Describe the sub-functions that are addressed by your recommended solution. Please provide a rationale.
 - Describe the components that your recommended solution will use to address the sub-functions. Please provide a rationale.
 - Please describe EA standards that are specific to your recommended solution. Please provide a rationale.
 - Describe approach for implementation of the FMS architecture within the context of the EA Reference Models.
 - How does your recommended solution propose to provide breakthrough performance with regard to the EA?
 - Describe a conceptual view of the business objects that are created, used, read, or eliminated as part of the solution. Please provide a rationale.
 - Organize the response to these questions at the owner and planner view utilizing the set of perspectives as described below:
 - Planners view: Business Objects and their interactions.
 - Owners view: Graphically depict the inter-relationships between the elements described in the Planners view (i.e. which business objects are enabled by which EA components). Graphically depict the role of technology standards in supporting the elements described within the planners view.
 - Please provide any reference architectures relevant to your recommended FMS solution addressed in this response.
- b) Address the following business process/change management-related questions:
- What major process simplification/reengineering/design projects are recommended?
 - What major organization restructuring/training/change management projects are recommended?
 - With what business process and/or organizations will the proposed solution interface? Identify the business interactions between and within the FMS.
 - Please describe the respondent's approach to interpreting, translating and integrating disparate business rules and processes within the FMS.

Arizona State Treasurer's Office

FMS RFI

- Describe what the FMS will be like at some future date (e.g., in 5-years) and what kind of services would be provided in an information rich, service environment.
- c) Address the following systems-related questions (*including Appendix B*):
- What strategy would the solution use to determine and evaluate existing opportunities for reuse / sharing across the FMS (with a particular focus on financial management and human resources)?
 - Describe the approach to using Commercial-Off-The-Shelf (COTS), and/or custom-designed products. Explain the rationale for this decision and list any products that are part of the solution. Describe how the solution makes use of "open" standards. Describe how the solution envisions the development of an architecture that makes use of multiple vendor packages and is vendor neutral in nature.
 - Do the applications, hardware, software, and infrastructure that are components of your solution and approach currently exist? Are they operational and proven? (*Please detail your solution relative to the question set in **Appendix B** and include in your submittal*)
 - Describe the capacity and scalability of the hardware and infrastructure components required to support the solution and approach.
 - Describe the approach for interfacing/integrating with other applications. List all other applications that interface with the solution. Would these interfaces require any reengineering as part of the solution and approach?
 - Please describe the respondent's approach to interpreting, translating and integrating the various data types found within the FMS.
 - What types of data, data standards, and data exchange mechanisms will be used in the solution?
 - What is the approach to data conversion and data quality?
 - What application, hardware, and innovations do you see in the near future that will address the FMS Statement of Objectives?
 - Describe approach to managing technological changes. Describe approach to technology evaluation and integrating new and evolving technologies.
4. What assumptions are made about the solution and approach? Please provide this response in the following format:

Category	Assumptions
Business	▪ Bulleted list
Technology	▪ Bulleted list
Resources (Financial, Skill Mix, Level of Effort, Time, etc.)	▪ Bulleted list
Organizational & Change Management	▪ Bulleted list
Customer/Community Impacts	▪ Bulleted list
Others (<i>specify</i>)	▪ Bulleted list

Arizona State Treasurer's Office

FMS RFI

5. Describe risks **inherent to the ASTO's achieving its objectives** and how the solution and approach for delivery addresses those risks. Please classify the likelihood (i.e., chance of occurrence) and impact of risks (H=High, M=Medium, L=Low) and include mitigation strategies in the following format:

Risk	Likelihood (H, M, L)	Impact (H, M, L)	Mitigation Strategy
Business			
Technology			
Strategic			
Cost (Initial & Life-Cycle)			
Project Resources			
Schedule			
Organizational & Change Management			
Data/Information			
Privacy			
Security			
Customer/ Community			
Others (<i>specify</i>)			

6. What are the risks **associated with the solution and the approach for mitigating them**? Please classify likelihood and impact of risks (H=High, M=Medium, L=Low) and include mitigation strategies in the following format:

Risk	Likelihood (H, M, L)	Impact (H, M, L)	Mitigation Strategy

7. How does the solution and approach support the ASTO's Statement of Objectives (SOO)? If the solution and approach does NOT fully support the ASTO's stated SOO, explain the differences and the rationale(s) for those differences.
8. How does the solution and approach support the ASTO's general requirements? If the solution and approach does NOT fully support the ASTO general requirements, explain the differences and the rationale(s) for those differences.
9. Describe the success encountered in previous development and implementations of the solution and approach.
10. For examples provided in response to question #8, please provide customer information (where available) in the following format:

Item	

Arizona State Treasurer's Office
FMS RFI

Item	

11. Explain what would have been done differently based upon challenges encountered in previous implementations of the solution and approach.
12. Who are the customers for this solution and how will the solution improve service to the customer, reduce/avoid costs, and/or improve efficiencies? What is the timeframe in which service to the customer will be improved, costs reduced/avoided, and efficiencies improved?
13. Are there short-term versus long-term trade-offs inherent in the solution and approach?
14. How will migration from existing platforms to the solution be achieved, including the migration of business processes and data standards?
15. Identify barriers to migration to the solution and describe the approach to overcoming those barriers.
16. Discuss the approach to encourage usage and promote adoption of the solution.
17. Describe any alternative solutions and/or approaches considered and why they were rejected.

1.2. ACQUISITION STRATEGY

1. What are the impacts to the solution and approach if a performance-based/share-in-savings contracting approach is pursued?
2. Describe success and challenges encountered in previous uses of performance-based/share-in-savings contracts. What are the expectations in terms of incentive/disincentive provisions? For instance, what incentives/disincentives motivate industry?
3. What are the impacts to the solution and approach if a firm-fixed price contracting approach is pursued?

1.3. IMPLEMENTATION DETAILS

**Arizona State Treasurer's Office
FMS RFI**

1.3.1 Program Management

1. Describe the program management approach to providing the solution.
2. Describe the team structure and skill mix required to execute the proposed approach. Include both governmental and non-governmental resources (indicate any small business participation). Address compliance with security requirements for personnel executing the approach.

1.3.2 Cost & Timeline

1. What are the high-level cost estimates for the solution and approach? Cite previous experience and related benchmarks if possible.
2. Provide a high-level timeline for the solution and approach. Cite previous experience and related benchmarks if possible.

1.4. PERFORMANCE METRICS

1. What is the approach to performance management?
2. Based on the solution and approach, what performance metrics are recommended?
Please provide responses for the following categories:

Category	Category Description	Objective – Performance Metrics
<i>Mission and Business Result Objectives</i>	These objectives are focused on how the ASTO solution will deliver value to the citizens and stakeholders who benefit from the programs supported by the ASTO solution. These objectives include: improved program effectiveness, improved responsiveness and quality of program services, increased program capacity and increased program efficiency.	
<i>Customer Objectives</i>	These objectives are focused on how the FMS solution will satisfy the users.	
<i>Process Objectives</i>	These objectives focus on improving the operations of the processes supported by the ASTO, such as improving the management of regulatory/legislative changes, or improving customer/ASTO client management.	
<i>Financial Objectives</i>	These objectives are focused on the efficiency and cost-effectiveness of the specific functions being addressed by	

**Arizona State Treasurer's Office
FMS RFI**

Category	Category Description	Objective – Performance Metrics
	the ASTO.	
<i>Other Objectives?</i>		

1.5. SECURITY AND PRIVACY

1. How does the solution and approach meet government security requirements?
2. How does the solution ensure requisite confidentiality, integrity, availability, auditability and privacy?
3. How will the effective use of security controls and authentication tools protect privacy for those systems that promote or permit public access be ensured?
4. Describe the approach for handling personal information consistent with relevant government-wide and ASTO policies.
5. How will data privacy and security issues, to gain access to that data, be addressed?
6. Describe the approach for providing communications and database security. What tools and techniques will be used to support this?

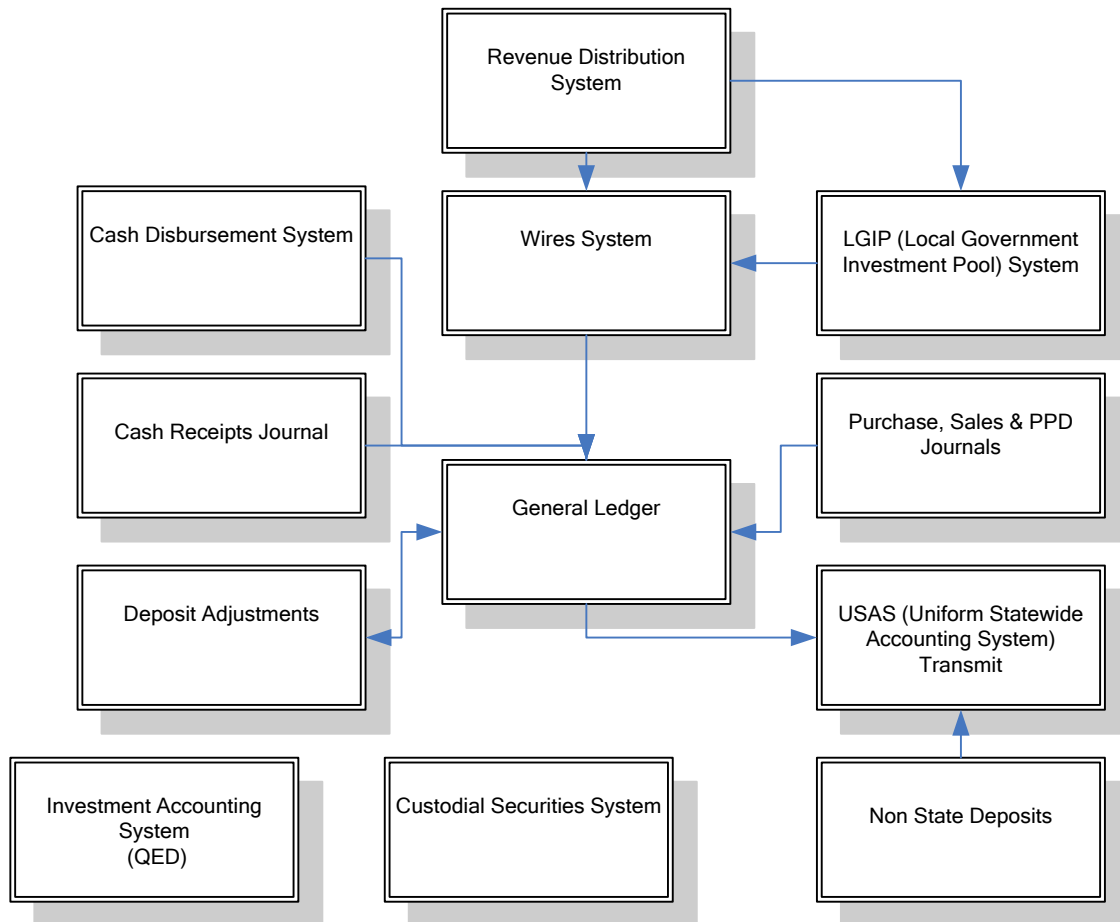
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FMS RFI

Appendices

A. ASTO current financial management automation Maps

1. Overview Diagram

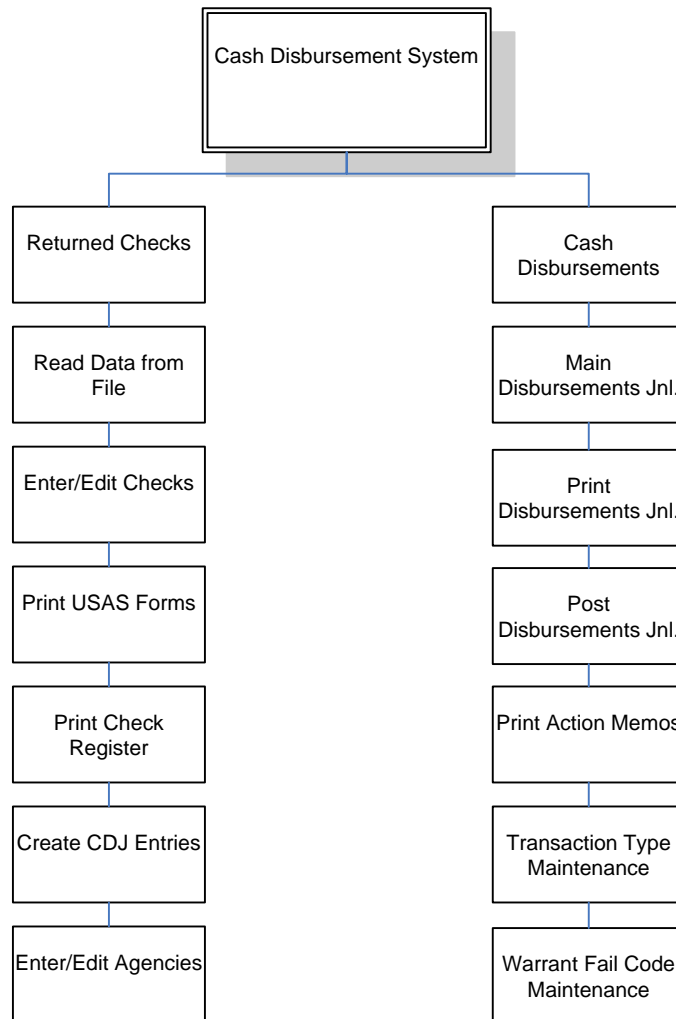
The overview diagram of the current ASTO financial management automation system is shown below.



**Arizona State Treasurer's Office
FMS RFI**

2. Constituent Diagrams

Constituent diagrams of the current ASTO financial management automation system are in the following pages. Descriptions (if applicable) are located below the screen shots and may continue on the page immediately following.



Arizona State Treasurer's Office FMS RFI

Cash Disbursements System
Returned Checks Cash Disbursements Quit

Read Data from File
Enter/Edit Checks
Print USAS Forms
Print Check Register
Create CD Entries
Enter/Edit Agencies

Bank BAI File Load

Date to load: 01/16/2008
File name to load: M:\shared\RtCheck\ERIN Report.bai2
USAS Batch #: 0
USAS Batch Date: 01/17/2008

Continue Quit

Rtdetail (Cashdisb/Rtdetail) Record: 1/35434 Record Unlocked NUM

Load returned items from Servicing Bank as well as assign USAS (Uniform Statewide Accounting System) batch number and date to the file.

Cash Disbursements System
Returned Checks Cash Disbursements Quit

Read Data from File
Enter/Edit Checks
Print USAS Forms
Print Check Register
Create CD Entries
Enter/Edit Agencies

Returned Check Entry

Bank Date: 12/28/2007 Header Id: 2506
USAS Batch Date: 12/31/2007 Forms Printed on: 01/10/2008 07:47:05 AM
USAS Batch #: 205 Transferred to USAS on: 12/31/2007 05:01:28 PM

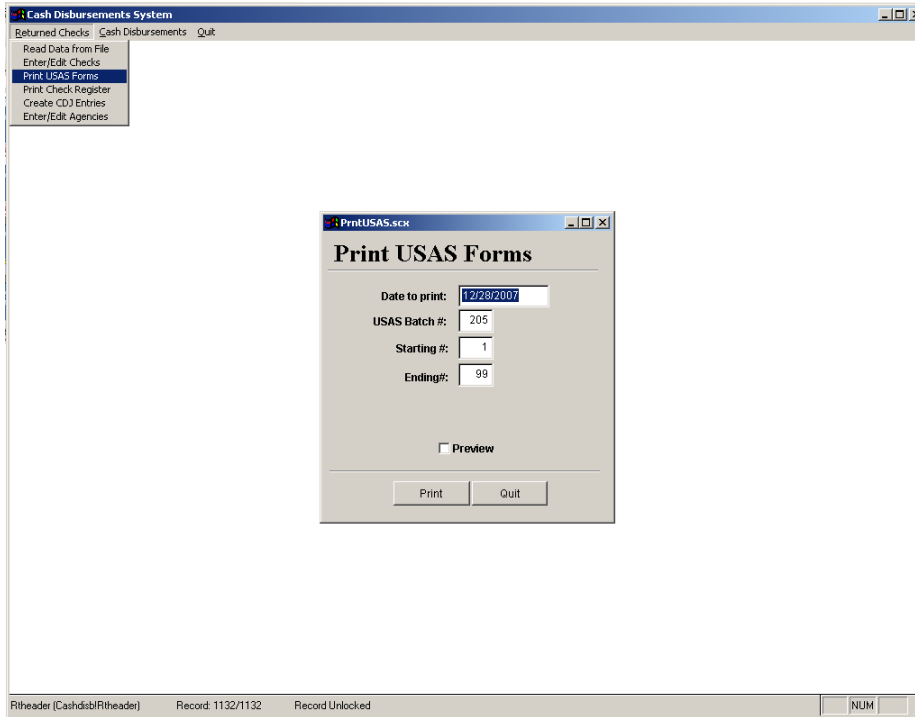
	Maker	Issued	Check#	Amount	Agency
1		12/27/2007	00000162	5.0000	DOASRP
2		12/26/2007	00001010	20.0000	AHCKID
3		12/19/2007	00020004	27.5400	REVINC
4		12/20/2007	00001198	30.0000	AHCKID
5		12/19/2007	00000125	35.0000	NURSIN
6		12/19/2007	00001003	50.0000	COSMET
7		12/19/2007	00001003	50.0000	COSMET

Top Previous Next Bottom Add Delete Proof Egt. New Quit

Rtdetail (Cashdisb/Rtdetail) Record: 35412/35434 Record Unlocked NUM

Verify information and assign debt to an agency through a code, (short name) that has an accounting string profile in USAS.

Arizona State Treasurer's Office FMS RFI



Assign document number to each item. Perform calculation to confirm totals between bank load and created documents. Print USAS documents.

The screenshot shows the 'Report Designer - usasfrm.frx - Page 1' window. The report is titled 'Arizona State Treasurer Deposit Adjustment Memo' and was generated on January 17, 2008. It includes a 'Batch Header' table and a main data table.

Batch	Header	Agcy	Date	Type	No.
TRA	12/31/2007	6	205		

Page 1 of 1

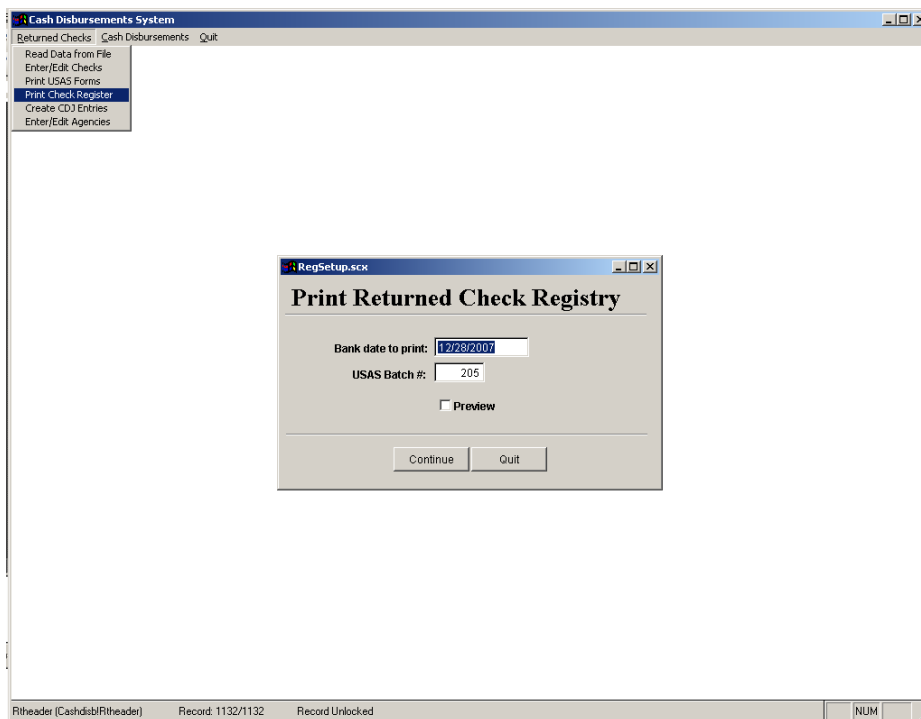
Document Number: JCN50601
 Suffix Number(s): 1-1
 Document Date: 12/31/2007
 Document Agency: TRA

TC	Index	PCA	AY	Doc. Total	Fund	Agcy	Description	Comp. Obj.	Agency Obj.
820	77777	77777	2008	5.00	4214	ADA	NSF Checks Receivable	0	0

ABA Number	Check#	Deposit Date	Line#	Name of Issuer	Amount	Reason
DOA SURPLUS PROPERTY						
12210170	00000162	12/27/2007			5.00	ACCOUNT CLOSED
Total for document JCN50601					5.00	

Printed USAS document that returned items are attached to. Verification of USAS accounting string that debt is charging.

Arizona State Treasurer's Office FMS RFI



Use as verification, backup and batched information transmitted to USAS.

Report Designer - register.frx - Page 1

**Arizona State Treasurer
Returned Check Document Register**

Run on: January 17, 2008 13:42:34

For bank date December 28, 2007

[Register]
Page 1

Bank Date	Line#	Name of Issuer	Check#	Amount	Reason	Agy	Fund	Index	PCA	Docum
Details for account 001-000985										
12/28/2007	1		00000162	5.00	ACCOUNT CLOSED	ADA	4214	77777	77777	JCN50601
Subtotal for document JCN50601				5.00						
12/28/2007	5		00000125	35.00	MULTI-TIMES NSF	BNA	2044	77777	77777	JCN50602
Subtotal for document JCN50602				35.00						
12/28/2007	7		00001003	50.00	MULTI-TIMES NSF	CBA	2017	77777	77777	JCN50603
12/28/2007	6		00001003	50.00	MULTI-TIMES NSF	CBA	2017	77777	77777	JCN50603
Subtotal for document JCN50603				100.00						
12/28/2007	10		00000000	60.00	ACCOUNT CLOSED	CCA	1000	77777	10000	JCN50604
Subtotal for document JCN50604				60.00						
12/28/2007	2		00000100	20.00	MULTI-TIMES NSF	USA	2440	8400	20004	JCN50605

Used as backup batched information, verification of recap totals at the end of the document.

Arizona State Treasurer's Office FMS RFI

Cash Disbursements System

Returned Checks | Cash Disbursements | Quit

Read Data from File
Enter/Edit Checks
Print USAS Forms
Print Check Register
Create CD Entries
Enter/Edit Agencies

Agency Maintenance

Short Name:
 Description:
 USAS Agency:
 PCA: 0
 Index: 0
 Fund: 0
 TC - Ret'd Checks: 0
 TC - Adj. Deposit: 0
 TC - Adj. JV: 0
 Comp. Object: 0
 Comp. GL: 0
 Agency Objec: 0
 Agency GL: 0

Top Prev **Next** Bottom End Print Add Edit Delete Egt

Skip to next record NUM

Assign USAS accounting string to a code, "short name".

Cash Disbursements System

Returned Checks | Cash Disbursements | Quit

Main Disbursements Entry
Print Disbursements Jnl.
Post Disbursements Jnl.
Print Action Memos
Transaction Type Maintenance
Warrant Fail Code Maintenance

Cash Disbursements Entry

Tran. ID: 11557 Type: Warrants: Bank Types 21-33
 Jnl. Date: 07/01/2003 Bank Debit: BANK DEBIT

Dr/Cr	Account#	Account Description	Warrant#	Amount	Code	Remarks
CR	130100	BAA Servicing		36,504,689.66		
DR	414210	General Payments Clea		36,504,689.66		

Long Comment:
 Warrants: Bank Types 21-33

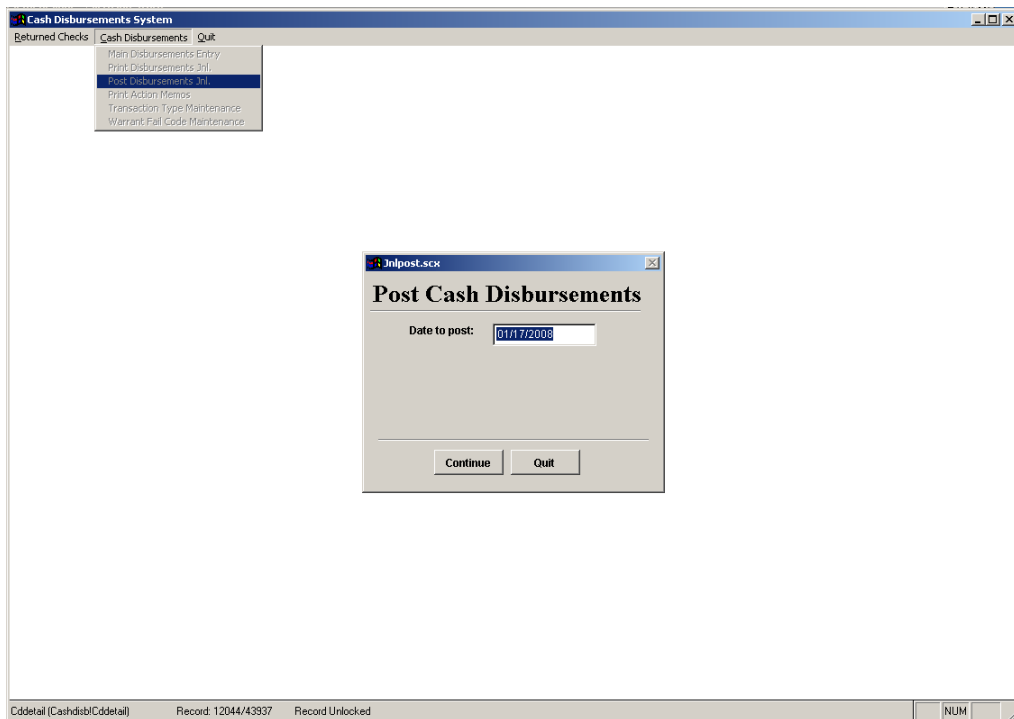
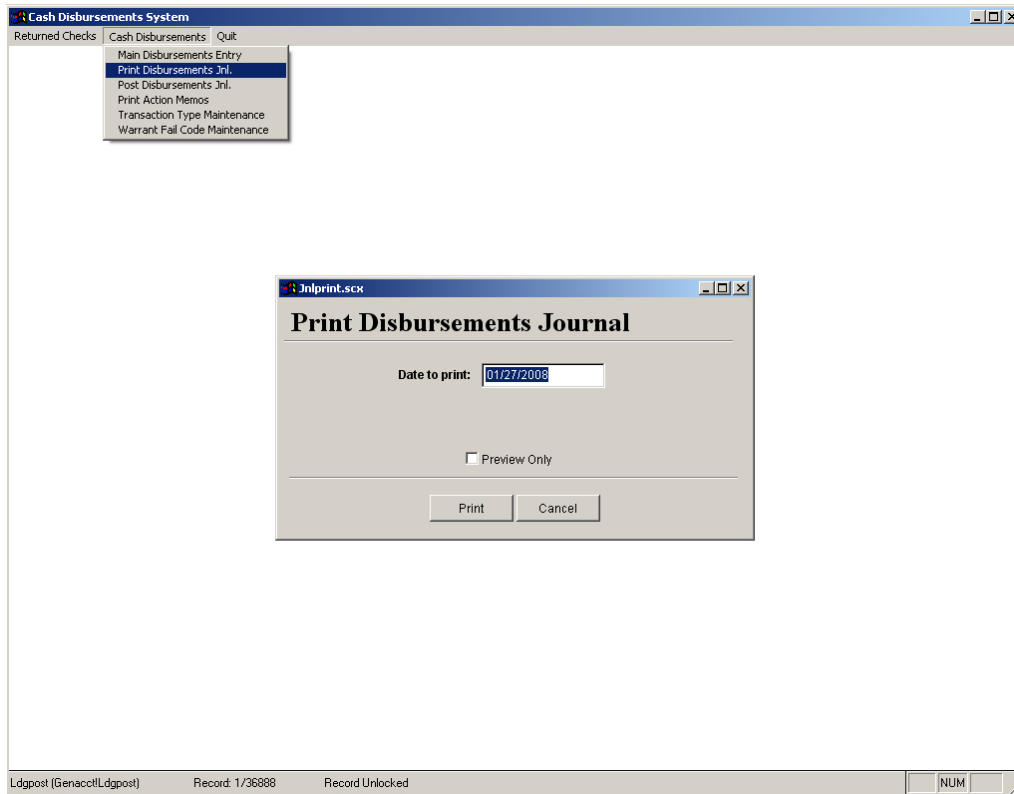
Debits: 36,504,689.66
 Credits: 36,504,689.66

Top Previous **Next** Bottom Add Edit Delete Quit

Cdheader (CashdisbtlCdheader) Record: 4237/13804 Record Unlocked NUM

Create a cash disbursement entry for authorized outbound monies.

Arizona State Treasurer's Office FMS RFI



Post cash disbursement entries to the general ledger.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Cash Disbursements System' window. A menu is open under 'Cash Disbursements', with 'Print Action Memos' selected. A dialog box titled 'Print Action Memos' is displayed in the center. It contains the following fields and options:

- Journal date to print: 12/07/2007
- Sender: Freida Chappel
- ☒ Preview
- Buttons: Continue, Quit

At the bottom of the main window, the status bar shows: Cddetail (Cashdisb/Cddetail) Record: 33996/43937 Record Unlocked NUM

Print document for reimbursement for daily warrant corrections to Servicing Bank. Provides sufficient data in order for Servicing Bank to pull and rerun warrant.

The screenshot shows the 'Report Designer - actnmemo.frx - Page 1' window. The report is titled 'Arizona State Treasurer's Office'. It contains the following information:

To: Bank of America Arizona
From: Freida Chappel
Date: January 17, 2008
Subject: Warrant Error Corrections: Industrial Comm.

We are sending the following original documents with a request for credit. The reason for each request is explained to the right of each item. Please verify and acknowledge the receipt of these items by signing on the signature line provided at the bottom of this form.

Bank listing of: 10/23/2007 Date deleted: 12/07/2007

Warrant #	Amount	Reason Failed	Remarks
1427	215.00	Not State Warrant	NOT OURS
	215.00		

Authorized Signature
Bank of America Arizona

Date

Printed Name

Print document for reimbursement from Servicing Bank

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Cash Disbursements System' window with a menu on the left. The 'TypeEntry.scx' window is open, titled 'Cash Disbursement Types'. It contains the following fields and controls:

- ID:** 6
- Desc. on CDJ entry screen:** Warrants: Bank Types 21-33
- Prompt Text:** Bank Debit
- CR Account:** 130100
- DR Account:** 414210
- ☐ Multi-Line Entry?
- ☐ Credit Return Type?
- Desc. on journal:** Warrants: Bank Types 21-33

Navigation buttons at the bottom: Top, Prev, Next, Bottom, End, Print, Add, Edit, Delete, Exit.

At the bottom of the main window, there is a status bar with 'Skip to next record' and a 'NUM' button.

Assign a set string of cash disbursement entries based on description chosen.

The screenshot shows the 'Cash Disbursements System' window with the same menu on the left. The 'Failtype.scx' window is open, titled 'Types of Warrant Failures'. It contains the following fields and controls:

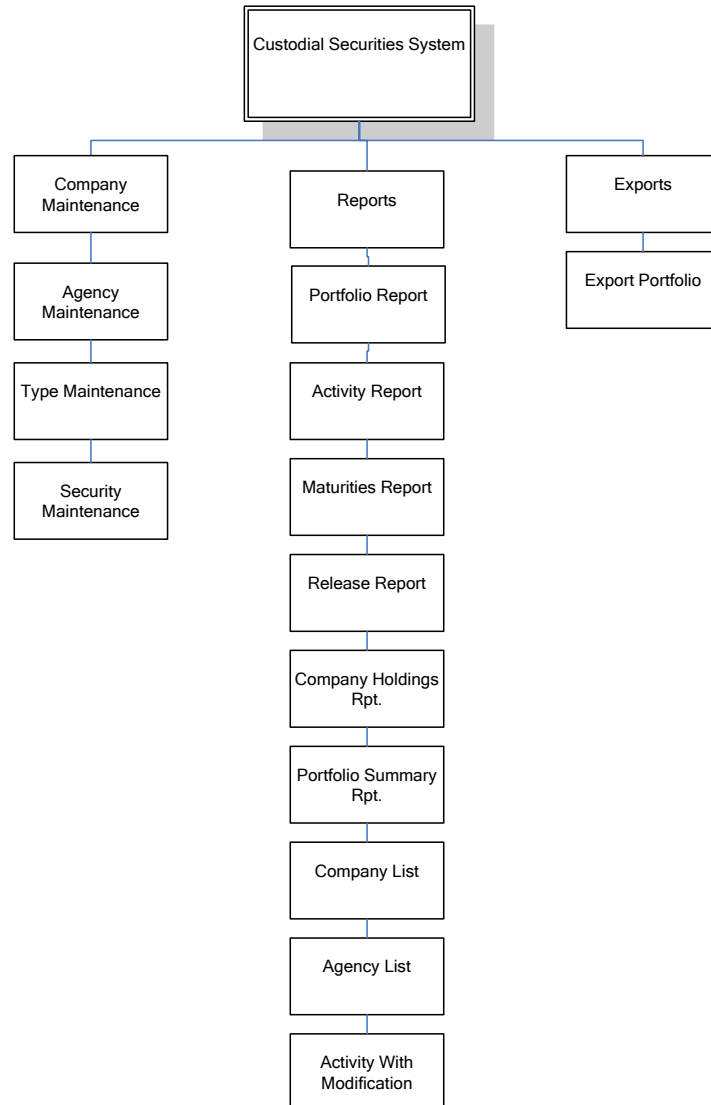
- Code:** A
- Description:** Amount Incorrect

Navigation buttons at the bottom: Top, Prev, Next, Bottom, End, Print, Add, Edit, Delete, Exit.

At the bottom of the main window, there is a status bar with 'Skip to next record' and a 'NUM' button.

Assign a code to a description that would shorten key entry as well as set a standard description based on action and not user.

**Arizona State Treasurer's Office
FMS RFI**



Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Custodial Securities System' application window. The menu bar includes File, Reports, Export, Reconciliation, Edit, Utilities, and Quit. The 'Company Maintenance' menu is open, showing options: Company Maintenance, Agency Maintenance, Type Maintenance, Security Maintenance, and Exit Custodial Securities. The 'Company Maintenance' sub-window is active, displaying fields for ID (5833), Name, Agency (Insurance-Domestic), Account, and Notes. Navigation buttons (Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit) are at the bottom. The status bar shows 'Skip to next record' and 'NUM'.

Enter new or edit company name.

The screenshot shows the 'Custodial Securities System' application window. The menu bar includes File, Reports, Export, Reconciliation, Edit, Utilities, and Quit. The 'Agency Maintenance' menu is open, showing options: Agency Maintenance, Type Maintenance, Security Maintenance, and Exit Custodial Securities. The 'Agency Maintenance Screen' sub-window is active, displaying fields for Id (1), Agcy (DTA), Class (V), and Description (Transportation-MVD). The 'Display Order' section has radio buttons for 'Agency/Class Order' (selected) and 'Id Order'. Navigation buttons (Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit) are at the bottom. The status bar shows 'Go to top of table' and 'NUM'.

Enter new or edit agency information.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Custodial Securities System' application window. The menu bar includes File, Reports, Export, Reconciliation, Edit, Utilities, and Quit. The left sidebar contains links to Company Maintenance, Agency Maintenance, Type Maintenance (highlighted), Security Maintenance, and Exit Custodial Securities. The main window displays the 'Typeentr.scx' dialog titled 'Security Type Maintenance'. It has input fields for 'Type Code' (containing 'TB') and 'Description' (containing 'Treasury Bill/Bond'). Below these fields are buttons for Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, and Exit. At the bottom of the application window, there is a status bar with 'Skip to next record' and a 'NUM' button.

Enter or edit types of securities held.

The screenshot shows the 'Custodial Securities System' application window with the 'Security View / Data Entry' window (Sctygrid.scx) open. The menu bar and sidebar are the same as in the previous screenshot. The 'Security View / Data Entry' window has input fields for 'Id' (5833), 'Name' (Insurance-Domestic), and 'Bank_acct'. There is a 'Balance' button. Below these is a table with columns: Type, Cusip, Issuer, Amount, Deposited, and Released. The table has multiple empty rows for data entry. Below the table is a 'Company notes' text area. At the bottom of the window, there are radio buttons for 'File Order', 'Acct', and 'Name' (which is selected). Navigation buttons (Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit) are at the bottom. The status bar at the bottom of the application window shows 'Company (CustCompany)', 'Record: 2702/3146', 'Record Unlocked', and a 'NUM' button.

Enter security held data. Release securities or make changes to securities.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Custodial Securities System' window with a menu on the left containing: Portfolio Report, Activity Report, Disburse Report, Release Report, Company Holdings Rpt., Portfolio Summary Rpt., Company List, Agency List, and Activity with Modification. The 'Report Setup' dialog box is open, titled 'Portfolio Listing'. It contains the following fields and options:

- Agency to include: <all>
- Class to include: <all>
- Location: ☒ Bank, ☐ Treasurer, ☐ All
- *As of Date: 12/30/2007
- ☒ Preview Output
- Buttons: Start Rpt., Exit

At the bottom of the main window, the status bar reads: Report (CustlReport) Record: 4/6 Record Unlocked NUM

The screenshot shows the 'Portfolio Listing' report output. The title is 'Portfolio Listing' with '[Portfolio]' to its right. Below the title, it says 'Report run on: 01/14/2008'. Further down, it specifies 'For the portfolio as of 12/30/2007 at the Bank with any agency and with any class'. The report is for 'Agency: BHS Class: blank'. The table below lists the securities:

Bank Acct.	Name	Cusip	Type	Issuer	H	Dep. Date	Amount
	COMMUNITY PARTNERSHIP OF	313385XG6	MB	FHLB	B	06/10/2005	1,300,000.00
	PINAL GILA BEHAVIORAL HEALTH	912833FU9	TS	U S TREASURY SEC STRIPPED	B	03/31/2003	2,845,000.00
Subtotal for agency BHS and class blank						# of Items: 2	4,145,000.00
Subtotal for agency BHS:						# of Items: 2	4,145,000.00

This report is used to reconcile to CD/Letters of Credit held in vault.

Arizona State Treasurer's Office FMS RFI

Custodial Securities System

File Reports Export Reconciliation Edit Utilities Quit

Portfolio Report
Activity Report
 Maturities Report
 Release Report
 Company Holdings Rpt.
 Portfolio Summary Rpt.
 Company List
 Agency List
 Activity With Modification

Report Setup

Activity Report

Agency to include: <all>

Class to include: <all>

Location: ☒ Bank ☐ Treasurer ☐ All

Activity Between: Begin: 10/01/2007 End: 11/30/2007

☒ Preview Output

Start Rpt. Exit

Report Designer - activity.frx - Page 1

Security Activity Report [Activity]

Report run on: 01/14/2008

For items in the date range 10/01/2007 - 11/30/2007
at the Bank with any agency and with any class

Agy	C Name	H	Cusip	Type	Issuer	Dep. Date	Amount	Rel. Date	Rel. Amt.	Net
IDA	W ARIZONA	B	31359MPN7	MS	FEDERAL	10/15/2007	3,000,000.00	/ /		
Subtotal for IDA class W						# Dep: 1	3,000,000.00	# Rel: 0		3,000,000.00
Subtotal for IDA:						# Dep: 1	3,000,000.00	# Rel: 0		3,000,000.00
Grand Total:						# Dep: 1	3,000,000.00	# Rel: 0		3,000,000.00

This report shows the activity for specified time period for any agency sorted by company.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Custodial Securities System' application window. The 'Reports' menu is open, and 'Release Report' is selected. A 'Report Setup' dialog box is displayed with the following settings:

- Agency to include: <all>
- Class to include: <all>
- Location:
 - ☒ Bank
 - ☐ Treasurer
 - ☐ All
- Releases Between:
 - Begin: 08/01/2001
 - End: 11/30/2007
- ☒ Preview Output
- Buttons: Start Rpt., Exit

The screenshot shows the 'Report Designer - relserpt.frx - Page 1' window. The report is titled 'Security Release Report' and was run on 01/14/2008. It displays securities released between 08/01/2001 and 11/30/2007 at the Bank with any agency and with any class. The report is sorted by state agency and company held for.

Agy C Name	H Cusip	Type Issuer	Dep. Date	Rel. Date	Amount
BHS COMMUNITY	B 313389H9	MB FEDERAL HOME LN MTG	03/31/2003	06/25/2004	2,910,000.00
BHS COMMUNITY	B 313384Y68	MB FEDERAL HOME LOAN	06/18/2003	06/18/2004	5,820,000.00
BHS COMMUNITY	B 3133850V2	MB FHLB D/N	06/18/2004	06/13/2005	8,730,000.00
BHS COMMUNITY	B 313385G S9	MB FHLB D/N	09/02/2004	06/10/2005	10,760,000.00
					10,760,000.00
BHS NORTHERN ARIZONA	B 313389K07	MB FEDERAL HOME LOAN	08/08/2000	08/08/2001	1,512,000.00
BHS NORTHERN ARIZONA	B S99992760	SB FIDELITY	03/21/2003	07/22/2003	6,133,000.00
BHS NORTHERN ARIZONA	B S99992760	TN U S TREASURY NOTES	01/08/2002	12/31/2002	10,370,492.98
					10,370,492.98
BHS PINAL GILA	B 912833FU9	MB TIGR SR SO	05/15/2003	05/17/2004	3,317,000.00
BHS PINAL GILA	B 912795CA7	TB U S TREASURY BILLS	08/27/1998	12/31/2002	3,793,000.00
BHS PINAL GILA	B 912795CH2	TB U S TREASURY BILLS	05/05/1999	12/31/2002	3,943,000.00
BHS PINAL GILA	B 912799HJ3	TB U S TREASURY BILLS	06/01/2001	12/31/2002	5,914,000.00
					5,914,000.00
BHS VALLE D'ORANGE	B 013709HMO	TB U S TREASURY BILLS	03/24/2003	10/02/2003	5,823,000.00

Report shows securities released sorted by state agency and company held for.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Custodial Securities System' application window. The 'Reports' menu is open, and 'Depositor Report Setup' is selected. The dialog box for 'Depositor Report Setup' is displayed with the following fields:

- Depositor to report: 21ST CENTURY INS COI
- Agency to include: <all>
- Class to include: <all>
- Report "as of": 11/30/2007
- ☒ Preview Output
- Start Rpt. and Exit buttons

The status bar at the bottom indicates: Company (CustCompany) Record: 3146/3146 Record Unlocked NUM

The screenshot shows the 'Report Designer - compscity.frx - Page 1' window. The report is titled 'Depositor Securities Report' and includes the following information:

Report run on: 01/14/2008 [Compscty]

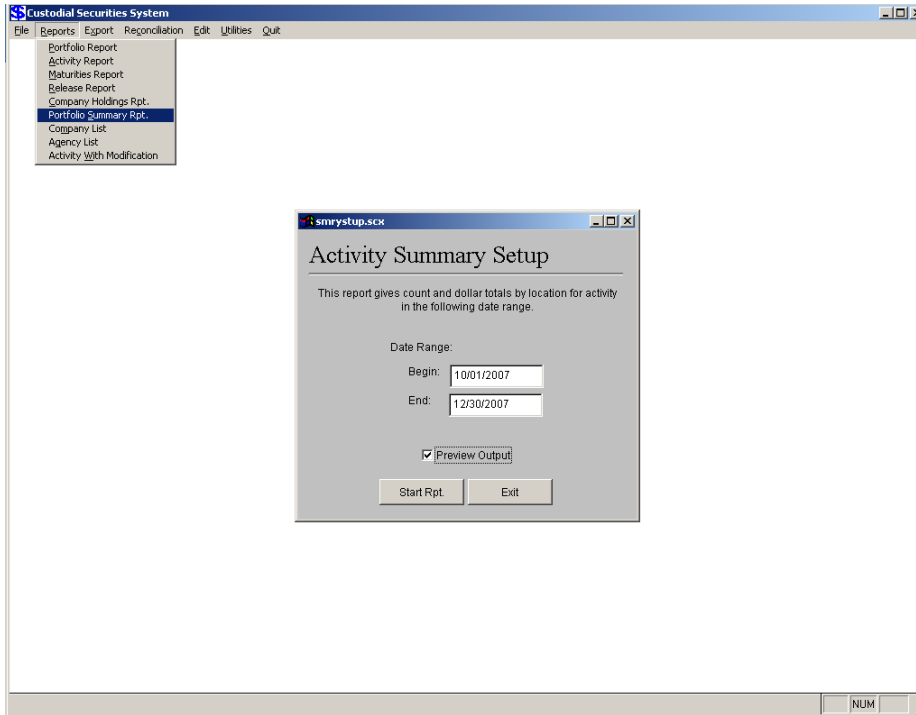
For 21ST CENTURY INS COMPANY OF AZ items as of 11/30/2007 with any agency and with any class

Dep. Date	Agy	Cusip	Sec Type	Bank Acct	Issuer	Holder	Int. Rate	Mat. Date	Rel. Date	Amount
03/27/2002	IDA	D 9128273X8	TN329054		U S TREASURY NOTES	B	5.500	02/15/2008	/ /	525,000.00
Total Records Printed:										525,000.00

Signed: _____ Date: _____
 Printed Name: _____ Title: _____

Company list used for audit requests.

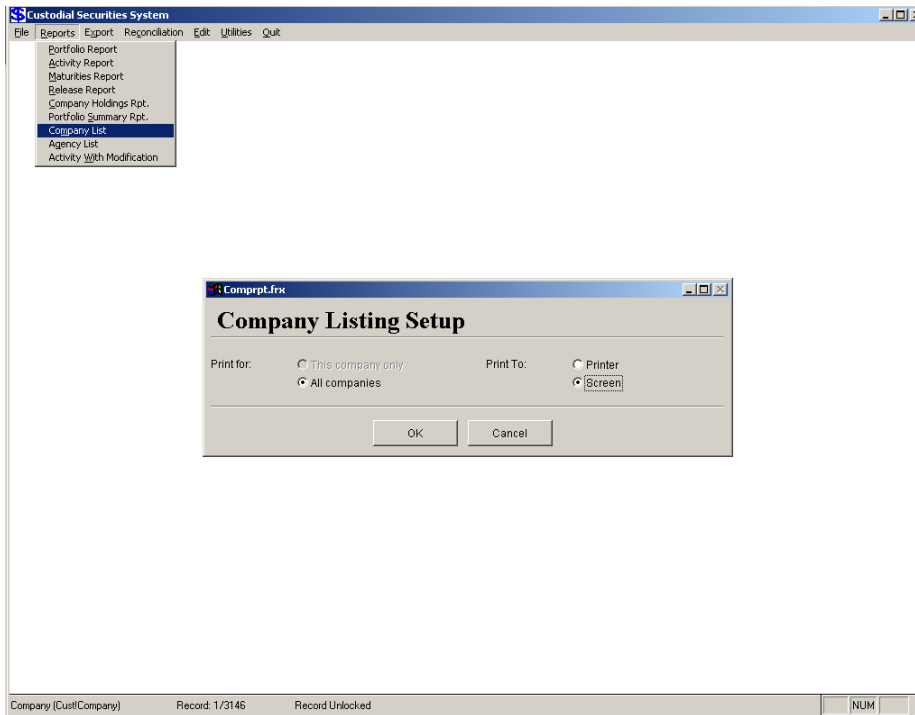
Arizona State Treasurer's Office FMS RFI



Report Designer - compsmry.frx - Page 1

Securities Activity Summary						[Compsmry]
Report run on: 01/14/2008						
For the date range: 10/01/2007 through 12/30/2007						
	Deposits		Releases		Net	
	Count	Amount	Count	Amount	Count	Amount
Bank	1	3,000,000.00	0	0.00	1	3,000,000.00
Treasury	7	831,279.67	3	200,000.00	10	631,279.67
Grand Total	8	3,831,279.67	3	200,000.00	11	3,631,279.67

Arizona State Treasurer's Office FMS RFI

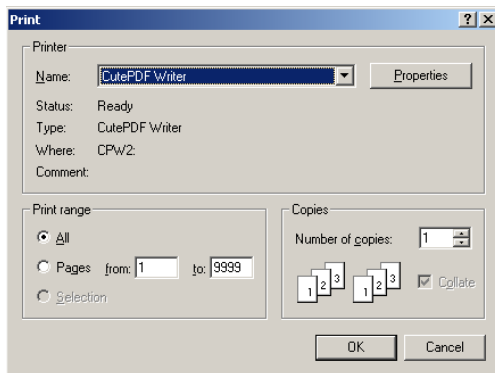
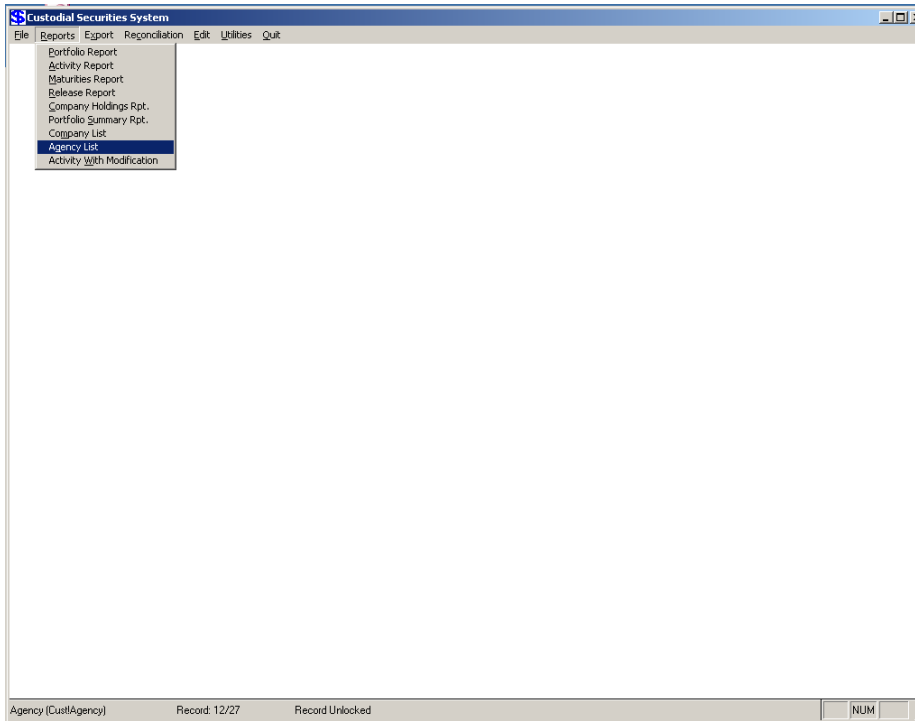


Report Designer - company.frx - Page 1

Company Listing
01/14/2008

Name	Id	Bank Acct.	Agy	Class	Description
	5833		IDA	D	Insurance-Domestic
21ST CENTURY INS COMPANY	5377		IDA	D	Insurance-Domestic
4 HIRE	4878		DTA	V	Transportation-MVD
5 STAR LIFE INSURANCE	1384		IDA	D	Insurance-Domestic
5 STAR PRODUCE CO LTD	4667		DTA	V	Transportation-MVD
5 STAR PRODUCE CO., LTD	4458		AHA		Agriculture

Arizona State Treasurer's Office FMS RFI



Launches Printer

Arizona State Treasurer's Office

FMS RFI

Custodial Securities System

File Reports Export Reconciliation Edit Utilities Quit

Portfolio Report
Activity Report
Mutuals Report
Release Report
Company Holdings Rpt.
Portfolio Summary Rpt.
Company List
Agency List
Activity With Modification

Report Setup

Agency to include: <all>

Class to include: <all>

Location:

☒ Bank
☐ Treasurer
☐ All

Activity Between

Begin: 10/01/2004
End: 12/30/2007

☒ Preview Output

Start Rpt. Exit

Report Designer - activ2.frx - Page 1

Security Activity Report [Activ2]

Report run on: 01/14/2008

For all activity 10/01/2004 - 12/30/2007
at the Bank with any agency and with any class

Agcy	C Name	H	Cusip	Type	Issuer	Dep. Date	Amount	Ret. Date	Ret. Amt.	Mod. Date	Mod. Amt.
BHS	COMMUNITY B		313385GV2	MB	FHLB DN	06/18/2004		06/13/2005	2,910,000.00	06/30/2005	
BHS	COMMUNITY B		313385GS9	MB	FHLB DN	09/02/2004		06/10/2005	2,030,000.00	06/30/2005	
BHS	COMMUNITY B		313385XG6	MB	FHLB	06/10/2005	1,300,000.00	//		06/30/2005	
Subtotal for BHS class blank						N Dep: 1	1,300,000.00	N Rel: 2	4,940,000.00		
Subtotal for BHS:						N Dep: 1	1,300,000.00	N Rel: 2	4,940,000.00		
IDA	D AIG	B	9128276R8	TI	U S TREASURY	03/28/2001		//		10/07/2004	2,250,000.00
IDA	D AIG	B	9128272M0	TI	U S TREASURY	11/07/2001		//		10/07/2004	3,350,000.00
IDA	D MITSUI	B	912810EM6	TB	U S TREASURY	04/29/1993		//		03/10/2006	348,000.00
IDA	D MITSUI	B	912810EM6	TB	U S TREASURY	04/19/1994		//		03/10/2006	201,000.00
IDA	D MITSUI	B	912810EM6	TB	U S TREASURY	05/10/1995		//		03/10/2006	53,000.00
IDA	D MITSUI	B	912810EP9	TB	U S TREASURY	04/29/2004		//		03/10/2006	435,000.00
IDA	D NATIONWIDE B		9128275W6	TI	U S TREASURY	01/31/2000		//		10/07/2004	250,000.00
IDA	D SUNAMERIC B		9128276R8	TI	U S TREASURY	06/07/2001		//		10/07/2004	5,000,000.00
IDA	D SUNAMERIC B		9128276R8	TI	U S TREASURY	11/09/2001		//		10/07/2004	2,540,000.00
Subtotal for IDA class D						N Dep: 0		N Rel: 0			15,427,000.00
IDA	W AMERICAN	B	9128277J5	TI	U S TREASURY	06/26/2002		//		10/07/2004	2,510,000.00
IDA	W AMERICAN	B	9128272M0	TI	U S TREASURY	01/17/2002		//		10/07/2004	202,000.00

Activity report by agency, by company listing securities held or released during specified time period.

Arizona State Treasurer's Office FMS RFI

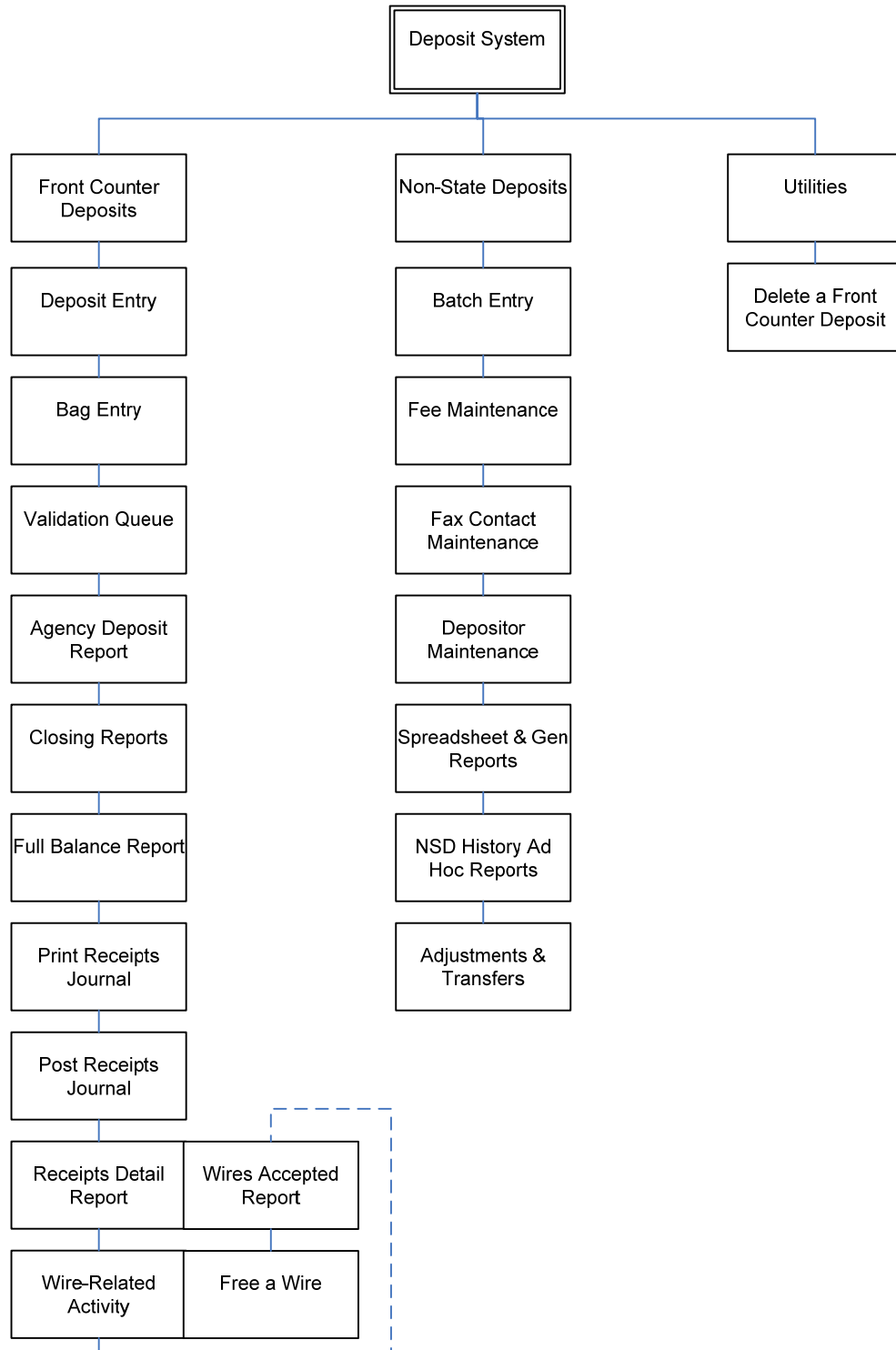
The screenshot shows the 'Custodial Securities System' application window. The 'Export Portfolio' menu item is highlighted. A 'Report Setup' dialog box is open, titled 'Portfolio Export'. It contains the following fields and options:

- 'Agency to include:' dropdown menu with '<all>' selected.
- 'Class to include:' dropdown menu with '<all>' selected.
- 'Location:' radio button group with 'Bank' selected, 'Treasurer' unselected, and 'All' unselected.
- 'As of Date:' text box containing '12/30/2007'.
- 'Preview Output' checkbox, which is unchecked.
- 'Start Rpt.' and 'Exit' buttons at the bottom.

The status bar at the bottom of the application window displays: 'Report (CustReport)', 'Record: 5/6', 'Record Unlocked', and a 'NUM' field.

Creates .txt file

**Arizona State Treasurer's Office
FMS RFI**



Arizona State Treasurer's Office FMS RFI

Deposit Entry

Batch App: RGA Batch Date: 12/21/2007 Batch #: 600 Batch Total: 76,922.75 **POSTED**

Doc#	Pgs	Cash	Coin	Check	Direct	Wire	Total	Valid.#
008G0298	2	770.00	0.00	37,027.75	0.00	0.00	37,797.75	829909
008R0299	1	0.00	0.00	39,125.00	0.00	0.00	39,125.00	829910

Ref. Date	Device	Account	Amount
12/31/2007	2		39,125.00
12/31/2007	2		37,027.75
12/31/2007	1		770.00

Document Total: 76,922.75
Receipt Total: 76,922.75

☒ Current Year ☐ Prior Year 12/31/2007

(F7) Get Wires

Top Previous Next Bottom Validate Find Add Edit Quit

Top ½ of screen is used to input information from GAO 505/605 provided by agency.
Bottom ½ of screen is used to input the breakdown of cash, check, direct deposit or wire amounts received associated with the agency deposit.

Deposit Entry

Batch App: RGA Batch Date: 12/21/2007 Batch #: 600 Batch Total: 76,922.75 **POSTED**

Doc#	Pgs	Cash	Coin	Check	Direct	Wire	Total	Valid.#
008G0298	2	770.00	0.00	37,027.75	0.00	0.00	37,797.75	829909
008R0299	1	0.00	0.00	39,125.00	0.00	0.00	39,125.00	829910

Ref. Date	Device	Account	Amount
12/31/2007	2		39,125.00
12/31/2007	2		37,027.75
12/31/2007	1		770.00

Document Total: 76,922.75
Receipt Total: 76,922.75

☒ Current Year ☐ Prior Year 12/31/2007

(F7) Get Wires

Top Previous Next Bottom Validate Find Add Edit Quit

Pick wire items

Doc#	Date	Description	Amount
404779	12/28/2007	ADOT - AERONAUTICS	7,384.77
404780	12/28/2007	ADOT - AERONAUTICS	7,219.92
397217	11/07/2007	AGRICULTURE	228.75
398036	11/14/2007	AGRICULTURE	552.50
400419	11/28/2007	AGRICULTURE	5.25
401033	12/05/2007	AGRICULTURE	66.75
401949	12/12/2007	AGRICULTURE	144.25
403408	12/20/2007	AGRICULTURE	18.50

Grab Cancel

Wire items are from list that is maintained by wire desk.

Arizona State Treasurer's Office FMS RFI

Deposit & Cash Receipts Entry

Front Counter Deposits Non-State Deposits Utilities Exit

DepEntr.scx

Deposit Entry

Batch Agg:
 Batch Date: 01/17/2008
 Batch #: 0
 Batch Total: 0.00

Deposit Documents (F5)

Doc#	Pgs	Cash	Coin	Check	Direct	Wire	Total	Valid.#
	1	0.00	0.00	0.00	0.00	0.00	0.00	0

Cash Receipts (F6)

Ref. Date	Device	Account	Amount
01/17/2008	0		0.00

Batches Visible:
☐ Unposted Batches
☒ All Batches

Document Total: 0.00
Receipt Total: 0.00

☒ Current Year 01/17/2008
☐ Prior Year

(F7)

Fctrblch (Fctr)Fctrblch Record: 106486/106486 Record Unlocked NUM

Once the Document Total and Receipt Total balance; “Save”. This brings up the validation screen shown below.

Arizona State Treasurer's Office FMS RFI

Deposit & Cash Receipts Entry

Front Counter Deposits Non-State Deposits Utilities Exit

Deposit Entry

Batch Agg: HSA Batch Date: 01/16/2008 Batch #: 886 Batch Total: 14,010.08

Deposit Documents (F5)

Doc#	Pgs	Cash
D8H80380	1	0.00

Cash Receipts (F6)

Ref. Date	Device	Acct
01/17/2008	2	0985

Validate a Batch

Doc#	Validation#	Pages
D8H80380	832607	1

Validate Quit

Batches Visible:
☐ Unposted Batches
☒ All Batches

Total: 14,010.08
 Total: 14,010.08

(F7) Get Wires

Current Year 01/17/2008
 Prior Year

Top Previous Next Bottom Validate Find Add Edit Quit

Fctrblch (Fctrblch) Record: 106488/106490 Record Unlocked NUM

Validate key causes the validation printer to print a unique sequential validation number onto the GAO-505/605 brought by the agency.

Bag Entry

Bag #: 01919027
 Bag Date:

☐ Morning ☐ Cash
☐ Evening ☐ Check

Amount	In CRJ?
48.00	<input checked="" type="checkbox"/>
1,409.00	<input checked="" type="checkbox"/>
213.00	<input checked="" type="checkbox"/>
130.00	<input checked="" type="checkbox"/>
297.50	<input checked="" type="checkbox"/>
2,151.50	<input checked="" type="checkbox"/>
282.00	<input checked="" type="checkbox"/>
158.00	<input checked="" type="checkbox"/>
9.50	<input checked="" type="checkbox"/>

Total: 14,004.73

Add Print Delete Quit

Arizona State Treasurer's Office FMS RFI

Used to record pre-numbered bank bags and the individual checks and cash amounts in them. (Cash and checks are bagged separate). The system verifies (by placing a check mark in the box) that the amount was input into the "receipts" section of the deposit entry screen.

Deposit & Cash Receipts Entry

Front Counter Deposits Non-State Deposits Utilities Exit

- Deposit Entry
- Bag Entry
- Validation Queue**
- Agency Deposit Report
- Closing Reports
- Full Balance Report
- Print Receipts Journal
- Post Receipts Journal
- Receipts Detail Report
- Wire-Related Activity

Validque.scx

Validate from Input Queue

Agy	Date	No.	Total	Date Sent	# of Docs
TRA	01/18/2008	531	775255.72	01/22/2008	23
TRA	01/18/2008	545	1310518.99	01/22/2008	2

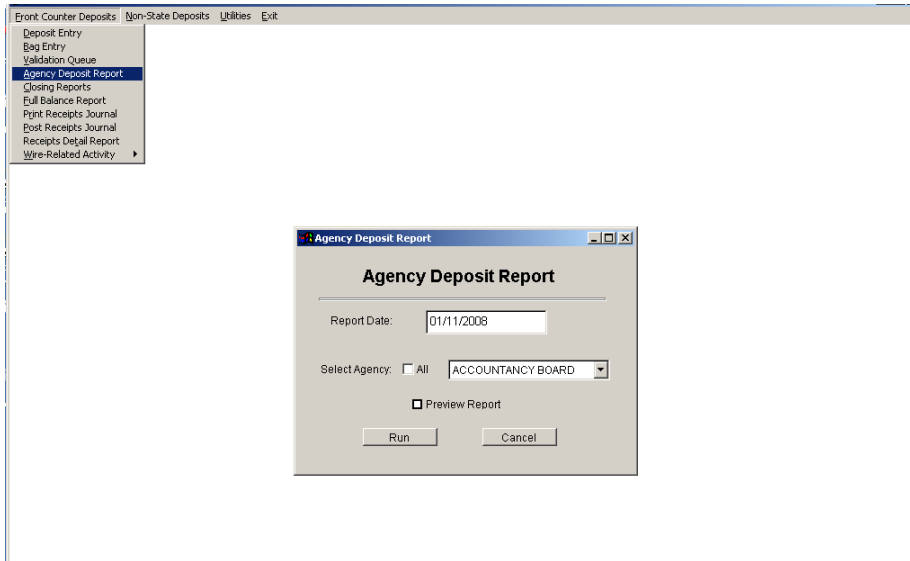
☒ Current Year Validation
☐ Prior Year Validation

Validate Quit

Batchlistcursor Record: 1/2 Exclusive NUM CAPS

Shows batch header for validated batches. This is used to re-print validation. Also used for research, looking up agency information by validation number.

Arizona State Treasurer's Office FMS RFI



Report can be run on specific agency or for all agencies for a specified date to show the information for that date.

The screenshot shows the 'Agency Deposit Report' report preview. The report title is 'Arizona State Treasurer's Office Agency Deposit Report'. The agency is 'ACCOUNTANCY BOARD' and the date is '11/30/2007'. The report displays a table with the following data:

Batch #	Batch Total	Agency Code	Batch Date	Doc #	Validation #
140	16,399.50	ABA	11/29/2007	DAB08046	824850
139	12,383.00	ABA	11/28/2007	DAB08045	824849

Arizona State Treasurer's Office FMS RFI

Deposit & Cash Receipts Entry

Front Counter Deposits Non-State Deposits Utilities Exit

Deposit Entry
Bag Entry
Validation Queue
Agency Deposit Report
Closing Reports
Full Balance Report
Print Receipts Journal
Post Receipts Journal
Receipts Detail Report
Wire-Related Activity

DepRpt.scx

Daily Closing Reports

Validation range to report:
0 through 0

☒ Daily Deposit Report ("3" Report)
☒ Validation Range Summary ("4" Report)

☐ Change range:

☒ Current Year ☐ Prior Year

Validation date: 01/11/2008

☐ Preview Only

Continue Quit

Fct505 (Fct505) Record: 14/167370 Record Unlocked NUM

Report Designer - dailydep.frn - Page 1

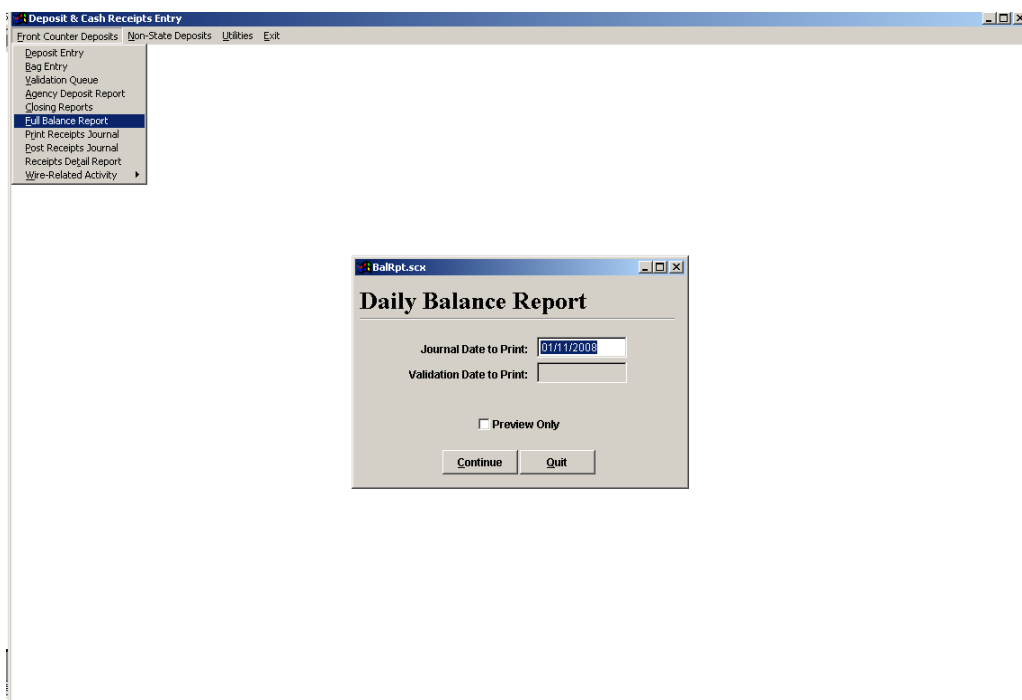
Arizona State Treasurer's Office
Daily Deposit Report
For the Validation # range 824834 through 825162
Spanning validation dates: 11/30/2007 - 11/30/2007
Run on January 11, 2008 at 10:42:52

[D dailydep]
Page 1

Batch	Agy	Date	Num.	Valid. #	Doc#	Cash	Coin	Checks	Dirdep	Wire	Total
AAA	11/28/2007	140	824848	DACH0140		0.00	0.00	0.00	0.00	4,995.00	4,995.00
						0.00	0.00	0.00	0.00	4,995.00	4,995.00
ABA	11/28/2007	139	824849	DAB08045		400.00	0.00	11,983.00	0.00	0.00	12,383.00
						400.00	0.00	11,983.00	0.00	0.00	12,383.00
ABA	11/29/2007	140	824850	DAB08046		0.00	0.00	16,399.50	0.00	0.00	16,399.50
						0.00	0.00	16,399.50	0.00	0.00	16,399.50
ADI	11/29/2007	999	825074	DV112843		0.00	0.00	0.00	0.00	2,338.00	2,338.00
						0.00	0.00	0.00	0.00	2,338.00	2,338.00

Report shows the days deposits processed in alphabetic order by agency. Copy is kept with day's work. It is an easy report to check for a specific item in the day's work before going through all the processed copies that we keep.

Arizona State Treasurer's Office FMS RFI

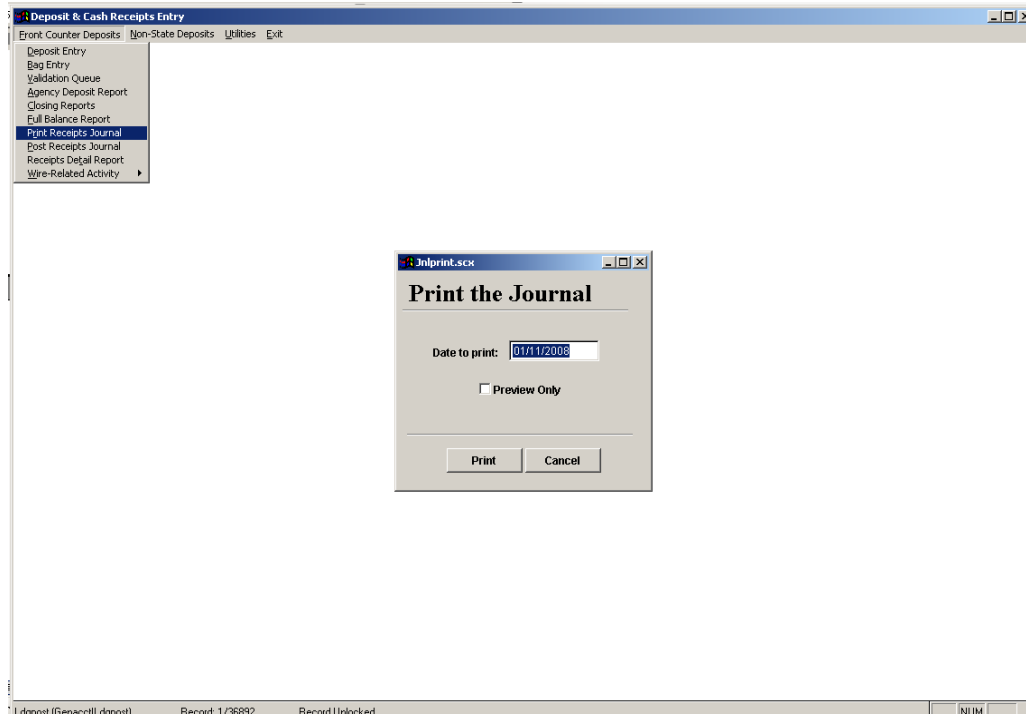


Arizona State Treasurer's Office									
Deposit / Cash Receipts Balance Report									
For journal date 11/30/2007 and validation date 11/30/2007									
[Fullbal]									
Batch Info.		Cash		Checks		Direct Deposit		Wire	
		Deposits	Receipts	Deposits	Receipts	Deposits	Receipts	Deposits	Receipts
AAA	11/28/2007 140							4,995.00	4,995.00
ABA	11/28/2007 139	400.00	400.00	11,983.00	11,983.00				
ABA	11/29/2007 140			16,399.50	16,399.50				
ADI	11/29/2007 999							2,338.00	2,338.00
ADI	11/30/2007 174			6,590.00	6,590.00				
ADI	11/30/2007 323			20.00	20.00				
ADI	11/30/2007 637			76.00	76.00				
ADO	11/30/2007 173	29.08	29.08						
ADZ	11/29/2007 437			2,717.50	2,717.50				
ADZ	11/29/2007 440			445.00	445.00				
ADZ	11/30/2007 447			6,789.77	6,789.77				
ADZ	11/30/2007 448			9,518.08	9,518.08				
ADZ	11/30/2007 449			1,144.06	1,144.06				
AEA	11/27/2007 26					1,930.00	1,930.00		

This report shows the total for cash, checks, direct deposits & wires. The totals are compared to the totals from the bank deposit tickets brought by the agencies with their deposit forms (GAO-505/605).

Arizona State Treasurer's Office

FMS RFI



Repd Print Preview

Journal Date: 30 November 2007

Run On: 11 January 2008 - 10:51:54

Arizona State Treasury

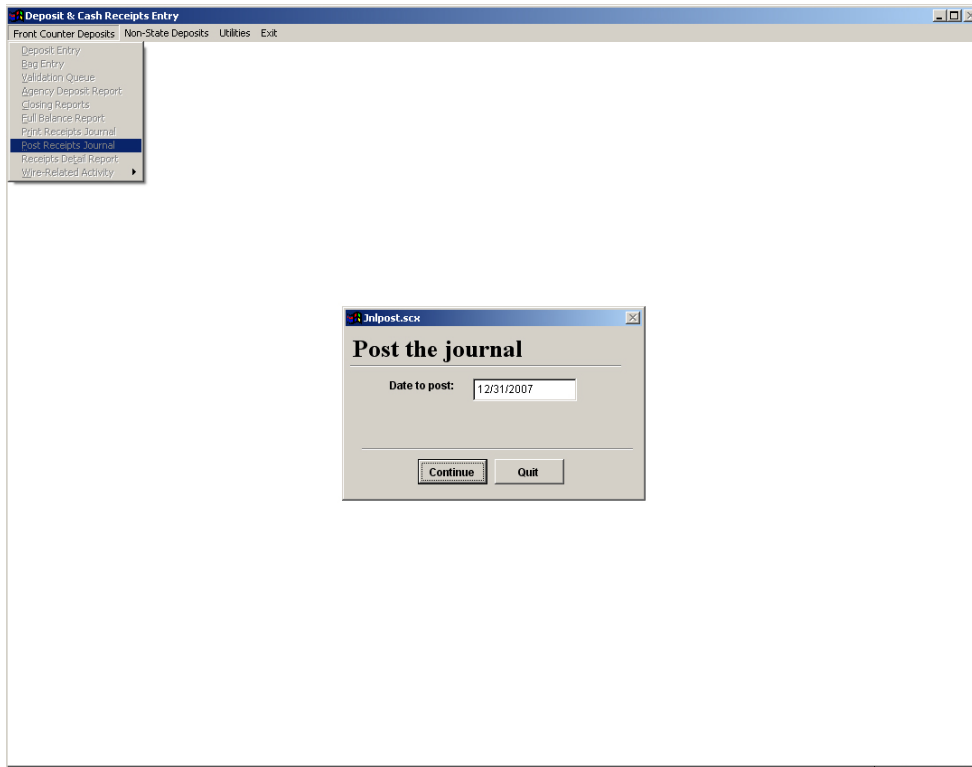
Cash Receipts Journal

[Jnlpart1]
Posted Copy

Date	Description	Account	DR	CR
11/30/2007	WIRE 11/27/2007	410400 Credit Items Clearing	4,995.00	
11/30/2007	CR AAA 140 Receipts for batch AAA 11/28/2007 140	410300 Deposit Clearing		4,995.00
11/30/2007	CASH 11/30/2007	130100 BAA Servicing	400.00	
11/30/2007	CHK 11/30/2007	130100 BAA Servicing	11,983.00	
11/30/2007	CR ABA 139 Receipts for batch ABA 11/29/2007 139	410300 Deposit Clearing		12,383.00
11/30/2007	CHK 11/30/2007	130100 BAA Servicing	16,399.50	
11/30/2007	CR ABA 140 Receipts for batch ABA 11/29/2007 140	410300 Deposit Clearing		16,399.50
11/30/2007	WIRE 11/28/2007	410400 Credit Items Clearing	2,338.00	
11/30/2007	CR ADI 999 Receipts for batch ADI 11/29/2007 999	410300 Deposit Clearing		2,338.00
11/30/2007	CHK 11/30/2007	130100 BAA Servicing	6,590.00	
11/30/2007	CR ADI 174 Receipts for batch ADI 11/30/2007 174	410300 Deposit Clearing		6,590.00
11/30/2007	CHK 11/30/2007	130100 BAA Servicing	20.00	
11/30/2007	CR ADI 323 Receipts for batch ADI 11/30/2007 323	410300 Deposit Clearing		20.00
11/30/2007	CHK 11/30/2007	130100 BAA Servicing	76.00	
11/30/2007	CR ADI 637 Receipts for batch ADI 11/30/2007 637	410300 Deposit Clearing		76.00

Shows receipts to be posted to the General Ledger.

Arizona State Treasurer's Office FMS RFI



The screenshot displays the 'Arizona State Treasurer's Office Daily Receipt Report' window. The report is for items entered on 01/11/2008 for the 01/11/2008 journal, run on January 11, 2008 at 15:55:07. The table below lists the deposit transactions.

Batch	Agg.	Date	Num.	Jnl. Date	Val. Date	Cash & Coin	Checks	Dirdep	Wire	Tot
AAA	11/05/2008	900	01/11/2008	01/11/2008				2,127.00		
								2,127.00		2,127.
ADI	10/10/2008	999	01/11/2008	01/11/2008					1,932.00	
									1,932.00	1,932.
ADI	11/11/2008	445	01/11/2008	01/11/2008			320.00			
							320.00			320.
ADZ	01/11/2008	749	01/11/2008	01/11/2008			899.27			
							899.27			899.
ADZ	01/11/2008	752	01/11/2008	01/11/2008			5,151.62			
							5,151.62			5,151.
ADZ	01/11/2008	753	01/11/2008	01/11/2008			15,045.51			
							15,045.51			15,045.
ADZ	01/11/2008	754	01/11/2008	01/11/2008			205,964.52			
							205,964.52			205,964.

Report shows alphabetically listed by agency the deposits processed for a specified time period.

Arizona State Treasurer's Office FMS RFI

Deposit & Cash Receipts Entry

Front Counter Deposits | Non-State Deposits | Utilities | Exit

- Deposit Entry
- Bag Entry
- Validation Queue
- Agency Deposit Report
- Closing Reports
- Full Balance Report
- Print Receipts Journal
- Post Receipts Journal
- Receipts Detail Report
- Wire-Related Activity
 - Wires Accepted Report
 - Free a Wire

WireReportSetup.scx

Print Wires Accepted by FCTR

Processing Date to Print: 01/22/2008

☐ Preview Only

Fctrblch (Fctr\Fctrblch) Record: 3/107069 Record Unlocked NUM

Report Designer - wiredcleared.frx - Page 1 - Deposit & Cash Receipts Entry

Front Counter Deposits | Non-State Deposits | Utilities | Exit

Print Preview

Wires Accepted by the Front Counter [WiredCleared]

For Processing Date: 12/27/2007
Run on 01/22/2008 at 17:42:43

Jul. Date	Batch Info.	Wire ID	Wire Date	Ref. Date	Short Title	Wire Amount
12/27/2007	ADI 12/25/2007	999	12/26/2007	12/24/2007	AZ GOVT UNIV DOA PORTAL	37,720.00
12/27/2007	AGA 12/27/2007	112	11/09/2007	11/09/2007	ATTORNEY GENERAL	17,505.00
12/27/2007	DEA 12/24/2007	506	10/26/2007	10/26/2007	DES - EBT	659.00
12/27/2007	DEA 12/24/2007	506	12/06/2007	12/06/2007	DES - EBT	458.00
12/27/2007	DEA 12/24/2007	506	12/07/2007	12/07/2007	DES - EBT	347.00
12/27/2007	DEA 12/21/2007	203	12/21/2007	12/21/2007	DES WEB CLEARING	5,430.01
12/27/2007	DEA 12/21/2007	202	12/24/2007	12/21/2007	DES - LOCKBOX	34,099.52
12/27/2007	DEA 12/24/2007	201	12/24/2007	12/24/2007	DES WEB CLEARING	2,922.61
12/27/2007	DEA 12/24/2007	202	12/24/2007	12/24/2007	DES - LOCKBOX	9,643.92
12/27/2007	DEA 12/24/2007	200	12/24/2007	12/24/2007	DES OARC CLRG ACCT	5,597.15
12/27/2007	DEA 12/27/2007	752	12/27/2007	12/27/2007	DES	2,455.65
12/27/2007	DEA 12/26/2007	751	12/27/2007	12/27/2007	DES	354,396.00
12/27/2007	DEA 12/27/2007	754	12/27/2007	12/27/2007	DES	24,857.00
12/27/2007	DTA 12/27/2007	907	12/27/2007	12/27/2007	MVD	4,908.08
12/27/2007	DTA 12/27/2007	904	12/27/2007	12/26/2007	MVD	86,761.80
12/27/2007	DTA 12/27/2007	910	12/27/2007	12/26/2007	MVD	138,994.60
12/27/2007	DTA 12/27/2007	901	12/27/2007	12/26/2007	MVD	18,170.89
12/27/2007	DTA 12/27/2007	916	12/27/2007	12/26/2007	MVD	24,161.69
12/27/2007	DTA 12/27/2007	918	12/27/2007	12/26/2007	MVD	42,044.83
12/27/2007	DTA 12/27/2007	918	12/27/2007	12/26/2007	MVD	871,253.10
12/27/2007	DTA 12/27/2007	919	12/27/2007	12/26/2007	MVD	1,070,863.12
12/27/2007	DTA 12/27/2007	903	12/27/2007	12/26/2007	MVD	2,923,704.69
12/27/2007	EDA 12/27/2007	269	12/27/2007	12/27/2007	EDUCATION	181,561.48

Wiredreporttemp Record: 30/53 Exclusive NUM

Arizona State Treasurer's Office FMS RFI

This is printed and kept with the daily bundle of deposits. It shows the wires that were matched to the deposits processed for the day.

Deposit & Cash Receipts Entry

Front Counter Deposits | Non-State Deposits | Utilities | Exit

Deposit Entry
Bag Entry
Validation Queue
Agency Deposit Report
Closing Reports
Full Balance Report
Print Receipts Journal
Post Receipts Journal
Receipts Detail Report
Wire-Related Activity

Wires Accepted Report
Free a Wire

Free Wire Utility

Use this utility to free up a wire that has been attached to a FCTR batch in error.

Display wires between: 12/27/2007 and 12/27/2007

Wire ID	Wire Date	Title	Amount	Clear FCTR	Batch Info:
	12/27/2007	AHCCCS	2,328.82	12/27/2007 HCA	12/26/2007 220 FCTR
	12/27/2007	AHCCCS	7,185,497.41	12/27/2007 HCA	12/26/2007 220 FCTR
	11/09/2007	ATTORNEY GENERAL	17,505.00	12/27/2007 AGA	12/27/2007 112 FCTR
	12/26/2007	AZ GOVT UNIV DOA PORTAL	37,720.00	12/27/2007 ADI	12/25/2007 999 FCTR
	12/27/2007	DES	2,455.65	12/27/2007 DEA	12/27/2007 752 FCTR
	12/27/2007	DES	354,396.00	12/27/2007 DEA	12/26/2007 751 FCTR
	12/27/2007	DES	24,857.00	12/27/2007 DEA	12/27/2007 754 FCTR
	10/26/2007	DES - EBT	659.00	12/27/2007 DEA	12/24/2007 506 FCTR

Free Wire | Quit

Wirelistmpcursor | Record: 1/53 | Exclusive | NUM

This is used to “free” a wire that was previously “grabbed” during the deposit entry for a deposit that was processed. This is commonly used in conjunction with deleting a validated deposit when corrections are needed or if the wrong wire was processed with a deposit. This is needed so that the wire will be available in the wire list later to be able to process the deposit when ready.

Arizona State Treasurer's Office FMS RFI

Non-State Remittance Deposits

Deposit & Cash Receipts Entry

Front Counter Deposits | Non-State Deposits | Utilities | Exit

Batch Entry

- Batch Entry
- Eve Maintenance
- Fax Contact Maintenance
- Depositor Maintenance
- Property Tax Report
- Spreadsheet & General Reports
- NSD History Ad Hoc Report
- Adjustments & Transfers

Batch Entry Screen

Batch Date: 08/03/2006 Transmitted on: 08/03/2006 04:00:39 PM Faxed: 08/04/2006 10:39:26 AM
Batch #: 541 Internal ID: 2317 Validated August 04, 2006

Doc. Date	Doc. #	Checks	Wires	Total	Dep. Code	Dep. Name	Bal.?
08/03/2006	DNS26521	20,692.23	0.00	20,692.23	6333	Chino Valley	<input checked="" type="checkbox"/>
08/03/2006	DNS26522	20,581.76	0.00	20,581.76	6032	Oro Valley	<input checked="" type="checkbox"/>
08/03/2006	DNS26523	110,545.04	0.00	110,545.04	5746	Peoria	<input checked="" type="checkbox"/>
08/03/2006	DNS26524	475,592.39	0.00	475,592.39	5755	Tempe	<input checked="" type="checkbox"/>
08/03/2006	DNS26525	10,156.99	0.00	10,156.99	5937	Winslow	<input checked="" type="checkbox"/>

Refract Batch Running Total: 637,568.41
View Doc Your Total: 637,568.41
Final Count: 62

Top Previous Next Bottom Print Fax Add Edit Quit

BatchHdr (Nsdpos)BatchHdr Record: 3/365 Record Unlocked NUM

Information input from non-state remittance form and check (wire) amount received.

Deposit & Cash Receipts Entry

Front Counter Deposits | Non-State Deposits | Utilities | Exit

Mainfrm

Document Entry

Doc. #: DNS26521 Depositor: 6333 Chino Valley
Doc. Date: 08/03/2006 Proof Doc.

Use Ctrl + UpArrow and Ctrl + DownArrow to move up and down the rows.

Fund	Court	Amount
Judicial CEF- Filing Fees	Chino Valley MN	0.96
Judicial CEF- Time Pmnt.	Chino Valley MN	797.57
Judicial CEF- Probation Surcharge	Chino Valley MN	1,102.65
DNA Penalty Assessment -	Chino Valley MN	398.68
Drug & Gang Enforce Acct	Chino Valley MN	1,026.08
Citizens Clean Elections Fund	Chino Valley MN	1,651.40
Medical Svcs Enhancmnt	Chino Valley MN	2,222.63
CJEF -Penalty Assessment	Chino Valley MN	8,032.31

Running Total: 20,692.23 Control Total: 20,692.23

View Doc Exit

Top Previous Next Bottom Print Fax Add Edit Quit

DocHdr (Nsdpos)DocHdr Record: 309/50535 Record Unlocked NUM

Detail information by fee type input from non-state remittance form.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Deposit & Cash Receipts Entry' window with the 'Non-State Deposits' menu open, highlighting 'Fee Maintenance'. The 'Fee Maintenance' window is displayed, showing the following fields:

- Fee Title: Medical Svcs Enhancmnt
- Status: Active
- Id: 54
- Agency: TRA
- PCA: 55240
- Comp. Obj.: 4511
- TC: 190
- Fund: 0
- Index: 0
- Agy. Obj.: 0

There is a checkbox for 'Needs Court Details' which is checked. Below this is a table with the following data:

Depositor	Court Type	Index	PCA	Comp. Obj.
Sahuarita	MN	0	0	0
St Johns	MN	0	0	0
Springerville	MN	0	0	0
Eagar	MN	0	0	0
Tec Nos Pos	JP	0	0	0
St Johns	JP	0	0	0

Below the table are radio buttons for 'Hide Deleted Items' (selected) and 'Show Deleted Items'. At the bottom are buttons: Top, Previous, Next, Bottom, Print, Delete, Add, Edit, Quit.

The status bar at the bottom shows: Feetype (Nsdpos)Feetype, Record: 54/97, Record Unlocked, NUM.

Screen used to maintain fee types, update USAS information used for upload from our system to USAS.

The screenshot shows the 'Deposit & Cash Receipts Entry' window with the 'Non-State Deposits' menu open, highlighting 'Fax Contact Maintenance'. The 'Contact Maintenance' window is displayed, showing the following fields:

- Agency: AGA
- Agency Name: Attorney General
- Name: John Stevens
- Fax #: 542-5940

At the bottom are buttons: Top, Prev, Next, Bottom, Find, Print, Add, Edit, Exit.

The status bar at the bottom shows: Skip to next record, NUM.

Used to maintain names and fax numbers from agencies for faxing notices.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Deposit & Cash Receipts Entry' application window. The 'Non-State Deposits' menu is open, and 'Depositor Maintenance' is selected. The 'Depositor Code Maintenance' dialog box is displayed, showing the following fields:

- Code: 5000
- Name: Apache County
- County: 1
- ☒ This depositor is a county treasurer
- ☐ This depositor is a court
- Court Type: (empty dropdown)

Buttons at the bottom of the dialog include: Top, Prev, Next, Bottom, Find, Print, Add, Edit, Exit.

At the bottom of the main application window, there is a status bar with the text 'Skip to next record' and a 'NUM' field.

Maintain unique depositor codes (assigned by our office) for all courts/government entities that pay fees.

The screenshot shows the 'Deposit & Cash Receipts Entry' application window. The 'Non-State Deposits' menu is open, and 'Spreadsheet & General Reports' is selected. The 'Non-State Deposits' dialog box is displayed, showing the following fields:

- Select the type of report you desire:
 - Alt. Dispute Resolution (dropdown)
 - ☒ Spreadsheet
 - ☐ General
- Enter the Fiscal Year ending date desired for your report.
 - Fiscal Year Ending: 06/30/2008
- ☒ Print Preview
- ☐ Export XLS

Buttons at the bottom of the dialog include: Print, Cancel.

At the bottom of the main application window, there is a status bar with the text 'Report_view', 'Record: 1/196', 'Exclusive', and a 'NUM' field.

Arizona State Treasurer's Office FMS RFI

Report Designer - c:\fms\ssr - Page 1

**Arizona State Treasurer's Office
Revenue Report** [qjfmofss]

Alt. Dispute Resolution payments received 07/01/2007 through 06/30/2008

Run Date : 01/11/2008

Court	Court Type	July	August	September	October	November	December	January	February	March	April	May	June	YTD Total
Apache County														
Chula	JP	3.89	1.46		11.94	2.62								\$19.91
Puerco	JP	2.38			13.27	2.42								\$17.97
Round Valley	JP	14.95	5.75		19.86	7.31								\$47.85
St. Johns	JP	3.19	7.85		13.57									\$24.61
Tec Nos Pos	JP													\$0.00
Eagar	MN													\$0.00
Springerville	MN													\$0.00
St. Johns	MN													\$0.00
Apache County SC	SC	66.85	44.27		53.21	26.43								\$190.76
Total For Apache		91.16	59.31	0.00	111.85	38.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$301.10
Cochise County														
Benson	JP	28.75	28.37	20.30	26.85	21.57	25.64							\$151.48
Bisbee	JP	17.37	32.71	11.22	23.05	21.86	11.08							\$117.29

Reports run at end of month for distribution to state agencies.

Deposit & Cash Receipts Entry

Front Counter Deposits | Non-State Deposits | Utilities | Exit

- Batch Entry
- Fee Maintenance
- Fax Contact Maintenance
- Depositor Maintenance
- Property Tax Report
- Spreadsheet & General Reports
- NSD History Ad Hoc Report**
- Adjustments & Transfers

Basic Report Setup

Start Date: 01/01/2008 End Date: 01/11/2008

Pick a saved query or fill in the custom selection box:

MNUPSC1	Deposits made by courts
AHCCCS	Deposits w/ PCA 50003 - AHCCCS
CJEFCTY	Non-court CJEF deposits
MSEFCRT	IMSEF from courts

Custom Selection:

Depositor Code: 0 Include only deposits from:

Comp. Object: 0 ☐ JP ☐ SC

PCA: 0 ☐ MN ☐ Non-Court

Index: 0

Amount Between: 0.00 and 0.00

Run Options:

☐ Order by Date ☐ Preview Report

☐ Order by county ☐ Print Report

☐ Export to Lotus

Continue Quit

Arizona State Treasurer's Office FMS RFI

Report Designer - hstbydte.frx - Page 1

[HistByDate]

Non-State Deposit History - Date Order

Run on 01/11/2008 at 11:04:27

Batch Date	Batch#	Depositor	PCA	Index	Agcy	Comp. Obj.	Amount	Desc.
11/02/2007	151	6142 City of Maricopa	MN	0	44192	SPA	4314	633.98 Judicial CEF - City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	62000	0	JCA	4511	11.07 Drug & Gang Enforce Acct
11/02/2007	151	6142 City of Maricopa	MN	59381	0	DTA	4511	4.05 Arizona Highway Fines (HURF)
11/02/2007	151	6142 City of Maricopa	MN	7852	7852	DEA	4511	77.57 Child Passenger Restrmt.
11/02/2007	151	6142 City of Maricopa	MN	55110	0	TRA	4511	5,439.76 CJEF -Penalty City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	71540	88720	PSA	4519	347.14 DNA Penalty As City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	55240	0	TRA	4511	1,504.52 Medical Svcs City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	44100	11000	ECA	4511	1,157.52 Citizens Clean City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	45400	0	TRA	4511	810.25 Fill the Gap City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	45300	45300	SPA	4314	1,194.25 Judicial CEF-P City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	34100	74192	SPA	4339	1,002.04 FARE Special C City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	34300	74192	SPA	4339	361.38 FARE Delinquent City of Mar:MN
11/02/2007	151	6142 City of Maricopa	MN	78100	603	DCA	4519	984.95 Prison Overcrowding Fund
11/02/2007	151	5333 Miami	MN	0	44040	SPA	4519	169.00 Judicial CEF - Miami:MN
11/02/2007	151	5333 Miami	MN	7852	7852	DEA	4511	50.00 Child Passenger Restrmt.
11/02/2007	151	5333 Miami	MN	55110	0	TRA	4511	889.17 CJEF -Penalty Miami:MN
11/02/2007	151	5333 Miami	MN	71540	88720	PSA	4519	45.44 DNA Penalty As Miami:MN
11/02/2007	151	5333 Miami	MN	55240	0	TRA	4511	245.92 Medical Svcs Miami:MN
11/02/2007	151	5333 Miami	MN	44100	11000	ECA	4511	190.15 Citizens Clean Miami:MN

Used to run reports using different search fields, usually for research purposes.

Deposit & Cash Receipts Entry

Front Counter Deposits Non-State Deposits Utilities Exit

- Batch Entry
- Fee Maintenance
- Fax Contact Maintenance
- Depositor Maintenance
- Property Tax Report
- Spreadsheet & General Reports
- NSD History Ad Hoc Report
- Adjustments & Transfers**

Adjxfer.scx

Adjustments / Transfers Entry Screen

Report: Citizens Clean Elections Fund

Fiscal Year: 2008

Comments: 7/07 City of Scottsdale (5723) deposit \$2,749.42, 9/07 City of Scottsdale (5723) deposit \$3,353.45, 10/07 City of Scottsdale (5723) \$1,846.49, 11/07 City of Scottsdale (5723) deposit \$2,117.29

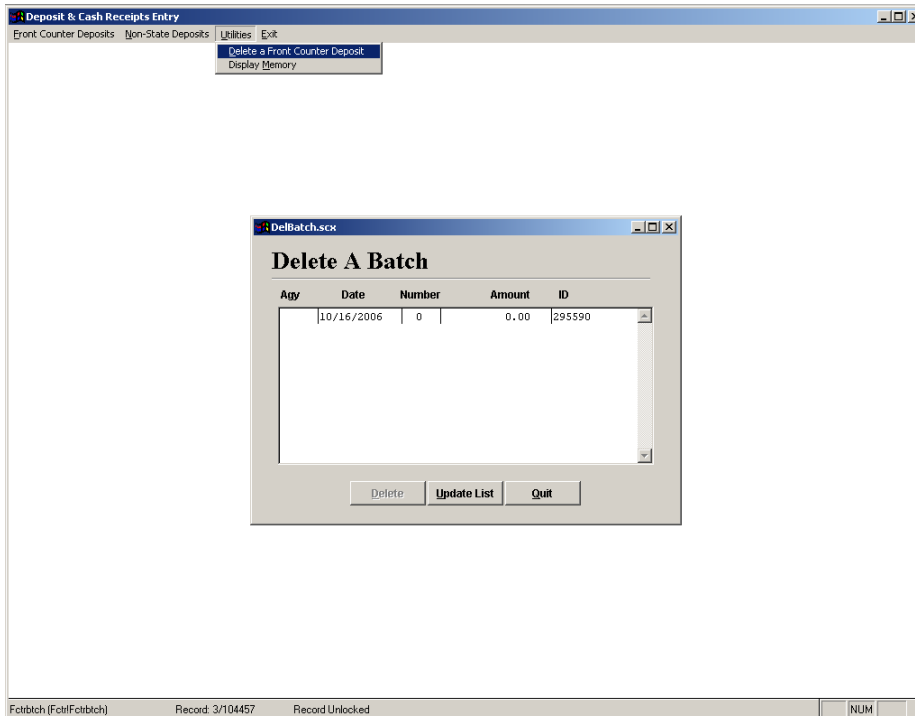
July:	2,749.42	January:	0.00
August:	0.00	February:	0.00
September:	3,353.45	March:	0.00
October:	1,846.49	April:	0.00
November:	2,117.29	May:	0.00
December:	0.00	June:	0.00

Top Prev Next Bottom Find Print Add Edit Delete Exit

Search for records NUM

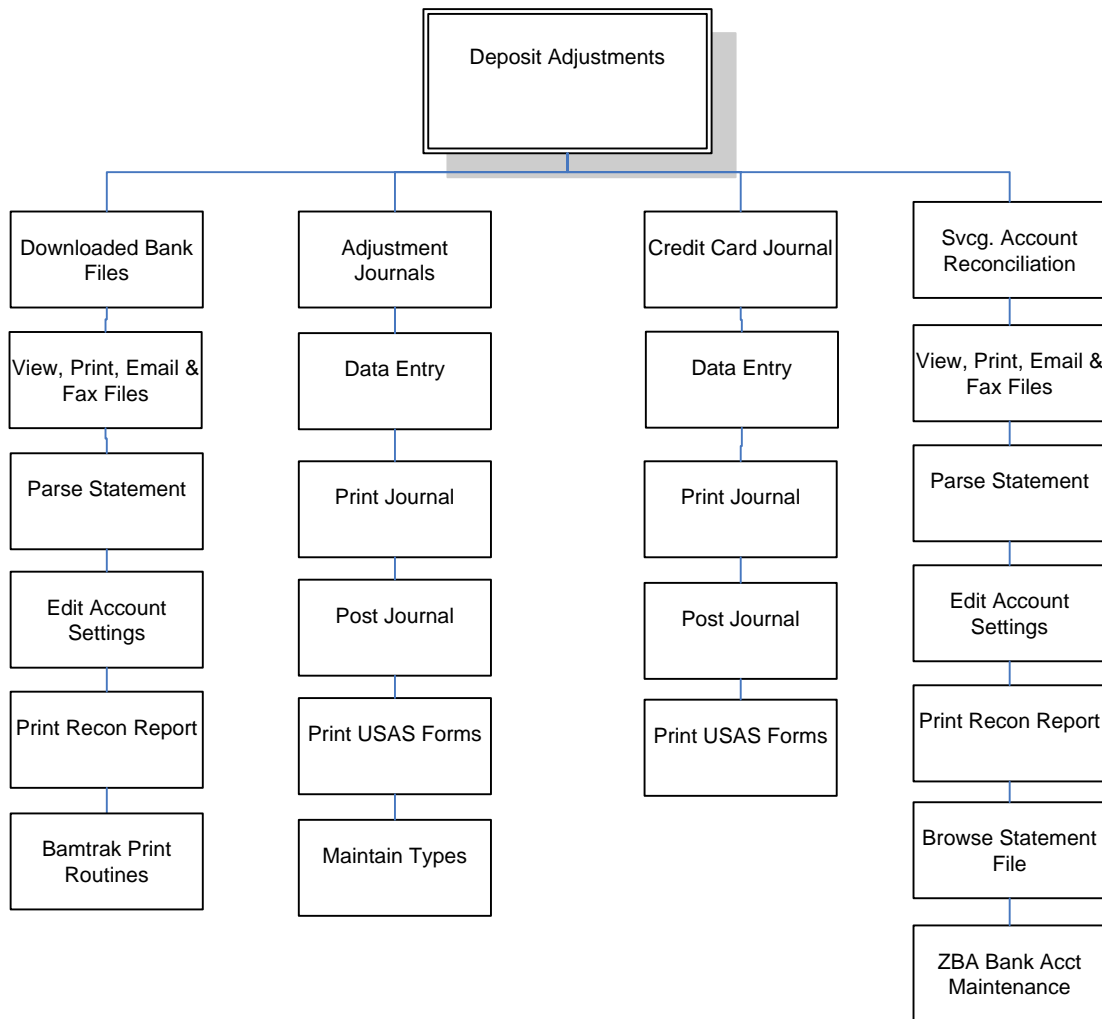
This screen allows for adjustments or transfers to be added to the spreadsheets/general reports. Comments are added that show up at the bottom of the report, the amount shows up in the adjustment line on the report for the appropriate fee.

Arizona State Treasurer's Office FMS RFI

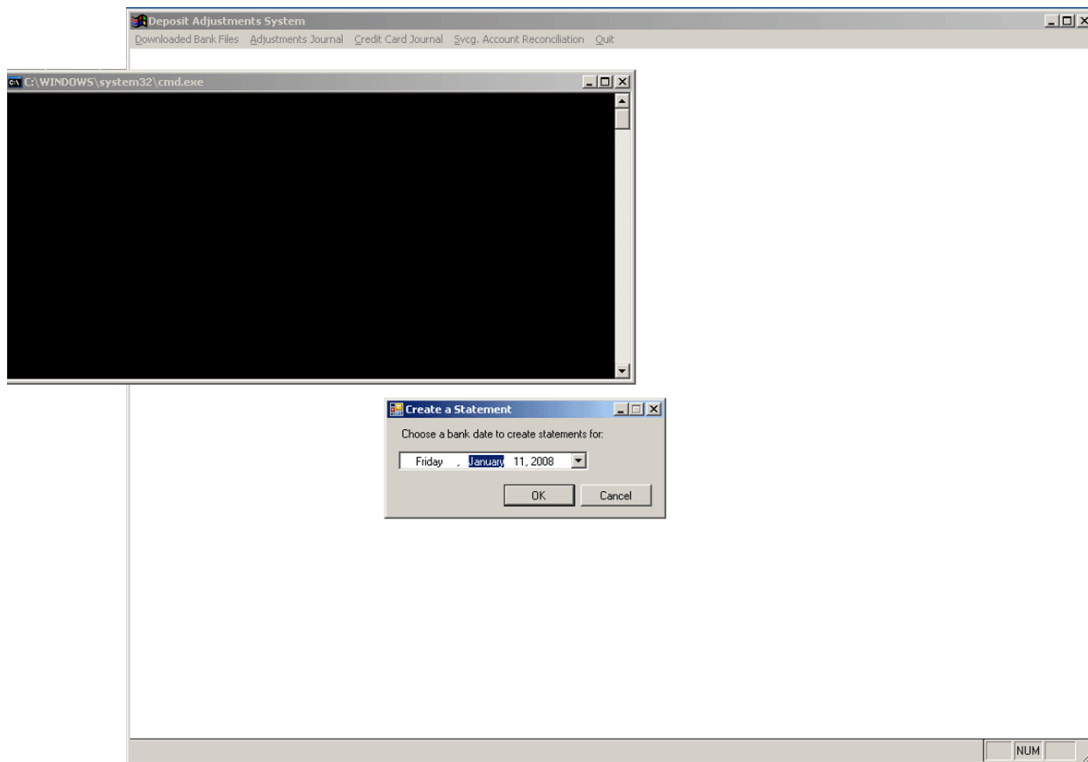
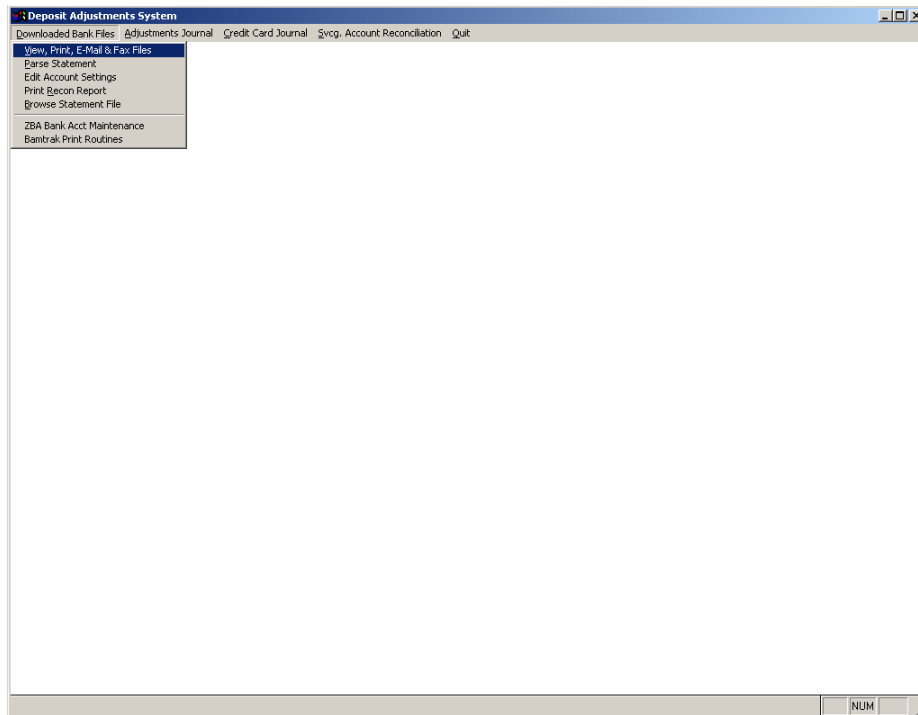


Used to delete a state agency deposit after it has been processed and validated.

Arizona State Treasurer's Office
FMS RFI

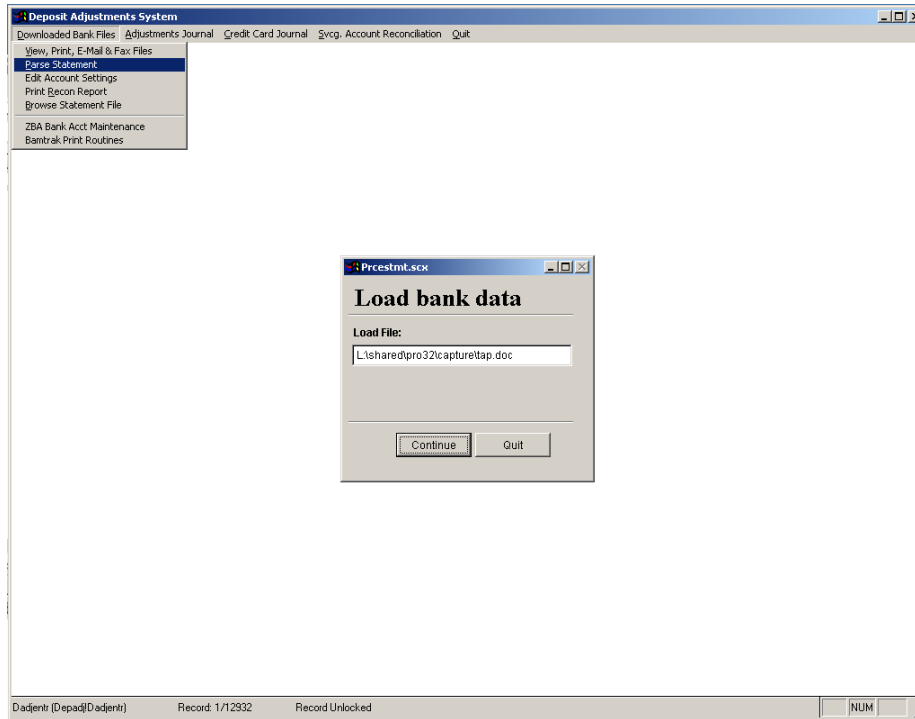


Arizona State Treasurer's Office FMS RFI

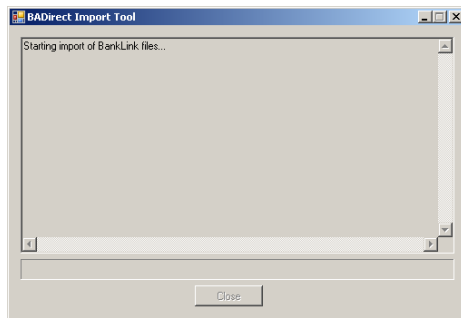


From the data load from the servicing bank; it allows us to view, print, email or fax banking statements.

Arizona State Treasurer's Office FMS RFI

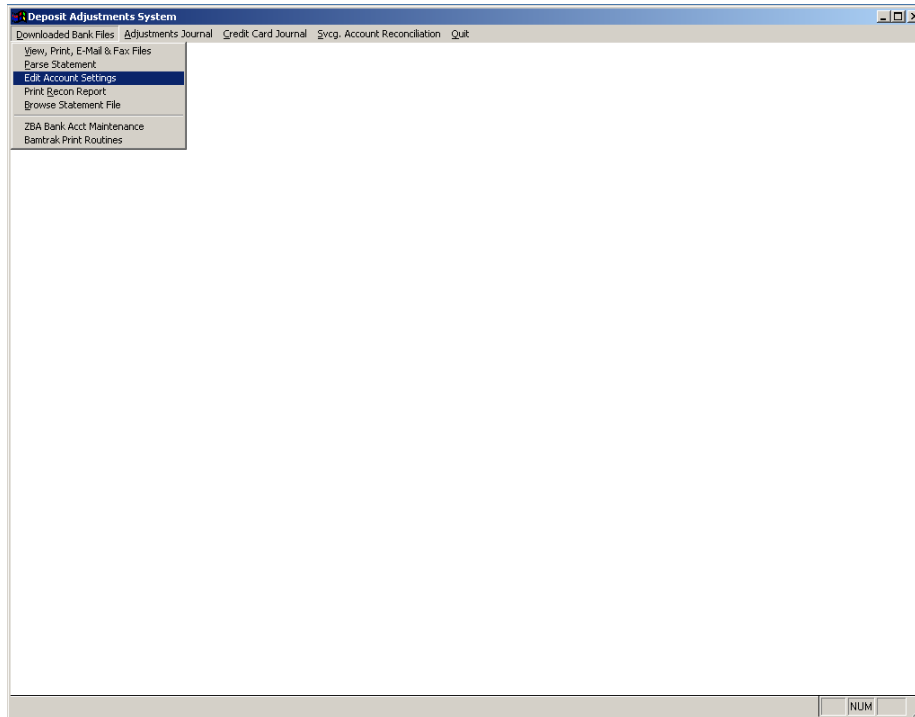


Loads data from servicing bank.

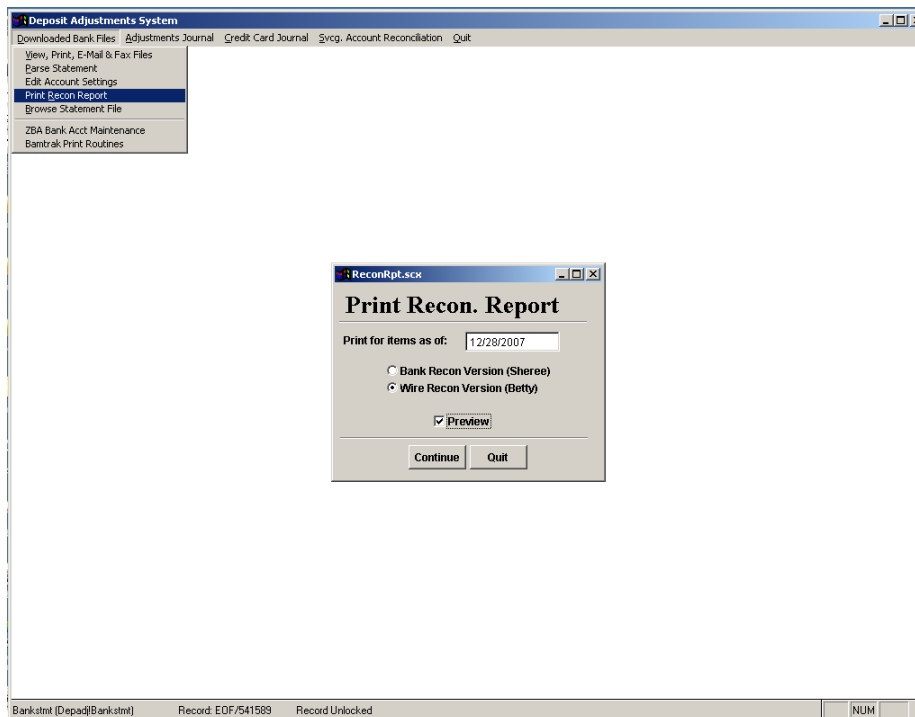


Performing parsing routine

Arizona State Treasurer's Office FMS RFI



Gives us the ability to change individual bank account settings.



Allows us to print the daily bank activity.

Arizona State Treasurer's Office FMS RFI

Report Designer - bankrecn.frx - Page 1

Arizona State Treasury			
Wire Desk Reconciliation Report			
Run on January 14, 2008 10:51:55			
For items at Bank of America as of December 28, 2007			
Reference Data	Amount	D/C Description	Account
STATE OF ARIZONA DES: CASH CONC FL# 20073613535 INDN SETT-BATCH 9866004700 CO ID: 9866004700 CCD 902562003504557 000000000000	440,809.15	CR ACH Settlement Credit	
FUNDS TRANSFER CREDIT FDES NNF 0001304 NBKG1C 945012283040077 000000000000	603,345.67	CR Automatic Transfer Credit	
813003150397185 000000000000	2.37	CR Commercial Deposit	
813003150397183 000000000000	3.50	CR Commercial Deposit	
813003150397181 000000000000	4.00	CR Commercial Deposit	
813003150397179 000000000000	6.50	CR Commercial Deposit	

View any pages or screen prints being sent out.

Deposit Adjustments System

Downloaded Bank Files Adjustments Journal Credit Card Journal Evcg. Account Reconciliation Quit

View, Print, E-Mail & Fax Files
Parse Statement
Edit Account Settings
Print Recon Report
Browse Statement File
ZBA Bank Acct Maintenance
Bankr. Print Routines

Print / Fax / E-Mail Files

Print, Fax or E-Mail Files

Description	File Name	Last Modified (Date & Time)	
	GENERAL.DOC	12/31/2007 10:08:08	View
	INVEST.DOC	12/31/2007 5:49:22	Print
	SUM.DOC	11/02/2007 13:41:46	Fax
	WRREL.DOC	12/31/2007 11:05:30	Auto Fax
	WRIN.DOC	12/31/2007 13:37:26	E-Mail
TRA: Summary - All Accounts	SUMALL.DOC	12/31/2007 5:50:46	Print, Nightly Run
TRA: Tap - All Cash Accounts	TAP.DOC	12/31/2007 5:51:46	

Quit Contact List

Agent (Depad)Agent Record: 1/47 Record Unlocked NUM

Provides bank statement information.

Deposit Adjustments System	
Download Bank Files	Adjustments Journal Credit Card Journal Svcs. Account Reconciliation Quit Format

Viewing file L:\shared\pro32\capture\SUMALL.DOC Last modified 12/31/2007

```

SUM
STATE OF ARIZONA - TREASURER
BANK OF AMERICA, N.A.
BANTRAC USA
SUMMARY BALANCE REPORTING
BALANCES REPORTED AS OF 28DEC07
PRINTED ON 31DEC07 06:50 MST

TAB - BANK OF AMERICA - ARIZONA

CURRENCY USD DOLLAR

ACCT STATE OF ARIZONA - TREASURER DES PUB AST
LEDGER BALANCE                201,798.07
MTD AVERAGE LEDGER            202,164.30
OPENING AVAILABLE BALANCE      201,798.07
COLLECTED BALANCE              201,798.07
MONTH TO DATE AVERAGE COLLECTED 202,156.70
ONE DAY FLOAT                  0.00
TWO PLUS DAY FLOAT             0.00
TOTAL CREDITS                   0.00
TOTAL NUMBER OF CREDITS         0
TOTAL DEBITS                    6,771.97
TOTAL NUMBER OF DEBITS         13

ACCT STATE OF AZ-TREASURER TREASURERS SVC
LEDGER BALANCE                 95,159,744.67
MTD AVERAGE LEDGER            52,783,522.73
OPENING AVAILABLE BALANCE      70,024,444.67
COLLECTED BALANCE              9,728,961.67
MONTH TO DATE AVERAGE COLLECTED 469,158.03-
ONE DAY FLOAT                  60,295,483.00
TWO PLUS DAY FLOAT             25,125,200.00
          
```

Agent (DepadAgent)
Record: 1/47
Record Unlocked
NUM

The screenshot displays the 'Deposit Adjustments System' application. At the top, a menu bar includes 'Downloaded Bank Files', 'Adjustments Journal', 'Credit Card Journal', 'Avg. Account Reconciliation', and 'Quit'. A dropdown menu is open under 'Adjustments Journal', showing options: 'Data Entry', 'Print Journal', 'Post Journal', 'Print USAS Forms', and 'Maintain Types'. The 'Data Entry' option is selected.

The main window, titled 'DadjEntr.scr', is titled 'Deposit Adjustment Entry'. It contains the following fields and controls:

- Bank Date:** 06/30/2003
- Journal Date:** 07/01/2003
- ☒ Ready for Transmission
- Transmitted to USAS:** 11/28/2005 04:14:14 PM
- CR 505 Batch#:** 545
- DR 505A Batch#:** 547
- CR JV Batch#:** 546
- DR JV Batch#:** 548

Below these fields is a table with the following columns: Account, Amount, Dr/Cr, Ref. Date, Desc., and Agy. The table is currently empty.

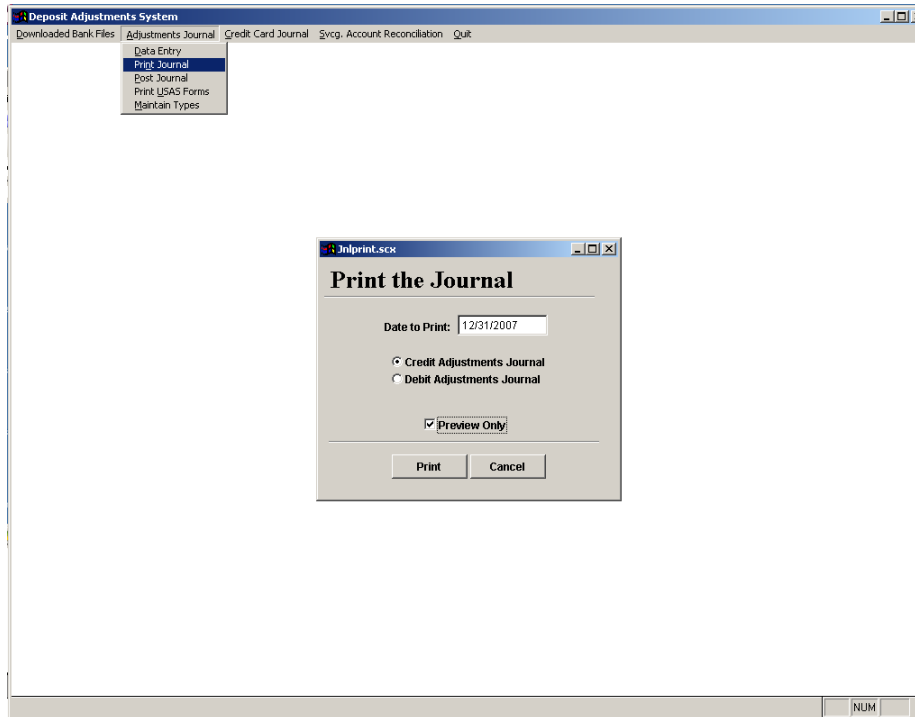
At the bottom right of the window, there is a section for 'Deleted Records:' with two radio buttons: 'Displayed' (selected) and 'Hidden'.

At the bottom of the window, there is a navigation bar with buttons: 'Top', 'Previous', 'Next', 'Add', 'Bottom', and 'Quit'.

The status bar at the very bottom of the screen shows: 'Dadjentr (DepadjDadjentr) Record: EOF/12332 Record Unlocked' and a 'NUM' field.

61

Arizona State Treasurer's Office FMS RFI



View the un-posted version of the deposit adjustments.

Report Designer - jnlprnt1.frx - Page 1

Journal Date: 31 December 2007		Arizona State Treasury		[Jnlprt1]
Run On: 14 January 2008 - 10:55:17		Credit Adjustments Jnl.		Posted Copy
Transaction Detail Section				
Date	Description	Account	DR	CR
12/31/2007	12/28/07 RETWAR	130100 BAA Servicing	10,285.09	
12/31/2007	12/28/07 RETWAR WARRANT CORRECTION	220100 Due from Bank		10,285.09
			10,285.09	10,285.09
Account Summary Section				
Date	Description	Account	DR	CR
		220100 Due from Bank		10,285.09
		130100 BAA Servicing	10,285.09	
			10,285.09	10,285.09

View the above.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Deposit Adjustments System' window. The menu bar includes 'Downloaded Bank Files', 'Adjustments Journal', 'Credit Card Journal', 'Svcg. Account Reconciliation', and 'Quit'. The 'Adjustments Journal' menu is open, showing options: 'Data Entry', 'Print Journal', 'Post Journal', 'Print USAS Forms', and 'Maintain Types'. The 'Post Journal' option is selected. A dialog box titled 'Post the journal' is displayed in the center. It contains a 'Date to post:' field with the value '12/31/2007'. Below this are two radio buttons: 'Credit Adjustments' (selected) and 'Debit Adjustments'. At the bottom of the dialog are 'Continue' and 'Quit' buttons. The status bar at the bottom of the main window shows 'Dadmast (DepadjDadmast)', 'Record: 1/1129', 'Record Unlocked', and a 'NUM' button.

The screenshot shows the 'Deposit Adjustments System' window with the 'Adjustments Journal' menu open and 'Print USAS Forms' selected. A dialog box titled 'Adjustment Forms' is displayed in the center. It contains a 'Bank date to print:' field with the value '12/28/2007'. Below this are three checked checkboxes: 'Print 505s & JVs', 'Print document register', and 'Print advices'. There is also a 'Preview' checkbox which is checked. At the bottom of the dialog are 'Continue' and 'Quit' buttons. The status bar at the bottom of the main window shows 'Dadmast (DepadjDadmast)', 'Record: 1129/1129', 'Record Unlocked', and a 'NUM' button.

Print deposit adjustment documents.

Arizona State Treasurer's Office FMS RFI

Report Designer - dadj505.frx - Page 1

Batch Header			
Agy	Date	Type	Number
TRA	12/31/2007	6	201

[Dadj505]

Unfavorable Deposit Adjustment Equivalent to TC505A

Document Number: JUD54102
Document / Effective Date: 12/31/2007

Adjustment for: CHIROPRACTIC EXAM

Comptroller's Object:	0	PCA:	77777
Comptroller's GL Code:	0	Index:	77777
Agency Object:	0	Appropriation Year:	2008
Agency GL Code:	0	Fund:	2010

Suffix	Agency	Transaction Code	Description	Amount
1	CEA	798	NON-NEGOTIABLE ITEM IN DEPOSIT	170.00
Total for document JUD54102				170.00

The printed deposit adjustment document. Documents are sent to the agency along with deposit backup from servicing bank.

Deposit Adjustments System

Downloaded Bank Files Adjustments Journal Credit Card Journal Svcp. Account Reconciliation Quit

Data Entry
Print Journal
Post Journal
Print USAS Forms

ccmmentr.scx

Credit Card Deposit Adj Entry

Bank Date: 04/21/2004 Transmitted to USAS: 04/27/2004 03:23:35 PM

Journal Date: 04/22/2004 CR 505 Batch#: 888 DR 505A Batch#: 999

☒ Ready for Transmission CR JV Batch#: 889 DR JV Batch#: 999

Account	Amount	Dr/Cr	Ref Date	Desc.	Agy
131400	17,150.13	CR	04/21/2004	PAYMENTECH	DOTAZH
131400	937.66	CR	04/21/2004	DISCOVER BUS SVC	DOTAZH
131400	48.33	CR	04/21/2004	AMERICAN EXPRESS	DOTAZH

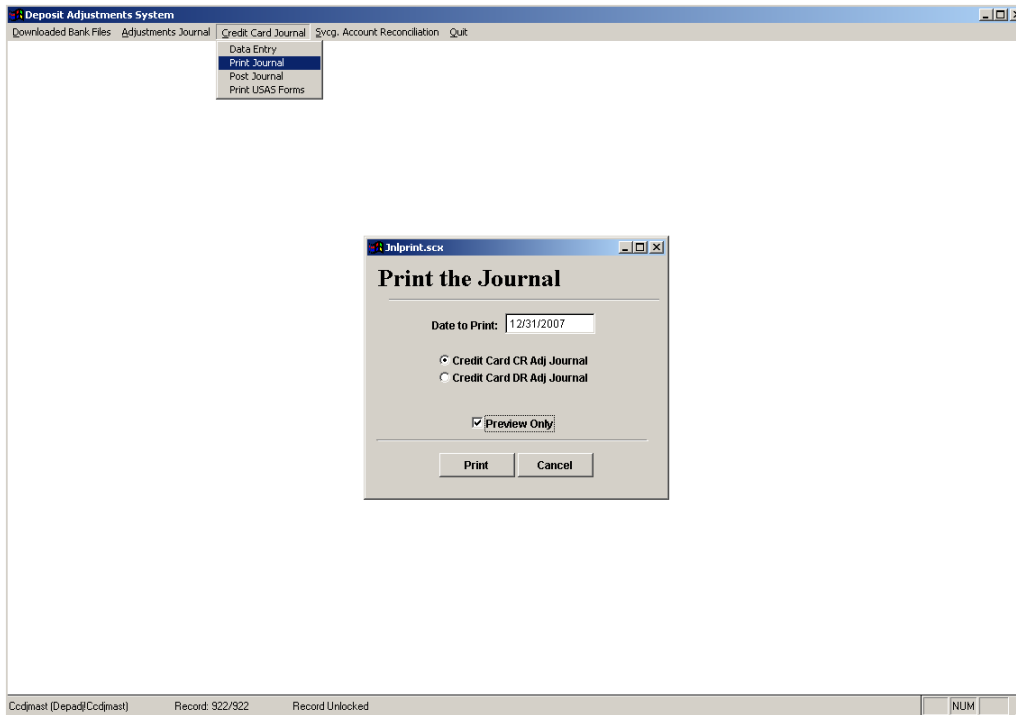
Deleted Records:
☒ Displayed ☐ Hidden

Ccdjentr (DepadjCcdjentr) Record: 1/18460 Record Unlocked NUM

Manually enter the credit card adjustments received from the servicing bank on a daily basis.

Arizona State Treasurer's Office

FMS RFI



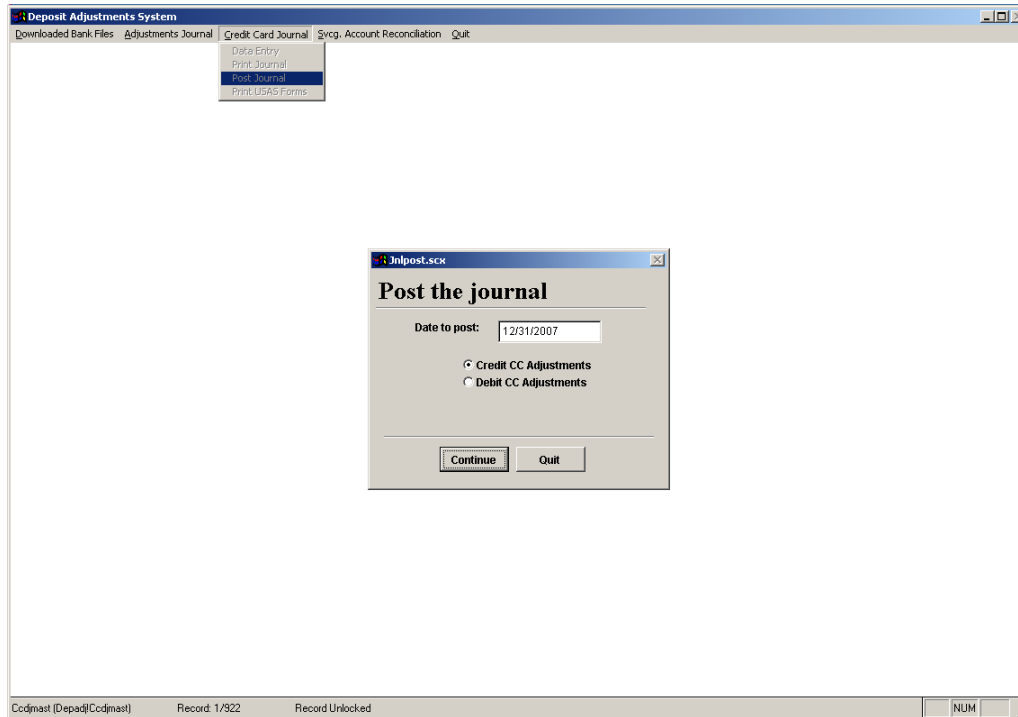
View the un-posted version of the credit card adjustments.

Report Designer - jnlprint1.frx - Page 1

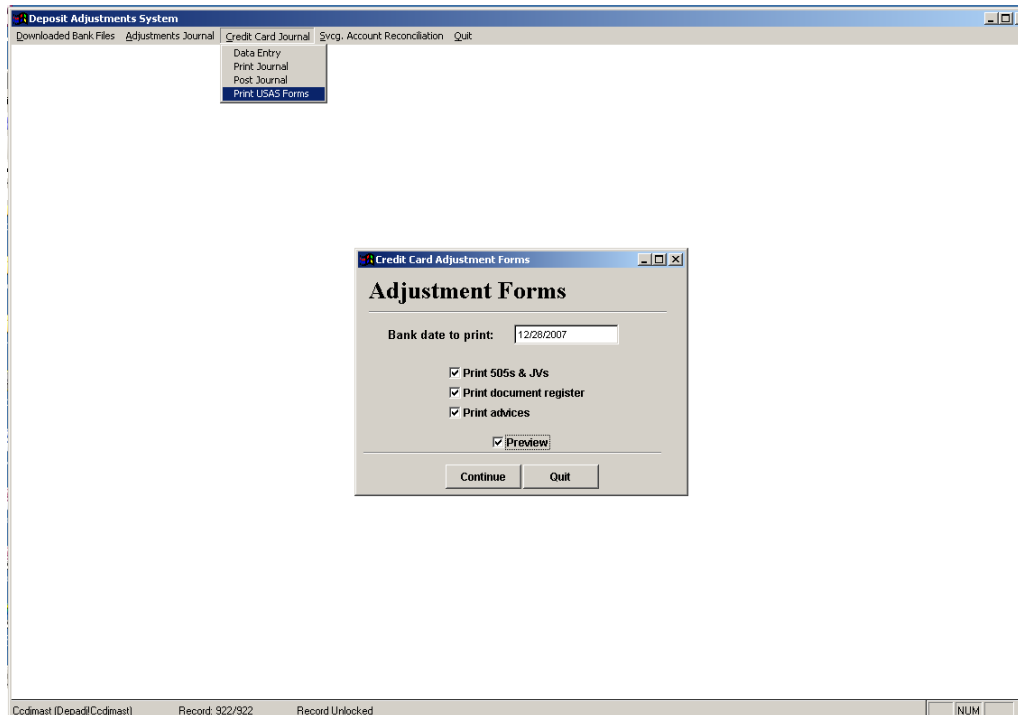
Journal Date: 31 December 2007		Arizona State Treasury		[Jnlpart1]
Run On: 14 January 2008 - 10:58:23		Credit Card Credit Adj. Jnl.		Posted Copy
Transaction Detail Section				
Date	Description	Account	DR	CR
12/31/2007	12/28/07 LIBCCR	131900 BAA Capitol Museum	191.77	
12/31/2007	12/28/07 LIBCCR Credit Card Revenue	410400 Credit Items Clearing		191.77
12/31/2007	12/28/07 GEOLCC	130100 BAA Servicing	86.00	
12/31/2007	12/28/07 GEOLCC Credit Card Revenue	410400 Credit Items Clearing		86.00
12/31/2007	12/28/07 PARKCC	130100 BAA Servicing	6,475.97	
12/31/2007	12/28/07 PARKCC Credit Card Revenue	410400 Credit Items Clearing		6,475.97
12/31/2007	12/28/07 WATECC	130100 BAA Servicing	251.25	
12/31/2007	12/28/07 WATECC Credit Card Revenue	410400 Credit Items Clearing		251.25
12/31/2007	12/28/07 PESTCC	130100 BAA Servicing	106.00	
12/31/2007	12/28/07 PESTCC Credit Card Revenue	410400 Credit Items Clearing		106.00
12/31/2007	12/28/07 PKSKCC	130100 BAA Servicing	8,614.45	
12/31/2007	12/28/07 PKSKCC Credit Card Revenue	410400 Credit Items Clearing		8,614.45
12/31/2007	12/28/07 GAMECC	130100 BAA Servicing	31,099.00	
12/31/2007	12/28/07 GAMECC Credit Card Revenue	410400 Credit Items Clearing		31,099.00
12/31/2007	12/28/07 GAMECC	130100 BAA Servicing	2,045.50	
12/31/2007	12/28/07 GAMECC Credit Card Revenue	410400 Credit Items Clearing		2,045.50

View the above.

Arizona State Treasurer's Office FMS RFI



Post the journal.



Print credit card adjustment documents.

Arizona State Treasurer's Office FMS RFI

Report Designer - ccdj505.frx - Page 1

Batch Header			
Agy	Date	Type	Number
TRA	12/31/2007	2	203

[ccdj505]

Favorable Credit Card Adjustment Equivalent to TC505

Document Number: DFD94301
Document / Effective Date: 12/31/2007

Adjustment for: AHCCCS (Health Care Group) CC

Comptroller's Object:	0	PCA:	80010
Comptroller's GL Code:	0	Index:	1499
Agency Object:	0	Appropriation Year:	2008
Agency GL Code:	0	Fund:	3198

Suffix	Agency	Transaction Code	Description	Amount
1	HCA	198	Credit Card Revenue	42,265.00
Total for document DFD94301				42,265.00

The printed deposit adjustment document. Documents are sent to the agency along with deposit backup from servicing bank.

Deposit Adjustments System

Downloaded Bank Files Adjustments Journal Credit Card Journal Svcp. Account Reconciliation Quit

- PreFlight Check
- Auto-Match Items
- Make Manual Adjustments
- Print Schedules
- Print Summary
- Reconciliation Review

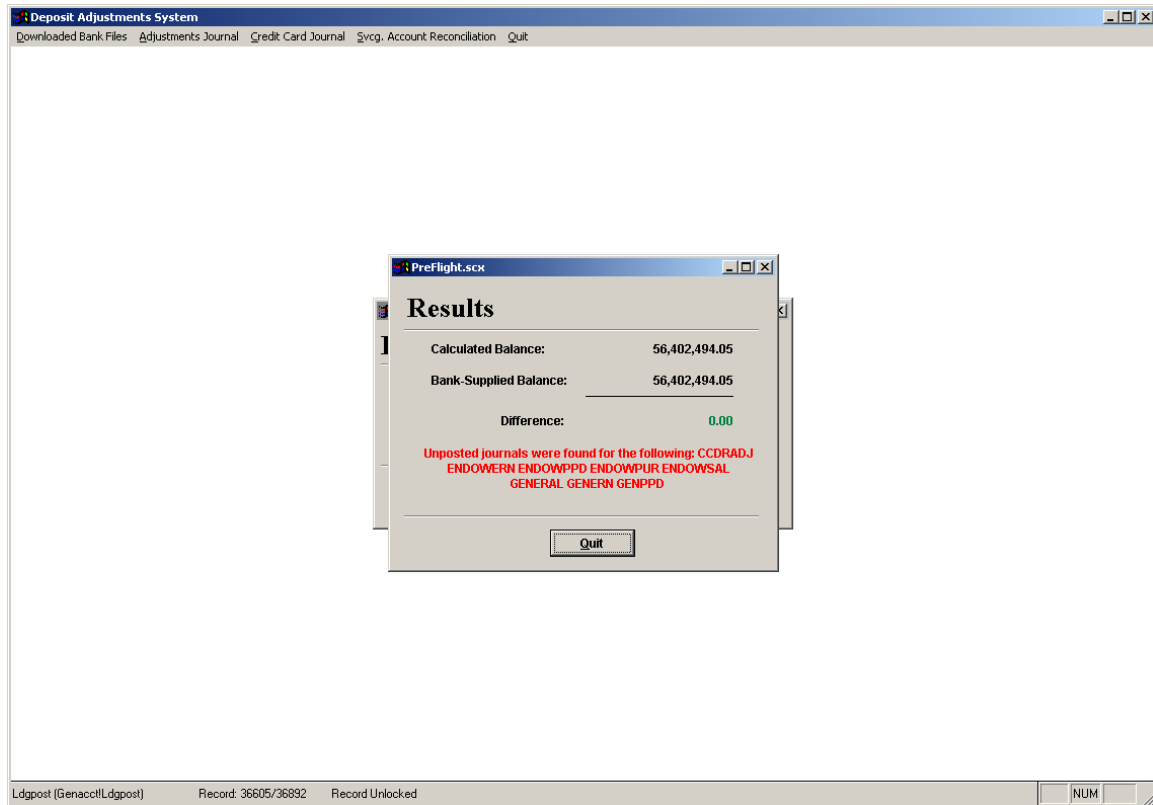
Reconciliation Pre-Flight Check

Bank Date to Reconcile:

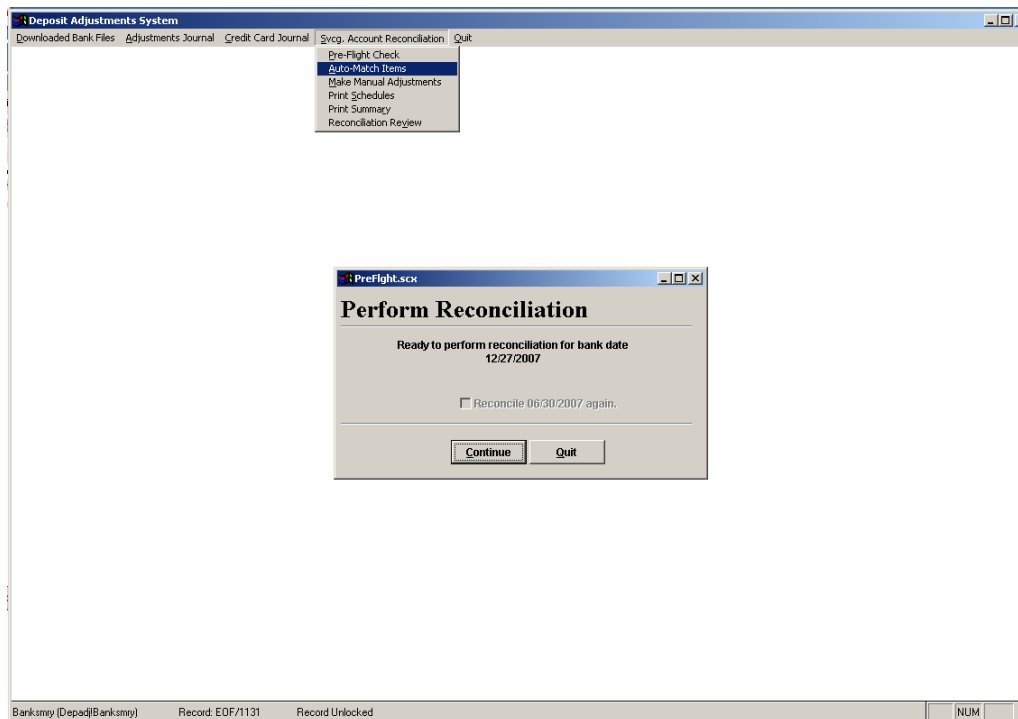
Banksmvy (Depadj@banksmvy) Record: EOF/1131 Record Unlocked NUM

Compares previous day Treasurer's Office balance to data load from servicing bank, debits and credits and then compares ending balance to ensure that we received all banking data during the download.

Arizona State Treasurer's Office FMS RFI

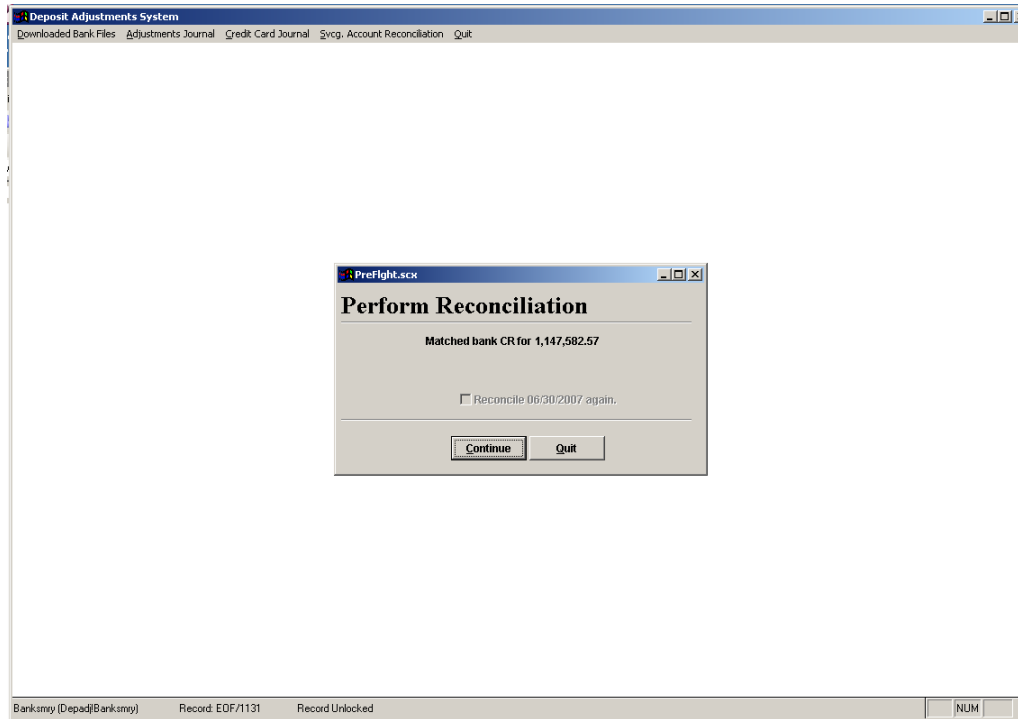


View function from above.

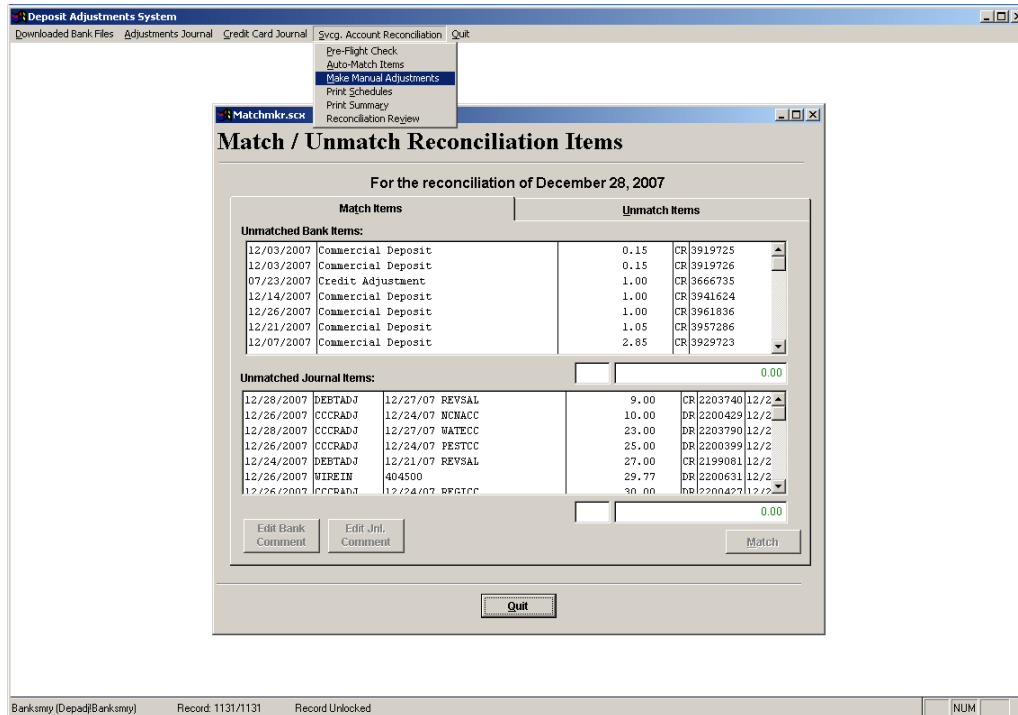


Loads servicing bank data into the reconciliation program.

Arizona State Treasurer's Office FMS RFI



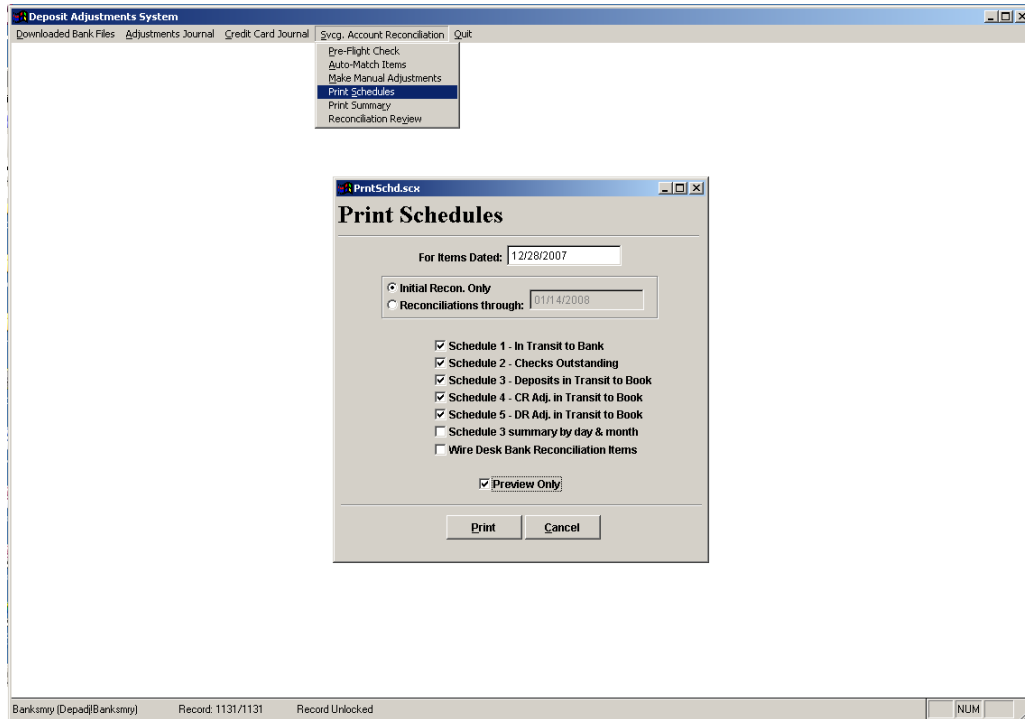
Reconciles as many items that the system parameters allow.



Manually reconcile banking entries to the corresponding journal entries.

Arizona State Treasurer's Office

FMS RFI



Print daily banking schedules

Report Designer - sch1&2.frx - Page 1

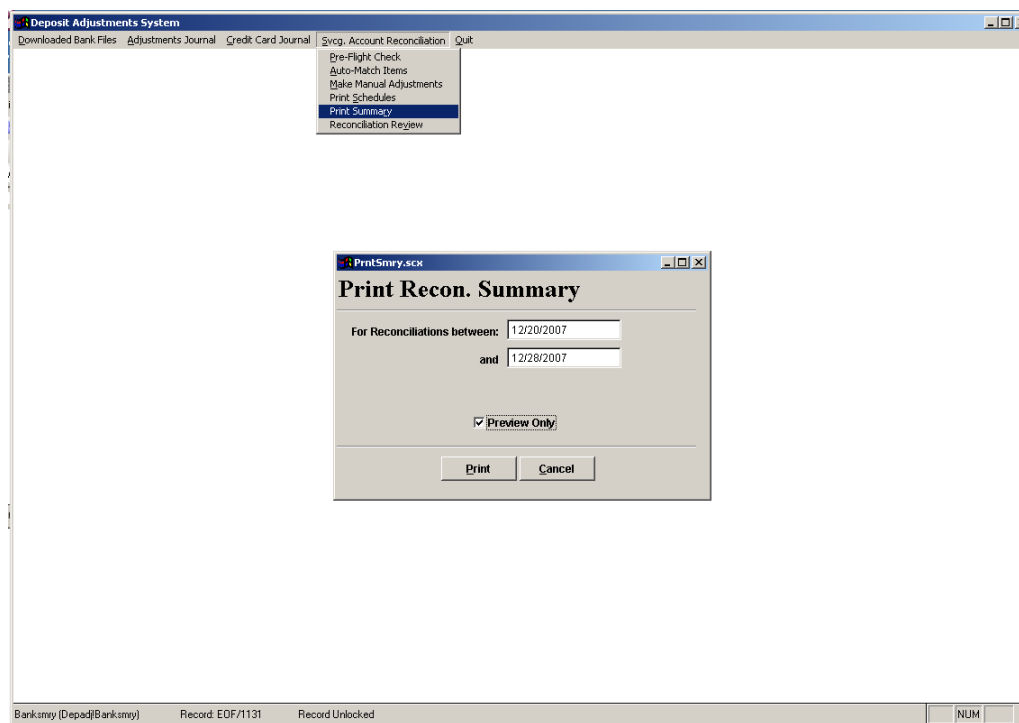
Schedule 1 - Deposits in Transit to Bank [Sch1&2]
For December 28, 2007 Page 1

Run on 01/14/2008 at 11:08:21

Int. Date	Ref. Date	Source	Description	Reference Data	Amount
12/03/2007	11/30/2007	CASHREC	DDEP 11/30/2007		86.00
12/03/2007	11/30/2007	CASHREC	DDEP 11/30/2007	WRONG ACCOUNT BELONGS IN ACCOUNT #2569 WILL CORRECT WITH AIR	60,423.77
12/24/2007	12/12/2007	CASHREC	DDEP 12/12/2007		105,572.50
12/24/2007	12/13/2007	CASHREC	DDEP 12/13/2007		83,354.91
12/24/2007	12/13/2007	CASHREC	DDEP 12/13/2007		66,119.00
12/24/2007	12/14/2007	CASHREC	DDEP 12/14/2007		69,728.50
12/24/2007	12/14/2007	CASHREC	DDEP 12/14/2007		193,447.00
12/24/2007	12/17/2007	CASHREC	DDEP 12/17/2007		62,502.20
12/24/2007	12/17/2007	CASHREC	DDEP 12/17/2007		202,517.53
12/24/2007	12/21/2007	CREDADJ	12/21/07 REVINC		1,000.00
12/24/2007	12/21/2007	CCCRADJ	12/21/07 GEOLCC		67.78
12/24/2007	12/21/2007	CCCRADJ	12/21/07 DOTMCC		124.28
12/24/2007	12/21/2007	CCCRADJ	12/21/07 PARKCC		4,783.32
12/24/2007	12/21/2007	CCCRADJ	12/21/07 WATECC		800.00
12/24/2007	12/21/2007	CCCRADJ	12/21/07 PESTCC		520.00
12/24/2007	12/21/2007	CCCRADJ	12/21/07 PKSKCC		6,806.45
12/24/2007	12/21/2007	CCCRADJ	12/21/07 GAMECC		46,047.12
12/24/2007	12/21/2007	CCCRADJ	12/21/07 GAMECC		2,332.00

Printed version of above.

Arizona State Treasurer's Office FMS RFI



Print the recap of the schedules.

Report Designer - recnsmry.frx - Page 1

Reconciliation Results Summary

For reconciliations from 12/27/2007 to 12/28/2007

[Recnsmry]
Page 1

Run on 01/14/2008 at 11:09:14

Date	Bank Balance	Deposits in transit to bank (Schedule 1)	Checks outstanding (Schedule 2)	Deposits in transit to book (Schedule 3)	CR Adj. in transit to book (Schedule 4)	DR Adj. in transit to book (Schedule 5)	Constructed Book Balance	Actual Book Balance	Difference
12/27/2007	56,402,494.05	41,274,723.77	227,395,755.08	68,934,889.17	108,063,825.05	228,752,958.45	-77,954,293.03	-77,954,293.03	
12/28/2007	95,159,744.67	43,475,872.45	253,161,895.81	61,633,949.32	97,645,069.74	254,527,270.18	-19,278,027.57	-19,278,027.57	

Printed version of above.

Arizona State Treasurer's Office FMS RFI

The screenshot displays the 'Deposit Adjustments System' application. The main menu bar includes 'Downloaded Bank Files', 'Adjustments Journal', 'Credit Card Journal', 'Svcp. Account Reconciliation', and 'Quit'. A dropdown menu is open under 'Svcp. Account Reconciliation', listing options: 'Pre-Flight Check', 'Auto-Match Items', 'Make Manual Adjustments', 'Print Schedules', 'Print Summary', and 'Reconciliation Review'. The 'Reconciliation Review' option is selected, opening a sub-window titled 'Recnrevw.scx' with the heading 'Review Reconciliation Items'.

Inside the 'Review Reconciliation Items' window, there are several sections:

- Pick a date to view:** A table with two columns for dates and a third column for a dropdown menu.
- Pick a match to view:** A dropdown menu.
- Comments for this match:** A text area.
- Bank Items in this match:** A list box.
- Journal Items in this match:** A list box.

A 'Quit' button is located at the bottom of the window. The status bar at the bottom of the application shows 'Banksmy (DepadjBanksmy)', 'Record: 1/1131', 'Record Unlocked', and a 'NUM' field.

Pick a date to view:		
07/01/2003	07/21/2003	enrye
07/02/2003	07/21/2003	enrye
07/03/2003	07/21/2003	enrye
07/07/2003	07/21/2003	enrye
07/08/2003	07/21/2003	enrye

Pick a match to view:	

Comments for this match:

Bank Items in this match:

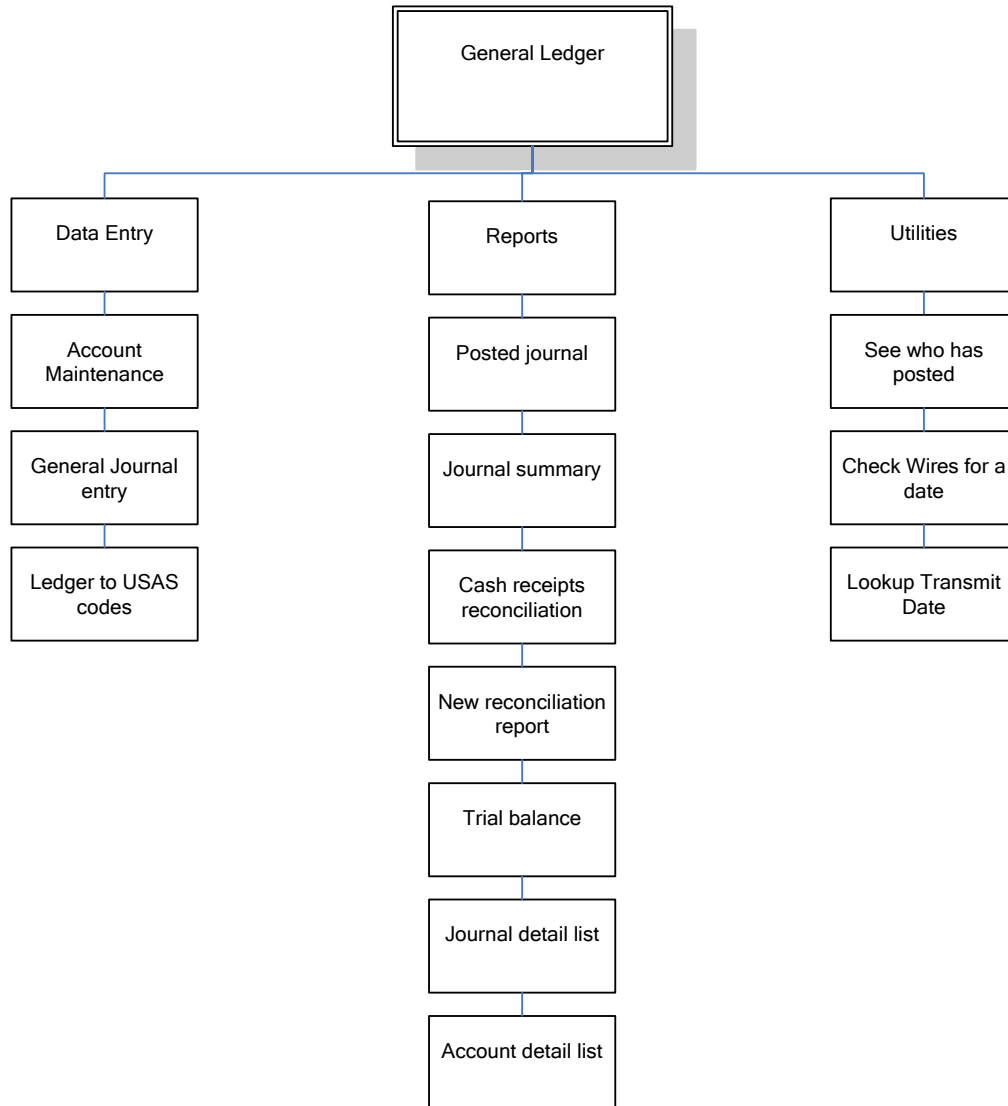
Journal Items in this match:

Quit

Banksmy (DepadjBanksmy) Record: 1/1131 Record Unlocked NUM

View by day; the servicing bank reconciliations.

Arizona State Treasurer's Office
FMS RFI



Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'General Accounting System' window with a menu bar (Data Entry, Edit, Reports, Utilities, Quit) and a sub-menu (Account maintenance, General journal entry, Ledger to USAS codes). The 'Account Maintenance' dialog box is open, displaying the following fields:

- Acct. Number: 100000
- Description: Cash
- Radio buttons: DET (unselected), SUM (selected)
- Checkbox: ☐ Has Parent Account
- Checkbox: ☐ Include in Default Reconciliation

At the bottom of the dialog are buttons: Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit. The status bar at the bottom of the main window shows 'Skip to next record' and 'NUM'.

Create, edit or delete accounts in the chart of accounts.

The screenshot shows the 'General Accounting System' window with the same menu bar. The 'General Journal Entry/View' dialog box is open, displaying the following information:

- Journal Date: 07/29/2005
- Reference Date: 07/29/2005
- Transaction ID: 2570842

Account#	Acct. Name	Line Desc.	D/C	Amount
330500	Fixed Income Fund		DR	37,511.52
410400	Credit Items Clearing		CR	37,511.52

Comment: AIR # 0001

Debit Total: 37,511.52
Credit Total: 37,511.52

At the bottom of the dialog are buttons: Top, Previous, Next, Bottom, Print, Add, Exit. The status bar at the bottom of the main window shows 'Jnlmaster (GenacctUnlpost)', 'Record: 16292/588934', 'Record Unlocked', and 'NUM'.

Create a general journal entry.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'General Accounting System' window with a menu bar (Data Entry, Edit, Reports, Utilities, Quit) and a sidebar menu (Account maintenance, General journal entry, Ledger to USAS codes). The 'Ledger Transmission Data' dialog box is open, displaying the following fields:

Journal Name:	CASHRECP	Index:	0
Account #:	110100	PCA:	97090
Document stem:	MCR	Comp. GL:	9101
Batch Type:	6	Reverse on:	CR
TC:	810		

At the bottom of the dialog box are buttons: Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit. The status bar at the bottom of the main window shows 'Skip to next record' and 'NUM'.

Converts general ledger accounts to USAS account and batch profile.

The screenshot shows the 'General Accounting System' window with a menu bar (Data Entry, Edit, Reports, Utilities, Quit) and a sidebar menu (Posted journal, Journal summary, Cash receipts reconciliation, New reconciliation report, Trial balance, Journal detail list, Account detail list). The 'Print the Journal' dialog box is open, displaying the following fields:

Journal to print:	Cash Disbursements Journal
Date to print:	01/11/2008
<input checked="" type="checkbox"/> Preview Only	

At the bottom of the dialog box are buttons: Print, Cancel. The status bar at the bottom of the main window shows 'Account (GenacctlAccount)', 'Record: 1/112', 'Record Unlocked', and 'NUM'.

View and print posted journals to the general ledger.

Arizona State Treasurer's Office FMS RFI

Report Designer - jnlprint1.frx - Page 1

Journal Date: 07 December 2007		Arizona State Treasury		[Jnlpart1]	
Run On: 11 January 2008 - 16:59:30		Cash Disbursements Journal		Posted Copy	
Transaction Detail Section					
Date	Description	Account	DR	CR	
12/07/2007	Bank Debit	130400 BAA DES Unemp Ins		20,341.40	
12/07/2007	Bank Debit	414240 DES Clearing	20,341.40		
12/07/2007	10/23/2007	414230 Industrial Commission		215.00	
12/07/2007	10/23/2007	220100 Due from Bank	215.00		
12/07/2007	10/23/2007	220100 Due from Bank			
12/07/2007	10/23/2007	220100 Due from Bank			
12/07/2007	10/23/2007	Warrant Error Corrections: Industrial Co			
12/07/2007	12/05/2007	414210 General Payments		146.06	
12/07/2007	12/05/2007	414210 General Payments		56.36	
12/07/2007	12/05/2007	414210 General Payments		234.49	
12/07/2007	12/05/2007	414210 General Payments		928.89	
12/07/2007	12/05/2007	414210 General Payments		22.08	
12/07/2007	12/05/2007	414210 General Payments		10.51	
12/07/2007	12/05/2007	414210 General Payments		71.39	
12/07/2007	12/05/2007	414210 General Payments		127.61	
12/07/2007	12/05/2007	414210 General Payments		377.84	
12/07/2007	12/05/2007	414210 General Payments		285.66	
12/07/2007	12/05/2007	414210 General Payments		189.65	
12/07/2007	12/05/2007	414210 General Payments		258.51	
12/07/2007	12/05/2007	414210 General Payments		99.07	
12/07/2007	12/05/2007	414210 General Payments		128.18	
12/07/2007	12/05/2007	414210 General Payments		33.73	
12/07/2007	12/05/2007	414210 General Payments		188.02	
12/07/2007	12/05/2007	414210 General Payments		174.33	
12/07/2007	12/05/2007	414210 General Payments		402.37	

View of a posted journal.

General Accounting System

Data Entry Edit Reports Utilities Quit

- Posted journal
- Journal summary
- Cash receipts reconciliation
- New reconciliation report
- Trial balance
- Journal detail list
- Account detail list

Newrecon.scr

Bank Reconciliation Report

For Journals Dated: 12/07/2007

With CRJ Processed: 12/07/2007 through 12/07/2007

Include Accounts: Default Accts.

100000	Cash
110100	BOA Servicing
120100	WFB Servicing
130100	BAA Servicing
130200	BAA Warrant Clearing
130300	BAA Check Cashing

Exclude Journals:

CASHDISB
CASHRECP
CREDADJ
DEBTADJ
ENDOWERN

☒ Preview Only

Print Quit

Account (GenacctlAccount) Record: 1/112 Record Unlocked NUM

View or print journals by general ledger account for reconciliation of minor banks account.

Arizona State Treasurer's Office FMS RFI

Report Designer - recnnew1.frx - Page 1

Treasurer's Cash Activity Report For Reconciliation

Run on: January 11, 2008 - 17:32:57 For journals dated: 12/07/2007
And CRJ process dates: 12/07/2007 through 12/07/2007

Jnl. Date	Account	Reference Date	JnlName	Description	Amount	Tran. II
Account: 110100 - BOA Servicing						
Debits						
12/07/2007	110100	11/01/2007	CASHREC	DDEP 11/01/2007	112.00	29
12/07/2007	110100	11/02/2007	CASHREC	DDEP 11/02/2007	192.75	29
12/07/2007	110100	11/05/2007	CASHREC	DDEP 11/05/2007	309.90	29
12/07/2007	110100	11/06/2007	CASHREC	DDEP 11/06/2007	585.75	29
12/07/2007	110100	11/07/2007	CASHREC	DDEP 11/07/2007	1,345.45	29
12/07/2007	110100	11/08/2007	CASHREC	DDEP 11/08/2007	685.25	29
12/07/2007	110100	11/09/2007	CASHREC	DDEP 11/09/2007	376.70	29
12/07/2007	110100	11/13/2007	CASHREC	DDEP 11/13/2007	822.70	29
12/07/2007	110100	11/14/2007	CASHREC	DDEP 11/14/2007	191.75	29
12/07/2007	110100	11/15/2007	CASHREC	DDEP 11/15/2007	86.00	29
12/07/2007	110100	11/16/2007	CASHREC	DDEP 11/16/2007	364.00	29
12/07/2007	110100	11/16/2007	CASHREC	DDEP 11/16/2007	659.25	29
12/07/2007	110100	11/19/2007	CASHREC	DDEP 11/19/2007	614.00	29
12/07/2007	110100	11/20/2007	CASHREC	DDEP 11/20/2007	72.00	29
12/07/2007	110100	11/20/2007	CASHREC	DDEP 11/20/2007	832.75	29
12/07/2007	110100	11/21/2007	CASHREC	DDEP 11/21/2007	154.00	29
12/07/2007	110100	11/23/2007	CASHREC	DDEP 11/23/2007	1,523.85	29
12/07/2007	110100	11/26/2007	CASHREC	DDEP 11/26/2007	318.41	29
12/07/2007	110100	11/26/2007	CASHREC	DDEP 11/26/2007	932.00	29
12/07/2007	110100	11/26/2007	CASHREC	DDEP 11/26/2007	4,486.00	29

View of the report & used to reconcile minor bank accounts.

General Accounting System

Data Entry Edit Reports Utilities Quit

- Posted journal
- Journal summary
- Cash receipts reconciliation
- New reconciliation report
- Trial balance**
- Journal detail list
- Account detail list

Print a Trial Balance

Date to print:

☐ Preview Only

Account (GenacctAccount) Record: 1/112 Record Unlocked NUM

Arizona State Treasurer's Office FMS RFI

Report Designer - trialbal.frx - Page 1

Trial Balance as of 12/07/2007
Generated on 01/11/2008 at 17:11:59

Account #	Description	DR Amount	CR Amount
100000	Cash		
110100	BOA Servicing	1,121,329.92	
120100	WFB Servicing	2,275,677.04	
130100	BAA Servicing	21,549,478.15	
130200	BAA Warrant Clearing	39,502,000.00	
130300	BAA Check Cashing		
130400	BAA DES Unemp Ins	4,105,081.58	
130500	BAA Surepay Adjust	501,418.29	
130600	BAA ACH Disbursement		
131400	BAA Hwy Magazine	1,153,256.66	
131900	BAA Capitol Museum	81,900.06	
132000	BAA ACH Clearing		
140100	NBA Servicing	28,403.40	
150100	CFB Servicing	411,843.68	
165900	CMB Custody Svc Comp		
167100	BONY Operating Sweep		17,177,780.17
167300	BONY Endowment Sweep		
200000	Receivables		
220100	Due from Bank	151,292.05	
220200	Principal Receivable (Gen)		
220300	Principal Receivable (End)		
229500	Accrued Interest Receivable (G	33,793,930.07	
239500	Accrued Interest Rec - (End)		23,940,148.87

View of the above.

General Accounting System

Data Entry Edit Reports Utilities Quit

- Posted journal
- Journal summary
- Cash receipts reconciliation
- New reconciliation report
- Trial balance
- Journal detail list**
- Account detail list

Posted Journal Reports

Pick A Journal: Cash Disbursements Journal

Begin Date: 12/07/2007

End Date: 12/07/2007

Order By: ☒ Account ☐ Tran. ID

☒ Preview Only

Print Quit

Account (GenscottAccount) Record: 1/112 Record Unlocked NUM

View a specific journal within a date range.

Arizona State Treasurer's Office FMS RFI

Report Designer - jnlbyact.frx - Page 1

Cash Disbursements Journal Activity - Account Order [JnlByAct]

12/07/2007 through 12/07/2007
Generated on 01/11/2008 at 17:13:30

Account #	Jnl. Date	Jnl. Name	Tran. ID#	Short Desc.	DR Amount	CR Amount
Account: 130100 - BAA Servicing						
130100	12/07/2007	CASHDISB	*****	BANK DEBIT	75,081,938.98	
130100	12/07/2007	CASHDISB	*****	BANK DEBIT	5,038,106.59	
130100	12/07/2007	CASHDISB	*****	BANK DEBIT	53,407.21	
Subtotal for 130100						80,173,452.78
Account: 130400 - BAA DES Unemp Ins						
130400	12/07/2007	CASHDISB	*****	Bank Debit	20,341.40	
130400	12/07/2007	CASHDISB	*****	BANK DEBIT	6,401.13	
130400	12/07/2007	CASHDISB	*****	110	2,709.56	
130400	12/07/2007	CASHDISB	*****	110	100,000.00	
Subtotal for 130400						129,452.09
Account: 220100 - Due from Bank						
220100	12/07/2007	CASHDISB	*****	10/23/2007	215.00	
220100	12/07/2007	CASHDISB	*****	10/23/2007		
220100	12/07/2007	CASHDISB	*****	10/23/2007		
220100	12/07/2007	CASHDISB	*****	10/23/2007		

View of the above.

General Accounting System

Data Entry Edit Reports Utilities Quit

- Posted journal
- Journal summary
- Cash receipts reconciliation
- New reconciliation report
- Trial balance
- Journal detail list
- Account detail list**

Account Detail Report

Begin Date: 02/01/2007

End Date: 12/07/2007

Accounts to include on the report:

☒ All Accounts

☒ Preview Only

Print Quit

NUM

View a specific account within a date range.

Arizona State Treasurer's Office FMS RFI

Report Designer - acctdet.frx - Page 1

[Acctdet]

Account Detail Report

Run on 01/11/2008

For Acct: 330300 - S&P 400 (Mid Cap) Equity
For Dates: 12/01/2007 - 12/07/2007

Jnl. Date	TransID	Jnl. Name	Debit	Credit	Balance
12/01/2007		Beginning Balance	0.00	0.00	256,983,587.29 DR
Ending Balance			0.00	0.00	256,983,587.29 DR

View of the above item.

General Accounting System

Data Entry Edit Reports Utilities Quit

- Close a period
- View journal items
- View ledger items
- See who has posted**
- Check Wires for a date
- Lookup Transmitt Date

Whopost.scx

Check What's Posted

Enter the date you're interested in: 12/31/2007

CASHDISB	Posted
CASHRECP	Posted
CCCRADJ	Posted
CCDRADJ	Unposted
CREDADJ	Posted

Quit

Ldgpost (GenacctLdgpost) Record: 1/36898 Record Unlocked NUM

Verify which journals have been posted to the general ledger at any given time.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'General Accounting System' window with the 'Utilities' menu open and 'Check Wires for a date' selected. A 'Whatwire.scx' dialog box is displayed in the center. It has a title bar 'Whatwire.scx' and a main title 'Check Wires'. Below the title, there is a text field 'Enter the date you're interested in:' with the value '12/31/2007' and a 'Go' button. The dialog is divided into two columns: 'Wires In:' and 'Wires Out:'. Each column has two rows: 'Same Day:' and 'Not Same Day:'. The 'Wires In:' column shows values of 91,427,000.65 for 'Same Day' and 74,862,055.20 for 'Not Same Day'. The 'Wires Out:' column shows values of 47,000,940.57 for 'Same Day' and 0.00 for 'Not Same Day'. There is a 'Quit' button at the bottom. The status bar at the bottom of the main window shows 'Unpost (GenacctUnpost)', 'Record: EOF/588916', 'Record Unlocked', and a 'NUM' button.

Report the wire in and wire out totals without duplication for cash flow purposes.

The screenshot shows the 'General Accounting System' window with the 'Utilities' menu open and 'Look up Transmssion Date' selected. A 'LkupXmit' dialog box is displayed in the center. It has a title bar 'LkupXmit' and a main title 'Look up Transmssion Date'. Below the title, there is a table with three columns: 'Journal Date', 'Journal Name', and 'Transmitted On'. The table contains the following data:

Journal Date	Journal Name	Transmitted On
12/31/2007	CREDADJ	01/01/1980
12/31/2007	CREDADJ	01/01/1980
12/31/2007	DEBTADJ	01/01/1980
12/31/2007	DEBTADJ	01/01/1980
12/31/2007	CCCRAJ	01/01/1980
12/31/2007	CCCRAJ	01/01/1980
12/31/2007	CCCRAJ	01/01/1980
12/31/2007	CCCRAJ	01/01/1980
12/31/2007	CASHDISB	12/31/2007
12/31/2007	CASHDISB	12/31/2007

Below the table, there are 'Quit' and 'Reprint Report' buttons. The status bar at the bottom of the main window shows 'Ldgpst (GenacctLdgpst)', 'Record: 1/36892', 'Record Unlocked', and a 'NUM' button.

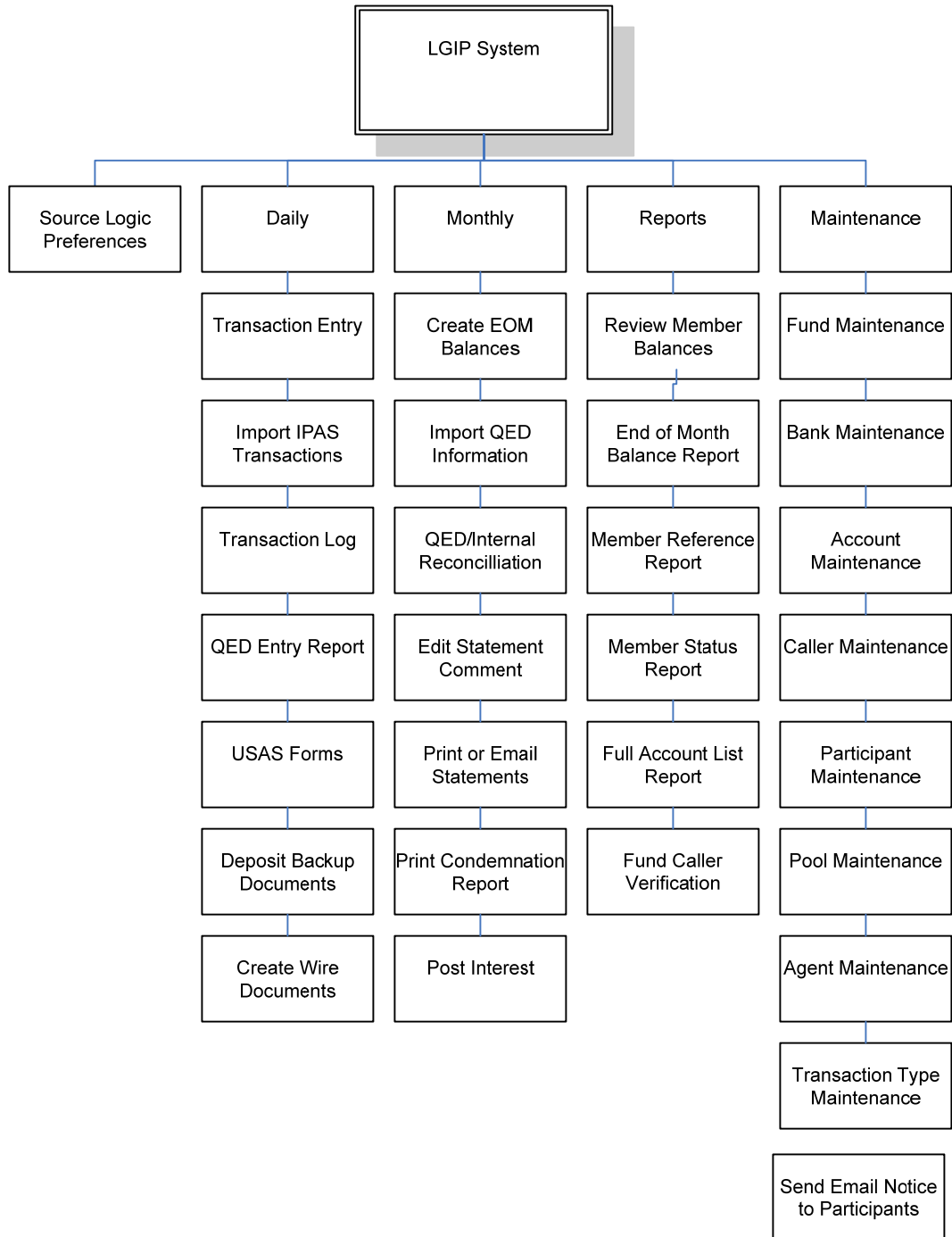
Track and print the journals that were transmitted to USAS.

Arizona State Treasurer's Office FMS RFI

Report Designer - Idgtran.frx - Page 1									
Ledger Items Transmitted on 12/31/2007									
Run on January 11, 2008 at 17:21:32									
[L dgttran]									
<hr/>									
Batch Agy: TRA Batch Type: 6									
Batch Date: 12/28/2007 Batch #: 206									
<hr/>									
Doc#:	Suffix	Seq. #	Eff. Date	TC	PCA	Index Description	Comp. GL	Amount	Rev.
MGP1228	1	22	12/28/2007	800	97090	0 GENPUR 12/28/07 167100 CR	9671	2,183,635,538.70	R
MGP1228	2	23	12/28/2007	800	97090	0 GENPUR 12/28/07 321000 DR	210	184,555,000.00	
MGP1228	3	24	12/28/2007	800	97090	0 GENPUR 12/28/07 321500 DR	215	2,000,000,000.00	
MGP1228	4	25	12/28/2007	800	97090	0 GENPUR 12/28/07 328100 CR	281	919,461.30	R
Total for document number MGP1228 :								4,369,110,000.00	
Total for batch 206:								4,369,110,000.00	
<hr/>									
Batch Agy: TRA Batch Type: 6									
Batch Date: 12/28/2007 Batch #: 207									
<hr/>									
Doc#:	Suffix	Seq. #	Eff. Date	TC	PCA	Index Description	Comp. GL	Amount	Rev.
MGS1228	1	26	12/28/2007	800	97090	0 GENSALE 12/28/07 167100 DR	9671	2,210,438,385.43	
MGS1228	2	27	12/28/2007	800	97090	0 GENSALE 12/28/07 229500 CR	295	438,385.43	R
MGS1228	3	28	12/28/2007	800	97090	0 GENSALE 12/28/07 321000 CR	210	210,000,000.00	R
MGS1228	4	29	12/28/2007	800	97090	0 GENSALE 12/28/07 321500 CR	215	2,000,000,000.00	R
Total for document number MGS1228 :								4,420,876,770.86	

Comparison of items sent to items received in USAS.

Arizona State Treasurer's Office FMS RFI



Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Source Logic Preferences' window within the LGIP System. The window has a menu bar with 'File', 'Daily', 'Monthly', 'Reports', 'Maintenance', and 'Help'. Below the menu bar, there is a 'Source Logic Preferences' section with an 'Exit' button and a keyboard shortcut 'ALT+X'. The main area of the window is titled 'Transaction Source Logic Table'. It contains several input fields and checkboxes. The 'Transaction Source Code' field is empty, and the 'Description' field contains 'Unknown'. There are two numeric input fields: 'Default Deposit Wire Batch Number to' with a value of '1' and 'Default Withdrawal Wire Batch Number to' with a value of '0'. Below these are several checkboxes for various transaction types and reports. At the bottom of the window, there is a 'Comment' field and a set of navigation buttons: 'Top', 'Prev', 'Next', 'Bottom', 'End', 'Print', 'Add', 'Edit', 'Delete', and 'Exit'. The status bar at the bottom of the window reads 'Edit the Source Logic Table. Please Be Careful.' and has a 'NUM' indicator.

Transaction Source Code	Description
	Unknown

Default Deposit Wire Batch Number to: 1
Default Withdrawal Wire Batch Number to: 0

Include in the Electronic QED Upload File: ☐
Include in the QED Entry Report: ☐
Include in the Transaction Log Report: ☐
Include in the Document Verification Report: ☐

Transactions editable on entry screen: ☐
Display the readback screen on entry: ☐
Entry of a caller id is required: ☐
Entry of a memo is required: ☐
Include on USAS Forms: ☐
Transmit to Wire System: ☐

Comment:

Top Prev Next Bottom End Print Add Edit Delete Exit

Edit the Source Logic Table. Please Be Careful. NUM

Table is used for transaction entry screen (see “Daily”, “Transaction Entry”) to be used to separate different types of transactions for the LGIP. Each transaction type initiates actions to other reports when box is checked.

The screenshot shows the 'Transaction Entry' window within the LGIP System. The window has a menu bar with 'File', 'Daily', 'Monthly', 'Reports', 'Maintenance', and 'Help'. Below the menu bar, there is a 'Transaction Entry' section with a list of transaction types and their corresponding keyboard shortcuts: 'Transaction Entry' (CTRL+E), 'Import IPAS Transactions' (CTRL+I), 'Transaction Log' (CTRL+L), 'Qed Entry Report' (CTRL+Q), 'Usas Forms' (CTRL+U), 'Deposit Backup Documents' (CTRL+D), and 'Create Wire Documents' (CTRL+W). The main area of the window is titled 'LGIP Transaction Entry Screen'. It contains several input fields and checkboxes. The 'Type' field is set to 'Interest Reinvestment'. The 'Agent' field is set to 'IBM'. The 'Entered' field contains the date and time '01/08/2008 08:10:00 AM'. The 'Fund #' field is empty. The 'Transaction' field is set to 'Reinvestment'. The 'Amount' field contains the value '\$102.16'. The 'Expected' field contains the date '01/01/2008' and the day 'Tuesday'. The 'Caller' field is set to 'Automatic'. The 'Confirm #' field contains the value '342822'. The 'Journal Date' field is empty. At the bottom of the window, there is a 'Comment' field and a set of navigation buttons: 'Top', 'Prev', 'Next', 'Bottom', 'End', 'Print', 'Add', 'Edit', 'Delete', and 'Exit'. The status bar at the bottom of the window reads 'Enter, Edit or Delete Transactions' and has a 'NUM' indicator.

Type	Agent	Fund #	Transaction	Amount	Expected	Caller	Confirm #	Journal Date
Interest Reinvestment	IBM		Reinvestment	\$102.16	01/01/2008 Tuesday	Automatic	342822	

Entered: 01/08/2008 08:10:00 AM

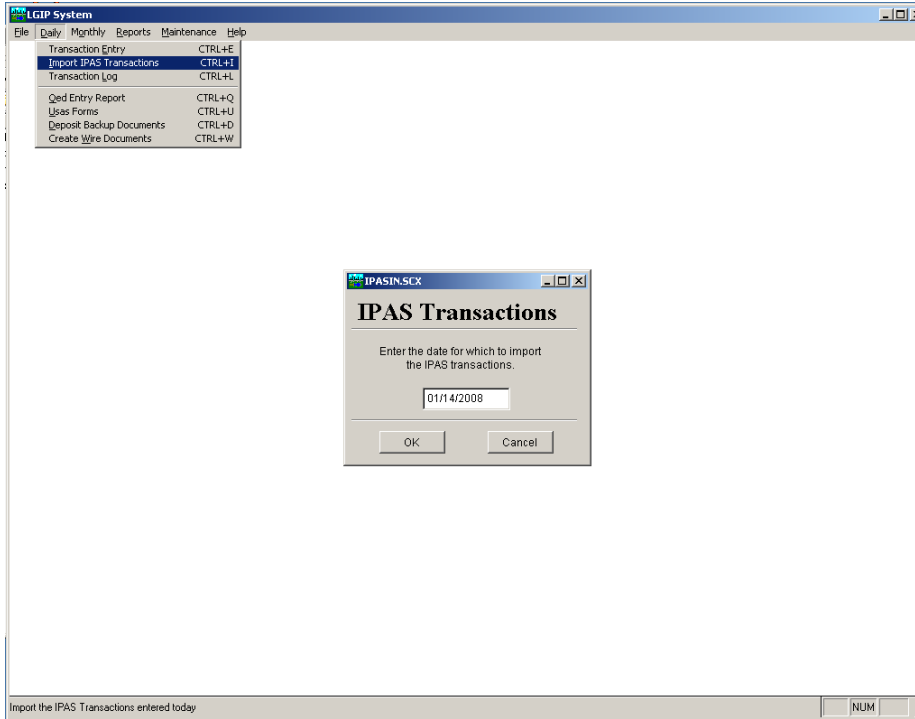
Comment:

Top Prev Next Bottom End Print Add Edit Delete Exit

Enter, Edit or Delete Transactions NUM

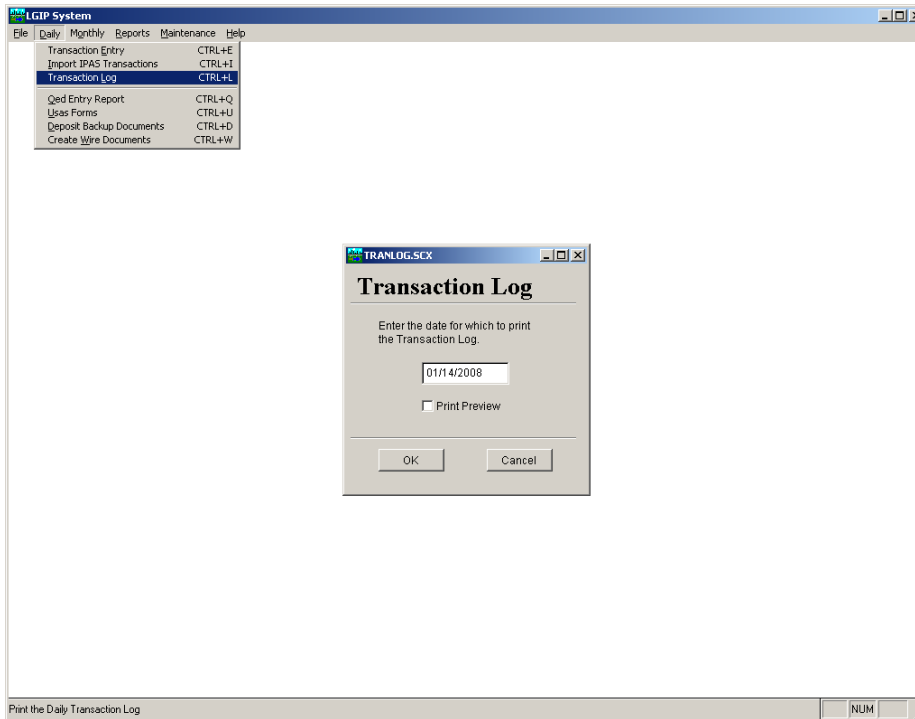
Arizona State Treasurer's Office FMS RFI

To enter all transactions (deposits/withdrawals/transfers between accounts, interest reinvestment, distributions of sales tax, etc from the distribution division) for LGIP participants. IPAS file from QED imported into the table attached to this form. Other files are also imported for transaction types, such as, monthly interest posting, distributions, and etc. Data entered through this form is the basis for a daily export file to QED (investment accounting software). This data updates LGIP participant accounts, in QED, for that day's transactions. Transaction data is transmitted to the wire system for all deposits and withdrawals that are a transaction type 'Call-in' or 'Online IPAS'.



Import IPAS (QED) transactions (deposits/withdrawals/transfers) into the LGIP system.

Arizona State Treasurer's Office FMS RFI



Print Preview

Arizona State Treasurer's Office
LGIP Daily Transaction Log
For Journal Date : 01/17/2008

[TRANLOG]
Printed : 01/17/2008

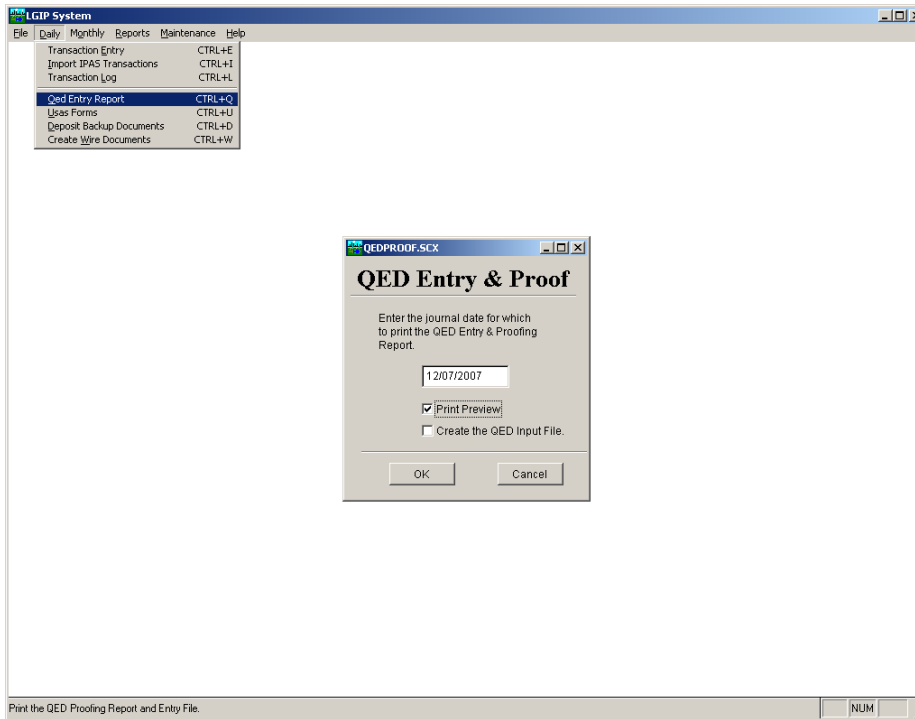
Entered by : Kaly Cabrera

Confirmation #	Fund	Transaction Caller	Amount	Expected Call Taken
343017		Withdrawal	\$21,000,000.00	01/17/2008 Thursday 01/08/2008 01:30:57 PM
343265		Withdrawal	\$1,500,000.00	01/17/2008 Thursday 01/15/2008 12:51:43 PM
343280		Deposit	\$176,419.00	01/17/2008 Thursday 01/16/2008 08:46:50 AM
343281		Deposit	\$123,980.00	01/17/2008 Thursday 01/16/2008 08:47:36 AM
347999		Deposit	\$1,105,157.00	01/17/2008 Thursday

Reprint Record: 13/39 Exclusive NUM

Produces report which shows all transactions entered into LGIP for the current day. (Note: transactions shown also include transactions entered in the past for the current day.)

Arizona State Treasurer's Office FMS RFI

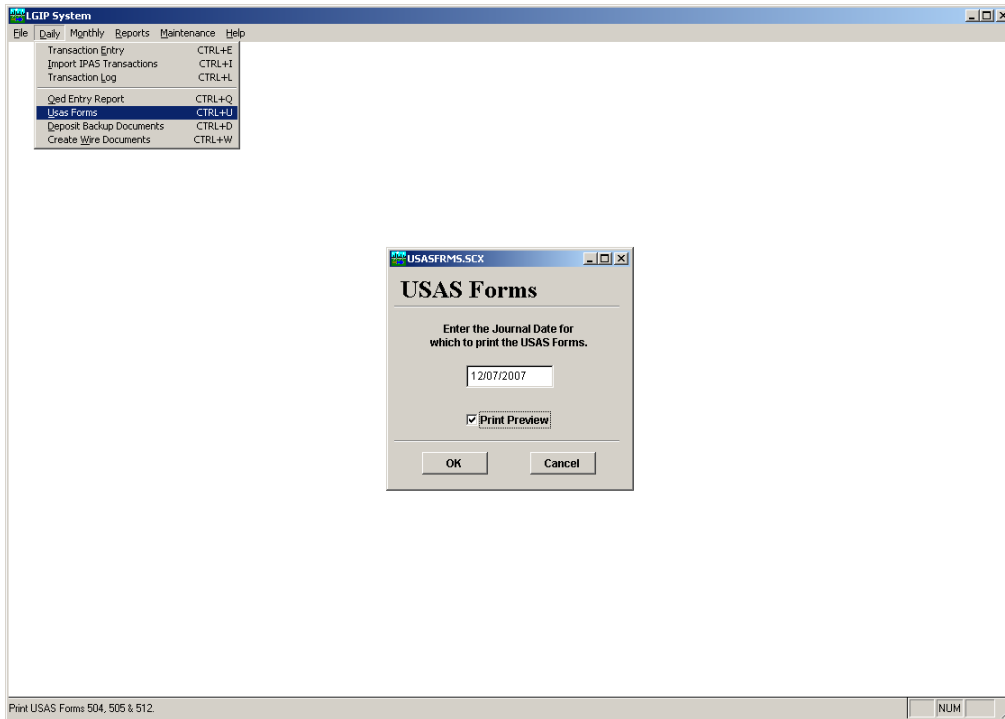


Report Designer - qedentry.frx - Page 1

Arizona State Treasurer's Office						
QED Data Entry / Wire Journal Proofing Report						
For Journal Date : 12/07/2007						
Run on : 01/14/2008						
Effective Date	Journal Date	Fund #	Increase	Decrease	Participant's Name	Conf #
Items Entered on : 12/07/2007 for 12/06/2007						
Withdrawals						
12/06/2007	12/07/2007		\$950,000.00		Yuma County Treasurer	340772
Subtotal for Pool 7			\$0.00	\$950,000.00		
Subtotal for Non-Calls			\$0.00	\$950,000.00		
Subtotal for Withdrawals			\$0.00	\$950,000.00		
Subtotal for 12/06/2007			\$0.00	\$950,000.00		

Produces report which shows all transactions entered into LGIP for the current day by transaction type and transaction source. This function actually facilitates the creation of the daily QED export file, based on date entered in the Transaction Entry Screen.

Arizona State Treasurer's Office FMS RFI



Report Designer - usasjr.frx - Page 1

Batch	Header	Type	No.
Agy	Date		
TRA	12/07/2007	5	791
Page 1			

Arizona State Treasurer
LGIP Journal Voucher
Equivalent to GAO512

Generated January 14, 2008

Document Date: 12/07/2007
Document Number: JLG1207
Document Agency: TRA

Suffix	Agy	TC	PCA	AY	Doc. Total	Description
1	TRA	195	55310		17,760,000.00	LGIP Investment
2	TRA	195	55311		9,633,716.80	LGIP Investment
3	TRA	195	55310		160,576.32	LGIP HOTEL 12/07/2007
4	TRA	195	55310		4,549,126.76	LGIP CIT AX 12/07/2007
5	TRA	195	55311		4,283,292.27	LGIP CIT AX 12/07/2007
6	TRA	196	55310		14,987,380.97	LGIP Divestment
7	TRA	196	55311		7,910,000.00	LGIP Divestment
					59,274,093.12	

Arizona State Treasurer's Office FMS RFI

Report Designer - claim.frx - Page 1

State of Arizona CLAIM		AGENCY: Treasurer	VENDOR NO 68660047917	MC: 066	BATCH HEADER				
						AGY TRA	DATE 12/07/07	TYPE 4	NO. 793
		PREPARED BY/DATE: ADMINISTRATOR-01/14/08	ENTERED BY/DATE:	RELEASED BY/DATE:			BATCH COUNT		AMOUNT
								2	22,897,380.97

VENDOR'S NAME State Treasurer				ADDRESS 1 1700 W. Washington				STATE AZ		ZIP 85007
ADDRESS 2				CITY Phoenix						

DOC DATE	EFF DATE	DUE DATE	CUR DOC	SFX	REF DOC	SFX	MOD	AGY	TC	INDEX
12/07/07	12/07/07		2LG1207	001				TRA	229	
PCA	AY	COMP OBJ	BTM	AMOUNT	R	DISC	1099	FO	PDT	DOC AMOUNT
55310	08			14,987,380.97					RT	22,897,380.97
PROP NO	INV NO	INVOICE DATE	DESCRIPTION		CONT NO	WARRANT NO	APPN NO	FUND	COMP GL	
			LGIP Wire Out							
AGY GL	GRANT NO	PH	SUB GRANTEE	PROJ NO	PH	MPCD	AGY CD-1	AGY CD-2	AGY CD-3	DI RTI

Report Designer - usas505.frx - Page 1

Batch Header			
Agcy	Date	Type	No.
TRA	12/07/2007	2	792
Page 1			

Arizona State Treasurer LGIP Deposit Equivalent to GAO505

Generated January 14, 2008

Document Date: 12/07/2007
Document Number: DLG1207
Document Agency: TRA

Suffix	Agcy	TC	PCA	AY	Doc. Total	Description
1	TRA	197	55310		17,750,000.00	LGIP Wire-In
2	TRA	197	55311		9,633,716.80	LGIP Wire-In
3	TRA	197	55310		160,576.32	LGIP Check For HOTEL
4	TRA	197	55310		4,549,126.76	LGIP Check For CITAX
5	TRA	197	55311		4,283,292.27	LGIP Check For CITAX

Report Designer - summarylog.frx - Page 1

Arizona State Treasurer LGIP Control Log

Generated January 14, 2008 09:08:52
For LGIP Journal Date December 07, 2007

[SummaryLog]

Batch #	Doc#	Net Dollar Amount	Record Count	Description
791	JLG1207	59,274,093.12	7	LGIP Transfer
792	DLG1207	36,376,712.15	5	LGIP Deposit
793	2LG1207	22,897,380.97	2	LGIP Claim

Produces USAS forms from the daily LGIP transaction data.

Arizona State Treasurer's Office FMS RFI

LGIP System

File Daily Monthly Reports Maintenance Help

Transaction Entry CTRL+E
Import IPAS Transactions CTRL+I
Transaction Log CTRL+L
Qed Entry Report CTRL+Q
Usas Forms CTRL+U
Deposit Backup Documents CTRL+B
Create Wire Documents CTRL+W

DOCVERIF.SCX

Deposit Backup

Enter the Journal Date for which to print the Document Verification Report.

12/07/2007

☒ Print Preview

OK Cancel

Create the Deposit Documents for the Front Counter. NUM

Report Designer - docverf.frx - Page 1

410400

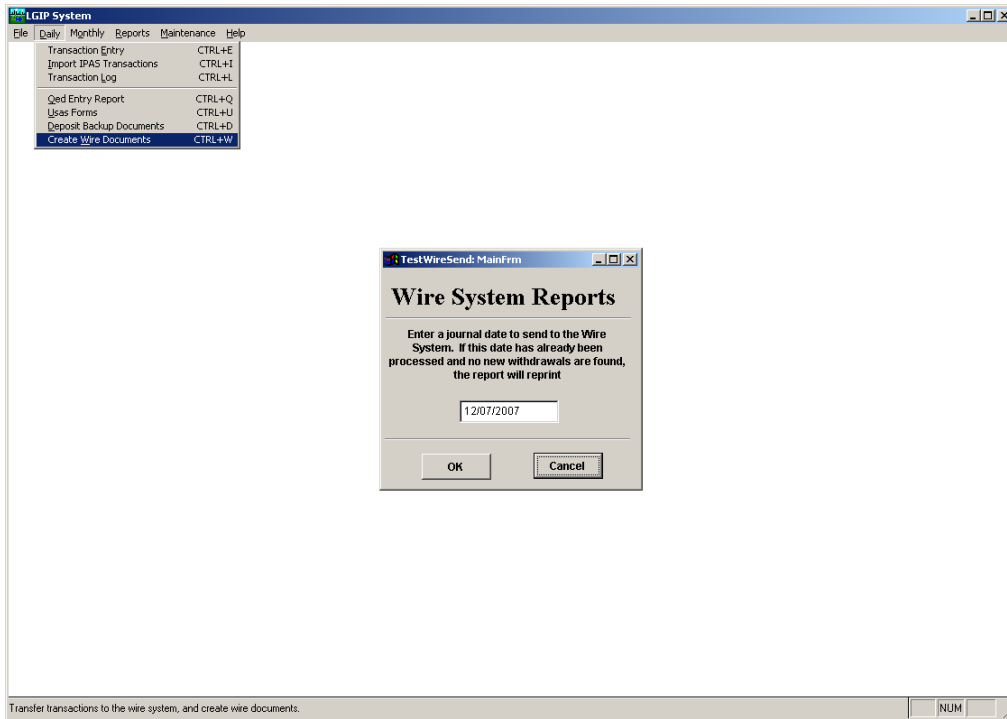
**Arizona State Treasurer's Office
Document Verification Report**

For Journal Date : 12/07/2007
Run on : 01/14/2008

Journal Date	Effective Date	Fund #	Amount	Participant's Name	Docnum
12/07/2007	12/07/2007		\$1,700,000.00	C Central Arizona Water Conservation	65546
12/07/2007	12/07/2007		\$282,349.23	A City Of Bullhead City	65546
12/07/2007	12/07/2007		\$270,780.22	A City Of Cottonwood	65546
12/07/2007	12/07/2007		\$133,451.43	A City Of Douglas	65546
12/07/2007	12/07/2007		\$1,510,622.92	A City Of Goodyear	65546
12/07/2007	12/07/2007		\$559,501.44	A City Of Maricopa	65546
12/07/2007	12/07/2007		\$411,327.23	A City Of Showlow	65546
12/07/2007	12/07/2007		\$111,622.55	A City Of Williams	65546
12/07/2007	12/07/2007		\$965,965.31	A City Of Yuma	65546
12/07/2007	12/07/2007		\$16,160.54	A Town Of Clarkdale	65546
12/07/2007	12/07/2007		\$7,828.25	A Town Of Colorado City	65546
12/07/2007	12/07/2007		\$36,958.89	A Town Of Guadalupe	65546
12/07/2007	12/07/2007		\$14,024.24	A Town Of Kearny	65546
12/07/2007	12/07/2007		\$228,534.51	A Town Of Oro Valley	65546
12/07/2007	12/07/2007		\$500,000.00	C Town Of Wickenburg	65546
12/07/2007	12/07/2007		15,550,000.00	C Treasurer	65546
12/07/2007	12/07/2007		\$0.00	A City Of Apache Junction	65546

Produces form to be deposited with USAS deposit form across the front counter.

Arizona State Treasurer's Office FMS RFI

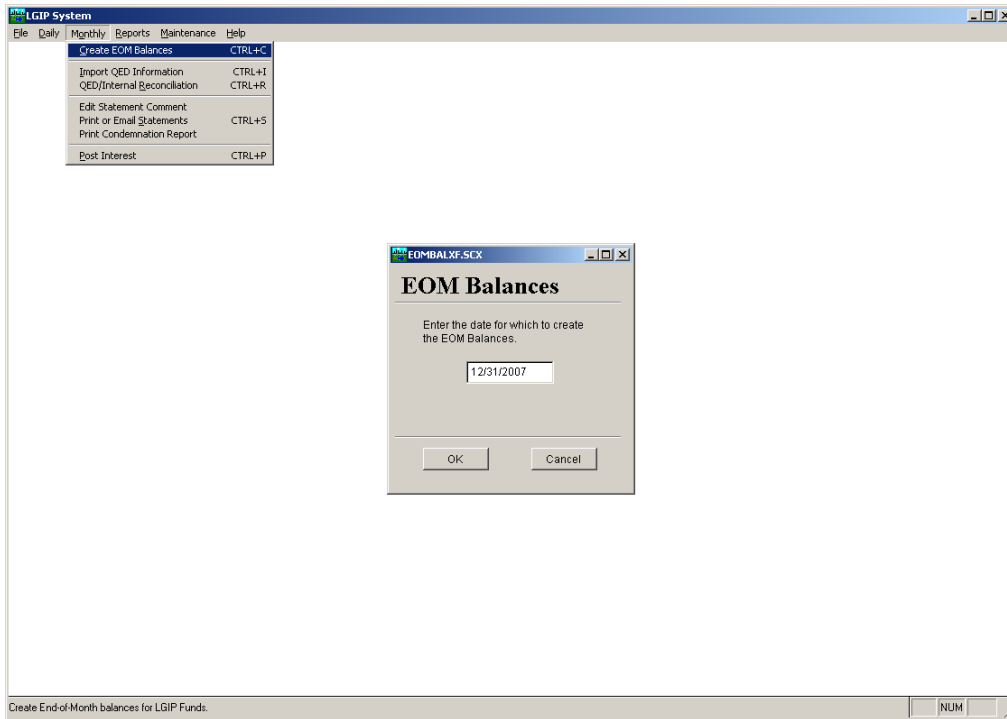


Report Designer - wiredoc.frx - Page 1

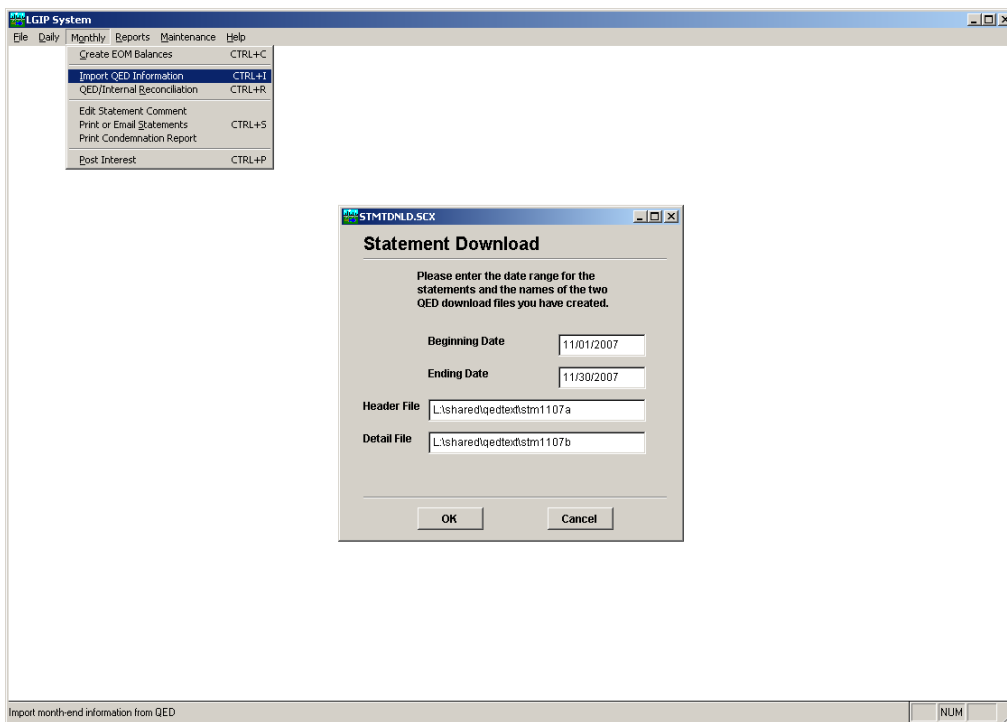
Arizona State Treasurer's Office Batch Wire Out Request		
Source System: LGIP		
Batch Description: Withdrawals for 12/07/2007		
Wire Batch Number: 52976		
Wire Code	Description	Amount
930		2,750,000.00
2119		800,000.00
896		210,000.00
898		10,455.00
913		176,925.97
2584		350,000.00
3436		100,000.00
3475		3,000,000.00
1591		2,000,000.00
2342		8,600,000.00
3548		300,000.00
2134		4,600,000.00
Batch Total		17 items 22,897,380.97

Produces report and bank wire file with LGIP withdrawal transactions for the day.

Arizona State Treasurer's Office FMS RFI

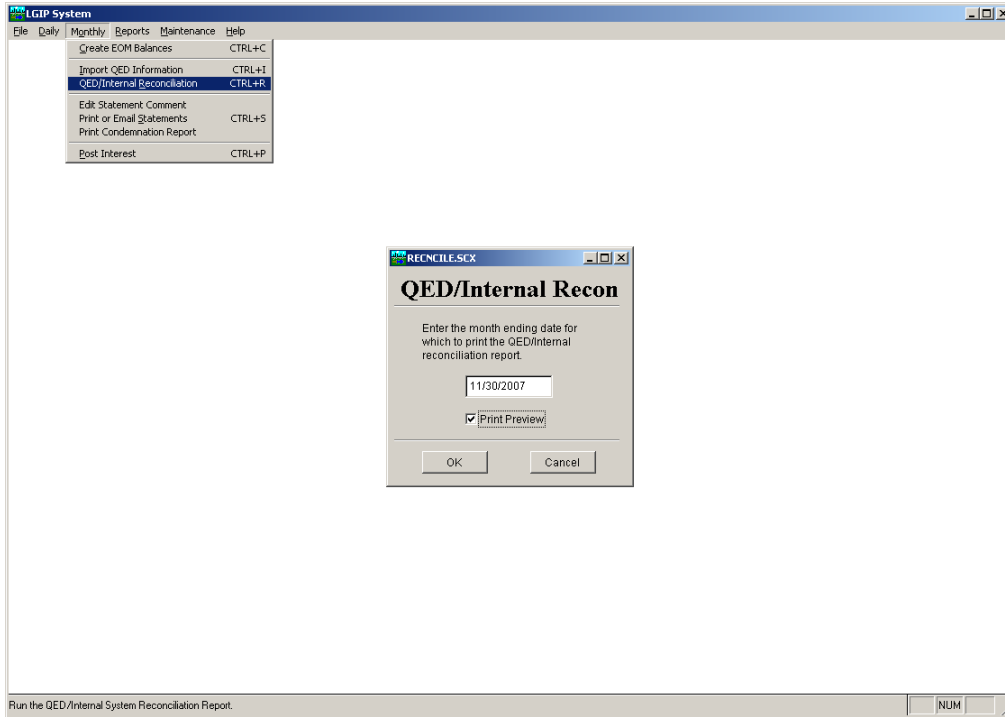


Program creates balances for end of the month for all active LGIP members. (Pool 5 and Pool 7)
Please note that LGIP system is used first for posting most transactions.



Import 2 files from QED and collect data for the participant's statement of account.

Arizona State Treasurer's Office FMS RFI



Report Designer - recncile.frx - Page 1

Arizona State Treasurer's Office				[RECNCILE]
QED/LGIP Balance Reconciliation				Run Date : 01/14/2008
For Month Ending 11/30/2007				
Fund #	Participant	QED Balance	Internal Balance	Difference
Pool # 5				
	SANTA CRUZ NUSD #1 LITIGA			\$0.00
	PATAGONIA LF CLOSURE FUND			\$0.00
	ARIZONA STATE UNIVERSITY			\$0.00
	ST JOHNS BUILDINGS FUND			\$0.00
	CHINO VALLEY WIFA RESERVE			\$0.00
	CHINO VALLEY USDA RESERVE			\$0.00
	CHINO VALLEY CM SEWER HOO			\$0.00
	EL MIRAGE WIFA RESERVE FU			\$0.00
	METRO WATER DIST DEBT SVC			\$0.00
	EL MIRAGE GADA 2007 PROCE			\$0.00
	SEDONA SERIES 2007 BOND P			\$0.00
	FLAGSTAFF ASPEN SAWMILL C			\$0.00
	METRO WATER DIST DEBT SVC			\$0.00
	EL MIRAGE - CIP - STREET			\$0.00
	AZ EMPLOYEES BENEFIT TRUS			\$0.00
	AZ MUN RISK RETEN TRUST			\$0.00
	AZ. COUNTIES RESEARCH FND			\$0.00

Reconcile participant balances from QED to our LGIP system. Report is printed.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'LGIP System' application window. The 'Monthly' menu is open, and 'Edit Statement Comment' is selected. A dialog box titled 'STMTCMT.SCX' is displayed in the center. It contains the text 'This is the Comment that will appear on every statement' and a text area with the input 'hi there!'. At the bottom of the dialog are 'Save Changes' and 'Abandon Changes' buttons. The status bar at the bottom of the application window shows 'Record: 12/13' and 'Record Unlocked'.

Record general comments to all participants via the statement of account.

The screenshot shows the 'LGIP System' application window with the 'Monthly' menu open and 'Print or Email Statements' selected. A dialog box titled 'MONTHLY STATEMENTS' is displayed. It prompts the user to 'Enter the dates for which to print the Month End Statements, and choose a sorting option.' The 'Month Beginning' is set to '11/01/2007' and 'Month Ending' is '11/30/2007'. 'From Fund' is '1' and 'To Fund' is '9999'. A red message states: 'Please close all Word application before running the email function'. Buttons for 'Print', 'Cancel', 'Create Attachments', and 'E-mail' are at the bottom. A smaller 'printfrm' dialog box is open to the right, showing 'By Recipient' selected, 'By Fund' unselected, and 'Preview' checked. The status bar at the bottom of the application window shows 'Print the Monthly Statements'.

Arizona State Treasurer's Office FMS RFI

Report Designer - statemnt.frx - Page 1

Phone: 602.604.7800
Fax: 602.542.7176

Treasurer Admin
TREASURER - LTAF/MLT
1700 W. Washington
Phoenix, AZ 85007-2812

Account Period : 11/01/2007 - 11/30/2007

(1) Prior Earnings Accrued	\$34,981.54
(2) Current Earnings	628.49
Subtotal	35,610.03
(3) Earnings Received	16,421.34
(4) Current Earnings Accrued	19,188.69
(5) Prior Balance	\$141,705.51
(6) 0 Deposit(s)	0.00
(7) 0 Withdrawal(s)	0.00
(8) Earnings Reinvested	22,312.48
(9) New Balance	\$164,017.99

Current Yield: 4.6621
YTD Yield : 4.7062

Date	Transaction Type	Transaction Amount	New Balance
11/01/2007	Forward Balance		\$141,705.51
11/01/2007	Reinvestment	22,312.48	164,017.99
11/30/2007	Ending Balance		\$164,017.99

Print and email participant's statement of account.

LGIP System

File Daily Monthly Reports Maintenance Help

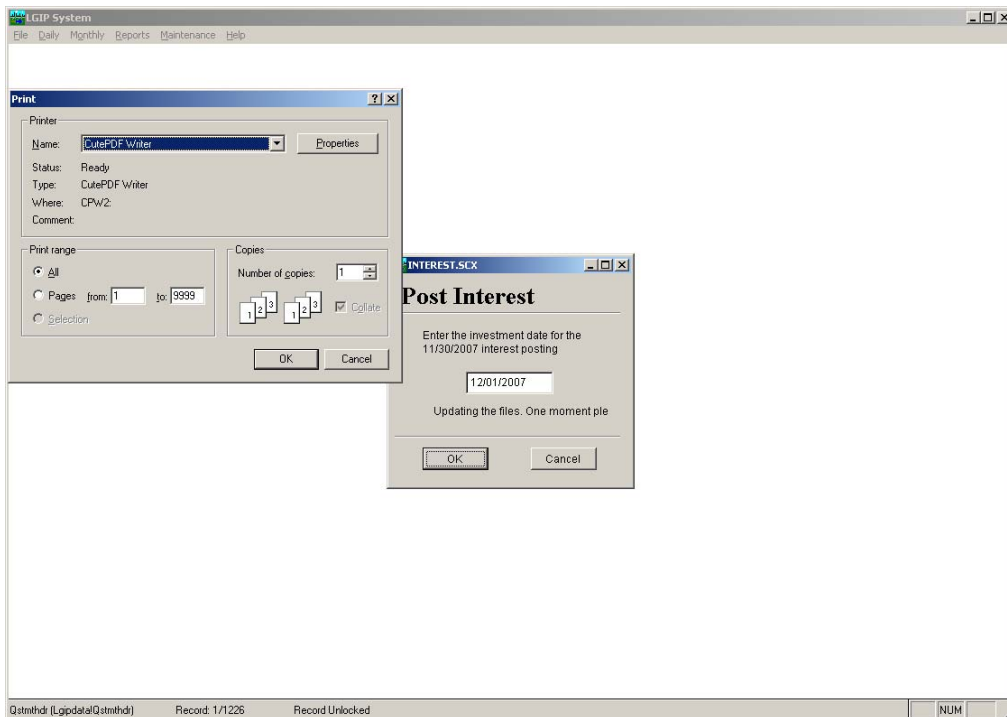
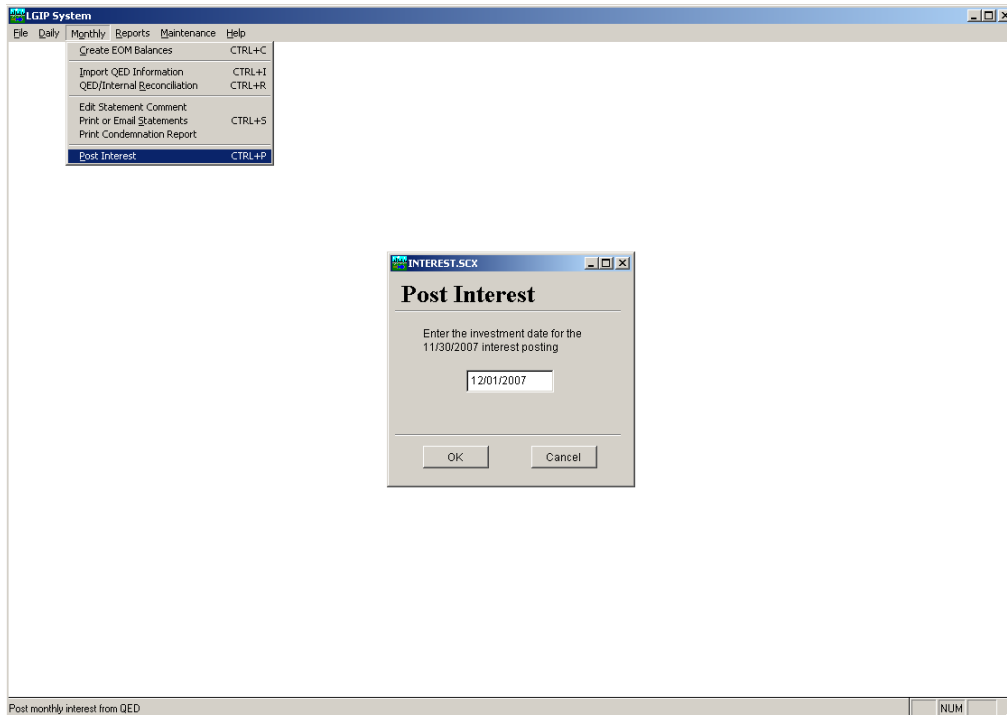
- Create EOM Balances CTRL+C
- Import QED Information CTRL+I
- QED/Internal Reconciliation CTRL+R
- Edit Statement Comment
- Print or Email Statements CTRL+S
- Print Condemnation Report**
- Post Interest CTRL+P

Condemnations Report

NUM

Print condemnation participant statement of accounts separately

Arizona State Treasurer's Office FMS RFI



Post earnings distributed to LGIP system. This posting will be uploaded to USAS.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the LGIP System window with a menu bar (File, Daily, Monthly, Reports, Maintenance, Help). A 'Reports' sub-menu is open, listing various reports and their keyboard shortcuts. A 'Member Balance' dialog box is displayed in the center, prompting the user to enter fund and date information.

LGIP System
File Daily Monthly Reports Maintenance Help

Review Member Balances	CTRL+V
End of Month Balance Report	CTRL+H
Plan Stg Report	CTRL+X
Member Reference Report	CTRL+Y
Member Status Report	CTRL+Z
Full Account List Report	CTRL+F
Fund Caller Verification	

MEMBALIN.SCX
Member Balance

Enter the Fund and Dates for which to show the Transactions.

Fund # : 1201

CAWCD Property Taxes

Beginning Date : 11/01/2007

Ending Date : 11/30/2007

OK Cancel

LGIPsys (Lgipdata/Lgipsys) Record: 7/13 Record Unlocked NUM

This screenshot shows the same 'Member Balance' dialog box, but now it displays calculated balances and a list of transactions. The 'Beginning Balance' is 0.00, and the 'Ending Balance' is also 0.00. There are no deposits or withdrawals recorded for this period. A table of transactions is shown at the bottom, which is currently empty.

MEMBAL.SCX

Member Fund #: 1201

Name: CAWCD Property Taxes

From: 11/01/2007 To: 11/30/2007

Beginning Balance: 0.00

Deposits: 0.00

Withdrawals: (0.00)

Ending Balance: 0.00

Invest Date	Confirm #	Transaction Type	Transaction Amount	Caller

OK

NUM

Used to check LGIP participant balances and transactions for any time period.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'LGIP System' application window. The 'Reports' menu is open, displaying several options with their respective keyboard shortcuts. The 'End of Month Balance Report' option is highlighted, with the shortcut 'CTRL+H'. Below the menu, a dialog box titled 'EOMBALRT.SCX' is open, prompting the user to 'Enter the Month End Date for which to print the EOM Balance Report.' The date '11/30/2007' is entered in the text field. There is a checked checkbox for 'Print Preview' and buttons for 'OK' and 'Cancel'.

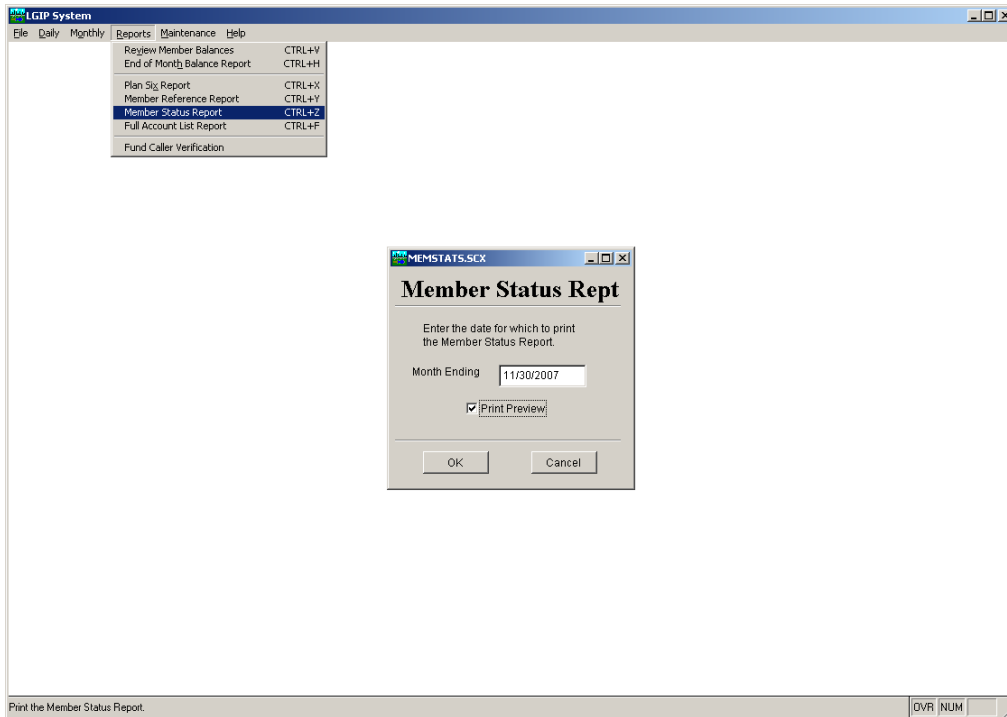
Print report showing the end-of-month balances for all funds.

The screenshot displays the 'Report Designer - eombalrpx - Page 1' window. The report title is 'Arizona State Treasurer's Office LGIP EOM Member Balance Report' for the month ending 11/30/2007. The report includes a table with columns for Fund #, Beg Balance, # of Dep, Deposit, # Of Wd, Withdrawal, and Ending Balance. The data is organized by Pool # 5, with a large greyed-out area for the first few rows. The table shows various fund balances and transactions for the month ending 11/30/2007.

Fund #	Beg Balance	# of Dep	Deposit	# Of Wd	Withdrawal	Ending Balance
Pool # 5	0.00	0	0.00	0	0.00	0.00
	756,201.08	1	2,186.84	0	0.00	758,387.92
	0.00	0	0.00	0	0.00	0.00
	0.00	0	0.00	0	0.00	0.00
	0.00	0	0.00	0	0.00	0.00
	11,311.39	1	35.11	0	0.00	11,346.50
	0.00	0	0.00	0	0.00	0.00
	128,251,313.81	12	83,319,498.43	9	75,300,000.00	136,270,812.24
	55,474.60	1	149.65	0	0.00	55,624.25
	0.00	0	0.00	0	0.00	0.00
	27,067.98	3	3,863.96	0	0.00	30,731.94
	0.00	0	0.00	0	0.00	0.00
	12,272.17	3	1,861.18	0	0.00	13,933.35
	274,340.99	2	2,417.57	1	250,000.00	26,758.56

Report with all LGIP participants ending and beginning balances, net deposits and net withdrawals.

Arizona State Treasurer's Office FMS RFI



Report Designer - memstats.frx - Page 1

Arizona State Treasurer's Office		[MEMSTATS]	
LGIP Member Status Report		Run Date : 01/14/2008	
As of 11/30/2007			

Participant	Active	Inactive	Total
Participant Type : State Agencies			
Des A.T.P. Phoenix	0	4	4
Des A.T.P. Tucson	0	2	2
Des A.T.P. Coolidge	0	1	1
Treasurer	3	1	4
Department of Commerce	3	0	3
Total for : State Agencies	5	6	14

Report which shows all participants by city, county, agency, or other. Report shows summary of active and inactive participants' accounts.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'LGIP System' application window. The 'Reports' menu is open, and 'Fund Caller Verification' is selected. A 'Form1' dialog box titled 'Caller Verification Report' is displayed. It prompts the user to 'Enter the Fund Number for which to print the Caller Listing'. The 'Fund #' field contains the value '5494'. There is a checked 'Preview' checkbox and 'Print' and 'Cancel' buttons at the bottom. The status bar at the bottom of the application window shows 'Call_view', 'Record: 1/6303', 'Exclusive', and a 'NUM' button.

This screenshot shows the 'Report Designer' window for the 'callver.frx' report. The report is titled 'Arizona State Treasurer's Office Local Government Investment Pool Caller Verification Notice'. It includes the state seal and the date '01/27/2008'. The text reads: 'To Whom It May Concern: We have received your request for verification of authorized callers on the following fund:'. Below this, it shows 'Fund Number: 5494' and 'Fund Name:' followed by a redacted box. The text continues: 'The Authorized Callers of record for this fund are:'. The status bar at the bottom shows 'Reptlist', 'Record: EOF/4', 'Exclusive', and a 'NUM' button.

Arizona State Treasurer's Office FMS RFI

Produces report showing authorized callers by individual LGIP participant account.

The screenshot shows the 'Fund Maintenance Screen' within the 'LGIP System' application. The application has a menu bar with 'File', 'Daily', 'Monthly', 'Reports', 'Maintenance', and 'Help'. A 'Maintenance' dropdown menu is open, listing options like 'Fund Maintenance' (CTRL+F), 'Bank Maintenance' (CTRL+B), 'Account Maintenance', 'Caller Maintenance' (CTRL+M), 'Participant Maintenance' (CTRL+N), 'Pool Maintenance' (CTRL+O), 'Agent Maintenance' (CTRL+G), 'Transaction Type Maintenance' (CTRL+T), and 'Send Email Notice to Participants'. The 'Fund Maintenance Screen' itself has three tabs: 'Fund Information', 'USAS Codes', and 'Callers'. The 'Fund Information' tab is active, showing fields for 'Fund Number' (1002), 'Participant' (Treasurer), 'Fund Name' (Pool 10 OP Funds), 'Inactive' checkbox (checked), 'Lgip Participant?' checkbox (unchecked), 'Bank Account' (NONE), 'Print Statement?' checkbox (checked), 'Pool Number' (10), 'E-mail Statement?' checkbox (unchecked), 'E-mail Address', 'Condemnation Account?' checkbox (unchecked), and a 'Comment' field. There are buttons for 'New Participant', 'New Bank Acct', and 'New Pool'. At the bottom of the screen are navigation buttons: 'Top', 'Prev', 'Next', 'Bottom', 'Find', 'Print', 'Add', 'Edit', 'Delete', and 'Exit'. The status bar at the bottom reads 'Create, edit and delete Funds & Fund level information.' and 'OVR NUM'.

Table containing account numbers of all participants, relevant banking information, USAS, callers, etc.

The screenshot shows the 'Bank Name Maintenance Screen' within the 'LGIP System' application. The application has a menu bar with 'File', 'Daily', 'Monthly', 'Reports', 'Maintenance', and 'Help'. A 'Maintenance' dropdown menu is open, listing options like 'Fund Maintenance' (CTRL+F), 'Bank Maintenance' (CTRL+B), 'Account Maintenance', 'Caller Maintenance' (CTRL+M), 'Participant Maintenance' (CTRL+N), 'Pool Maintenance' (CTRL+O), 'Agent Maintenance' (CTRL+G), 'Transaction Type Maintenance' (CTRL+T), and 'Send Email Notice to Participants'. The 'Bank Name Maintenance Screen' has a title bar 'BANK-MANT-SCX' and a title 'Bank Name Maintenance Screen'. It contains fields for 'Bank Code', 'Bank Name', and 'Bank Route'. At the bottom of the screen are navigation buttons: 'Top', 'Prev', 'Next', 'Bottom', 'Find', 'Print', 'Add', 'Edit', 'Delete', and 'Exit'. The status bar at the bottom reads 'Create, edit and delete bank names' and 'OVR NUM'.

Table for banks in which we wire monies to for the LGIP participants.

Arizona State Treasurer's Office FMS RFI

LGIP System

File Daily Monthly Reports Maintenance Help

- Fund Maintenance CTRL+F
- Bank Maintenance CTRL+B
- Account Maintenance**
- Caller Maintenance CTRL+M
- Participant Maintenance CTRL+N
- Pool Maintenance CTRL+O
- Agent Maintenance CTRL+A
- Transaction Type Maintenance CTRL+T
- Send Email Notice to Participants

ACCTMNT.SCX

Bank Account Maintenance Screen

Warning! This screen is for use by the IS department only! All changes made here are logged. Remember, Big Brother is watching you.

Account ID:

Bank Code: Account:

Top Prev **Next** Bottom Find Print Add Edit Delete Exit

For DP use only! OVR NUM

Table for bank account numbers in which we wire monies to for the LGIP participants. Table based on repetitive wire codes. When new account added, the next wire code number not in use is assigned.

LGIP System

File Daily Monthly Reports Maintenance Help

- Fund Maintenance CTRL+F
- Bank Maintenance CTRL+B
- Account Maintenance
- Caller Maintenance** CTRL+M
- Participant Maintenance CTRL+N
- Pool Maintenance CTRL+O
- Agent Maintenance CTRL+A
- Transaction Type Maintenance CTRL+T
- Send Email Notice to Participants

CALLRMNT.SCX

LGIP Caller Maintenance Screen

Caller ID:

First Name: Last Name: Phone:

Top Prev **Next** Bottom Find Print Add Edit Delete Exit

Create, edit and delete Caller Records OVR NUM

Table for authorized callers for LGIP accounts.

Arizona State Treasurer's Office FMS RFI

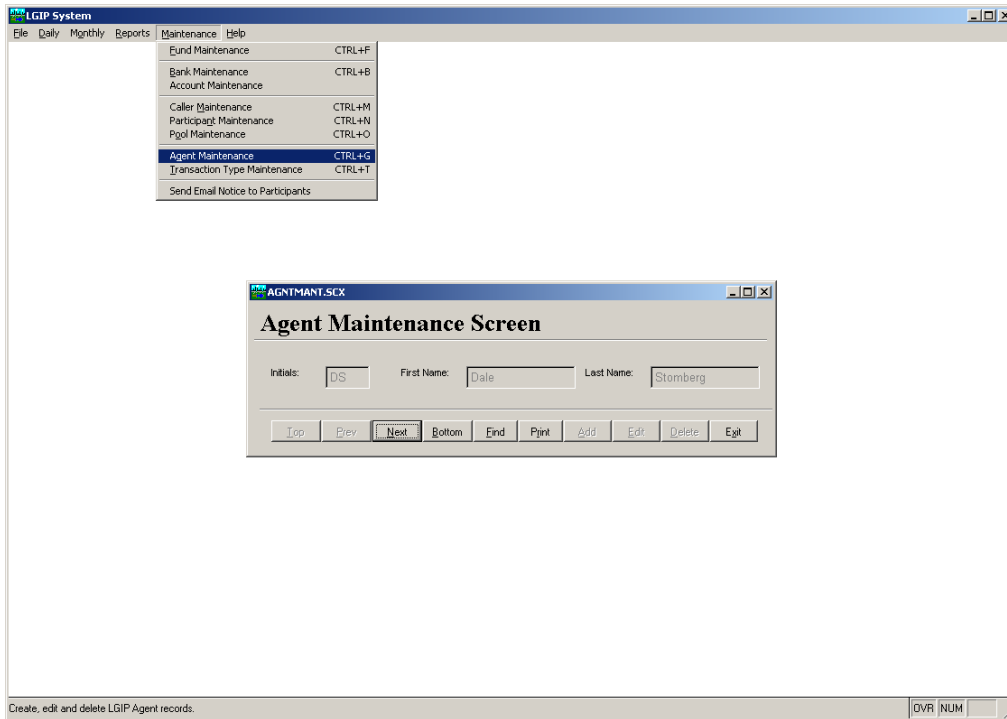
The screenshot shows the LGIP System interface. The main menu includes File, Daily, Monthly, Reports, Maintenance, and Help. The Maintenance menu is open, showing options like Fund Maintenance, Bank Maintenance, Account Maintenance, Caller Maintenance, Participant Maintenance (highlighted), Pool Maintenance, Agent Maintenance, Transaction Type Maintenance, and Send Email Notice to Participants. The Participant Maintenance window (PARTMANT.SCX) is open, displaying the District Name as 'AHCCCS Administration' and the Participant Id as '222'. The Class is set to 'Agency'. The window has buttons for Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, and Exit. The status bar at the bottom indicates 'Create, edit and delete Participant records.' and shows 'OVR' and 'NUM' fields.

Table for participants (whether they are a city, county, agency, or other).

The screenshot shows the LGIP System interface. The main menu includes File, Daily, Monthly, Reports, Maintenance, and Help. The Maintenance menu is open, showing options like Fund Maintenance, Bank Maintenance, Account Maintenance, Caller Maintenance, Participant Maintenance, Pool Maintenance (highlighted), Agent Maintenance, Transaction Type Maintenance, and Send Email Notice to Participants. The Pool Maintenance window (Pool Maintenance) is open, displaying the Pool Number as '2' and the Description as 'STATE AGENCY I-FULL FTH&CR'. The YTD Yield is '4.7062'. The Pool has NAV checkbox is unchecked. The NAV field is empty. The Comment field is empty. The window has buttons for Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, and Exit. The status bar at the bottom indicates 'Create, edit and delete Pool Information, including YTD Yield.' and shows 'OVR' and 'NUM' fields.

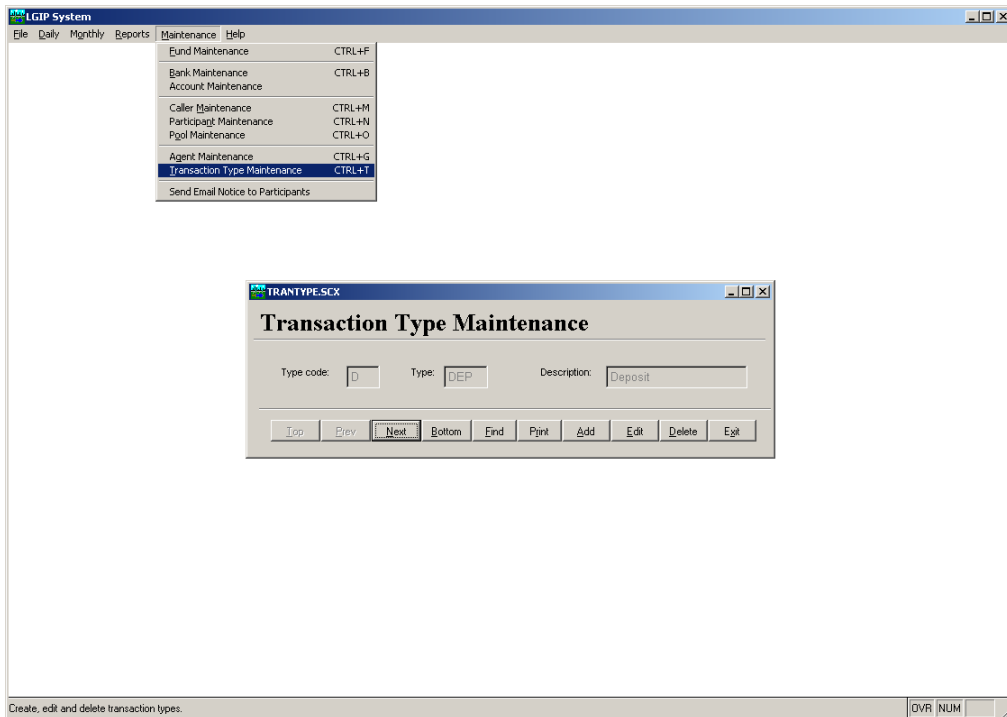
Table for Non-Endowment pools. (Pools in which our participants invest monies in)

Arizona State Treasurer's Office FMS RFI



The screenshot shows the LGIP System interface. The top menu bar includes File, Daily, Monthly, Reports, Maintenance, and Help. The Maintenance menu is open, showing options: Fund Maintenance (CTRL+F), Bank Maintenance (CTRL+B), Account Maintenance, Caller Maintenance (CTRL+M), Participant Maintenance (CTRL+N), Pool Maintenance (CTRL+O), Agent Maintenance (CTRL+G), Transaction Type Maintenance (CTRL+T), and Send Email Notice to Participants. The Agent Maintenance option is highlighted. Below the menu, the Agent Maintenance Screen (AGTMANT.SCX) is displayed. It contains fields for Initials (DS), First Name (Dale), and Last Name (Stenberg). At the bottom of the screen, there is a status bar with the text "Create, edit and delete LGIP Agent records." and a button labeled "OVR NUM".

State Treasurer's Office agents authorized to do LGIP transactions. (Table is read-only)



The screenshot shows the LGIP System interface. The top menu bar includes File, Daily, Monthly, Reports, Maintenance, and Help. The Maintenance menu is open, showing options: Fund Maintenance (CTRL+F), Bank Maintenance (CTRL+B), Account Maintenance, Caller Maintenance (CTRL+M), Participant Maintenance (CTRL+N), Pool Maintenance (CTRL+O), Agent Maintenance (CTRL+G), Transaction Type Maintenance (CTRL+T), and Send Email Notice to Participants. The Transaction Type Maintenance option is highlighted. Below the menu, the Transaction Type Maintenance Screen (TRANSTYPE.SCX) is displayed. It contains fields for Type code (D), Type (DEP), and Description (Deposit). At the bottom of the screen, there is a status bar with the text "Create, edit and delete transaction types." and a button labeled "OVR NUM".

Table for types of transactions. (Deposit, withdrawal, etc) - used in the LGIP Transaction Entry Screen.

Arizona State Treasurer's Office FMS RFI

The screenshot displays the 'LGIP System' application window. The 'Maintenance' menu is open, showing options like 'Fund Maintenance', 'Bank Maintenance', 'Account Maintenance', 'Caller Maintenance', 'Participant Maintenance', 'Pool Maintenance', 'Agent Maintenance', 'Transaction Type Maintenance', and 'Send Email Notice to Participants'. A dialog box titled 'Email Memo to all LGIP Participants' is overlaid, containing instructions and input fields for 'File To Send', 'Subject Line', and 'Message'. The dialog box also has 'Do It' and 'Cancel' buttons.

LGIP System

File Daily Monthly Reports Maintenance Help

Fund Maintenance	CTRL+F
Bank Maintenance	CTRL+B
Account Maintenance	
Caller Maintenance	CTRL+M
Participant Maintenance	CTRL+N
Pool Maintenance	CTRL+O
Agent Maintenance	CTRL+G
Transaction Type Maintenance	CTRL+T
Send Email Notice to Participants	

Email Memo to all LGIP Participants

This will send an email to all LGIP participants.
The full path of the memo needs to be entered
in the box below!

File To Send:

Subject Line:

Message:

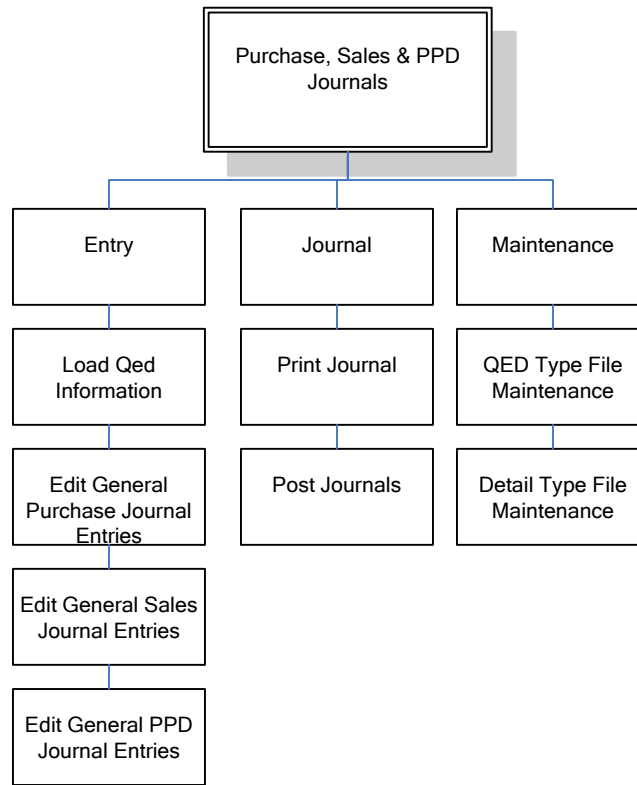
Do It Cancel

Send email notices to LGIP Participants

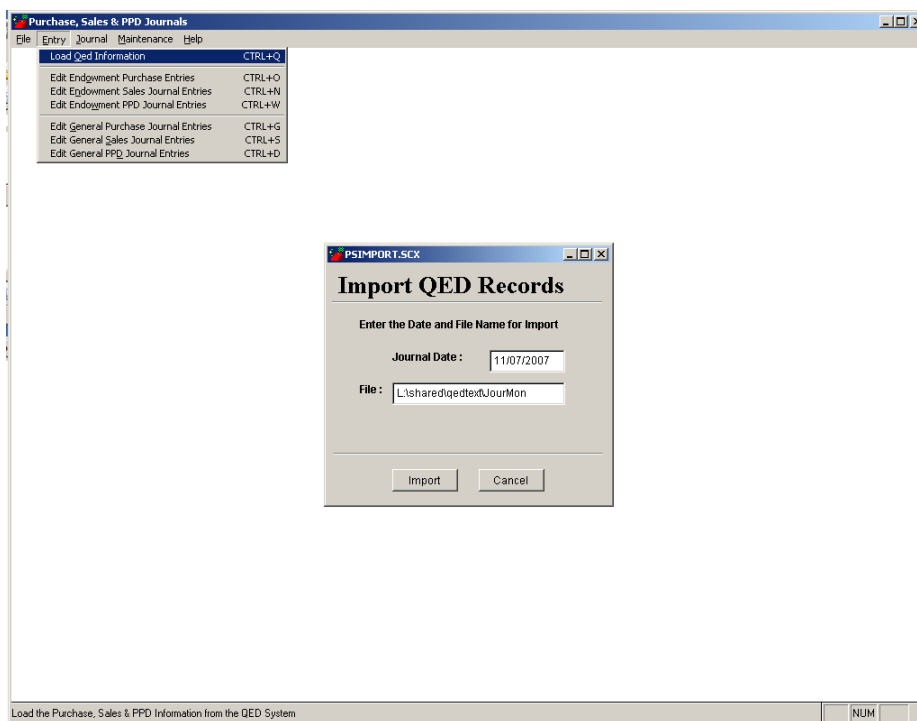
OVR NUM

Send message to all participants shown in fund maintenance which have email addresses.

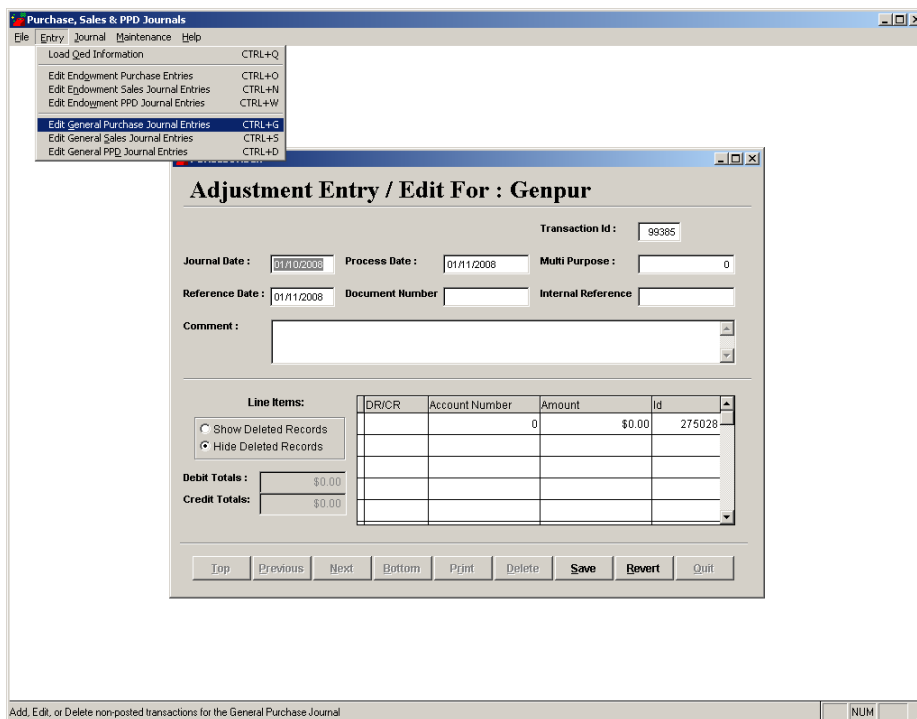
**Arizona State Treasurer's Office
FMS RFI**



Arizona State Treasurer's Office FMS RFI



Import data from QED file for daily Purchases, Sales and Pay down journals. This data will be used to post the daily activity for investment transactions (Maturities, interest, principal pay downs, calls and purchases) for our non-endowment portfolio to the General Ledger.



Arizona State Treasurer's Office FMS RFI

Purchase, Sales & PPD Journals

File Entry Journal Maintenance Help

Load Qed Information CTRL+Q

Edit Endowment Purchase Entries CTRL+O

Edit Endowment Sales Journal Entries CTRL+N

Edit Endowment PPD Journal Entries CTRL+W

Edit General Purchase Journal Entries CTRL+G

Edit General Sales Journal Entries CTRL+S

Edit General PPD Journal Entries CTRL+D

Adjustment Entry / Edit For : Gensale

Transaction Id : 99354

Journal Date : 12/01/2007 Process Date : 01/08/2008 Multi Purpose : 60179

Reference Date : 12/01/2007 Document Number 3 Internal Reference MAT 313396QX

Comment : 3:60179

Line Items:

☐ Show Deleted Records

☒ Hide Deleted Records

DR/CR	Account Number	Amount	Id
CR		\$50,000,000.00	274965
DR		\$50,000,000.00	274966
Debit Totals :		\$50,000,000.00	
Credit Totals :		\$50,000,000.00	

Top Previous **Next** Bottom Print Delete Add Edit Quit

Add, Edit, or Delete non-posted transactions for the General Sales Journal

NUM

Purchase, Sales & PPD Journals

File Entry Journal Maintenance Help

Load Qed Information CTRL+Q

Edit Endowment Purchase Entries CTRL+O

Edit Endowment Sales Journal Entries CTRL+N

Edit Endowment PPD Journal Entries CTRL+W

Edit General Purchase Journal Entries CTRL+G

Edit General Sales Journal Entries CTRL+S

Edit General PPD Journal Entries CTRL+D

Adjustment Entry / Edit For : Genppd

Transaction Id : 99386

Journal Date : 01/10/2008 Process Date : 01/11/2008 Multi Purpose : 0

Reference Date : 01/11/2008 Document Number Internal Reference

Comment :

Line Items:

☐ Show Deleted Records

☒ Hide Deleted Records

DR/CR	Account Number	Amount	Id
		0	\$0.00 275029
Debit Totals :		\$0.00	
Credit Totals :		\$0.00	

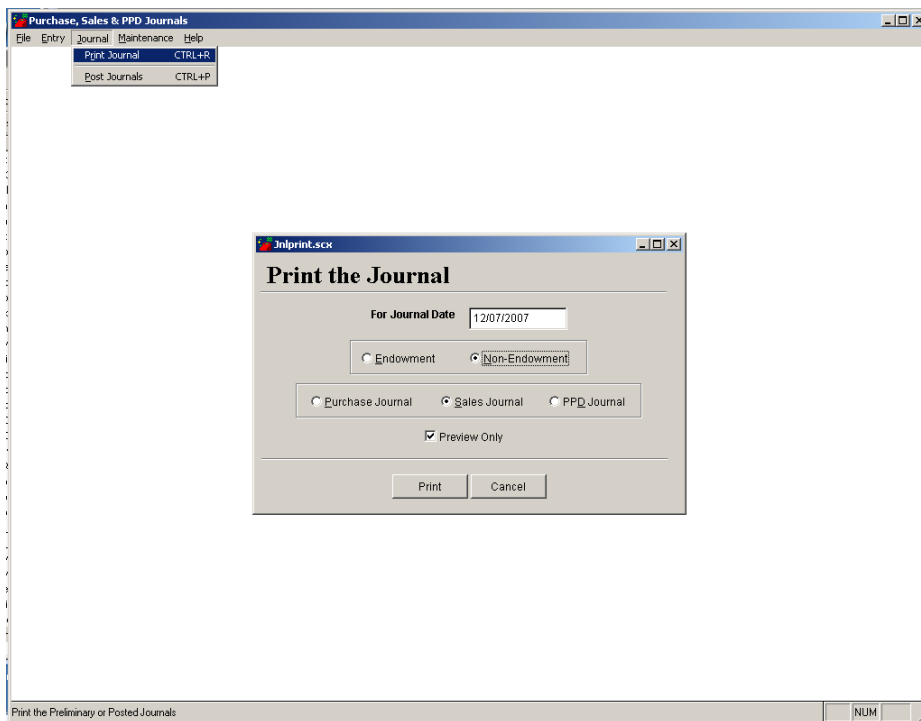
Top Previous Next Bottom Print Delete **Save** **Revert** Quit

Add, Edit, or Delete non-posted transactions for the General PPD Journal

NUM

Edit QED journal information upload if required. Data is matched against the bank statement from BNY Mellon (by totals) and if something was not changed in QED (in error), these screens are used to make changes (add or edit).

Arizona State Treasurer's Office FMS RFI



Report Designer - jnlprt1.frx - Page 1

Journal Date: 07 December 2007				Arizona State Treasury		[Jnlprt1]
Run On: 11 January 2008 - 17:38:52				General Sales Journal		Posted Copy
Transaction Detail Section						
Date	Description	Account		DR	CR	
12/07/2007	CALL 3128K4K6	3:52533	Gov't & Agency Securities		13,480,000.00	
12/07/2007	CALL 3128K4K6	3:52533	Accrued Interest		176,925.00	
12/07/2007	CALL 3128K4K6	3:52533	BONY Operating Sweep	13,656,925.00		
12/07/2007	CALL 3133KH4	3:55791	Gov't & Agency Securities		20,000,000.00	
12/07/2007	CALL 3133KH4	3:55791	Accrued Interest		550,000.00	
12/07/2007	CALL 3133KH4	3:55791	BONY Operating Sweep	20,550,000.00		
12/07/2007	CALL 3133KH4	12:55793	Gov't & Agency Securities		3,000,000.00	
12/07/2007	CALL 3133KH4	12:55793	Accrued Interest		82,500.00	
12/07/2007	CALL 3133KH4	12:55793	BONY Operating Sweep	3,082,500.00		
12/07/2007	MAT TPCG0525	2:60378	Repurchase Agreements		77,000,000.00	
12/07/2007	MAT TPCG0525	2:60378	Accrued Interest		9,411.11	
12/07/2007	MAT TPCG0525	2:60378	BONY Operating Sweep	77,009,411.11		
12/07/2007	MAT 313384QE	3:60291	Gov't & Agency Securities		30,000,000.00	
12/07/2007	MAT 313384QE	3:60291	BONY Operating Sweep	30,000,000.00		
12/07/2007	MAT 313396QE	5:59651	Gov't & Agency Securities		50,000,000.00	
12/07/2007	MAT 313396QE	5:59651	BONY Operating Sweep	50,000,000.00		
12/07/2007	MAT TPCG0525	5:60379	Repurchase Agreements		150,000,000.00	
12/07/2007	MAT TPCG0525	5:60379	Accrued Interest		18,333.33	
12/07/2007	MAT TPCG0525	5:60379	BONY Operating Sweep	150,018,333.33		

Print daily general sales, purchases, and pay down journals. Totals are checked to daily BNY Mellon Bank statement for accuracy.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Purchase, Sales & PPD Journals' application window. The 'Journal' menu is open, showing 'Print Journal' (CTRL+P) and 'Post Journals' (CTRL+R). A dialog box titled 'Jnlpost.scx' is displayed with the title 'Post the journal'. It contains the following fields and options:

- Date to post:** 12/07/2007
- Endowment:** ☒ Endowment ☐ Non-Endowment
- Journal Type:** ☒ Purchase Jnl ☐ Sales Jnl ☐ PPD Jnl
- Buttons:** Continue, Quit

The status bar at the bottom of the application window reads 'Post the Journals' and 'NUM'.

Post daily journals after amounts are verified against BNY Mellon bank statements. These entries are then uploaded to our internal general ledger and then to USAS.

The screenshot shows the 'Purchase, Sales & PPD Journals' application window. The 'Maintenance' menu is open, showing 'QED Type File Maintenance' and 'Detail Type File Maintenance'. A dialog box titled 'QTYPE-NT.SCX' is displayed with the title 'QTYPES File Maintenance'. It contains the following fields and options:

- QED Type:** 7
- Class Code:** REPO
- Description:** TRLPARTY REPO
- Delay:** [empty field]
- Broker Total:** [empty field]
- Big Class:** REPO
- Endowment Account Num:** [empty field]
- Non Endowment Account Num:** [empty field]
- Buttons:** Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit

The status bar at the bottom of the application window reads 'Add, Edit or Delete QED Asset Types' and 'NUM'.

This table is used to enter QED asset type data (table) which designates what general ledger accounts to use for this asset type's transaction.

Arizona State Treasurer's Office FMS RFI

The screenshot shows a software interface with a main window titled "Purchase, Sales & PPD Journals" and a smaller dialog box titled "TYPE-MANT.SCL" with the subtitle "Detail Type Maintenance".

The main window has a menu bar with "File", "Entry", "Journal", "Maintenance", and "Help". Below the menu bar, there are two buttons: "QED Type File Maintenance" and "Detail Type File Maintenance".

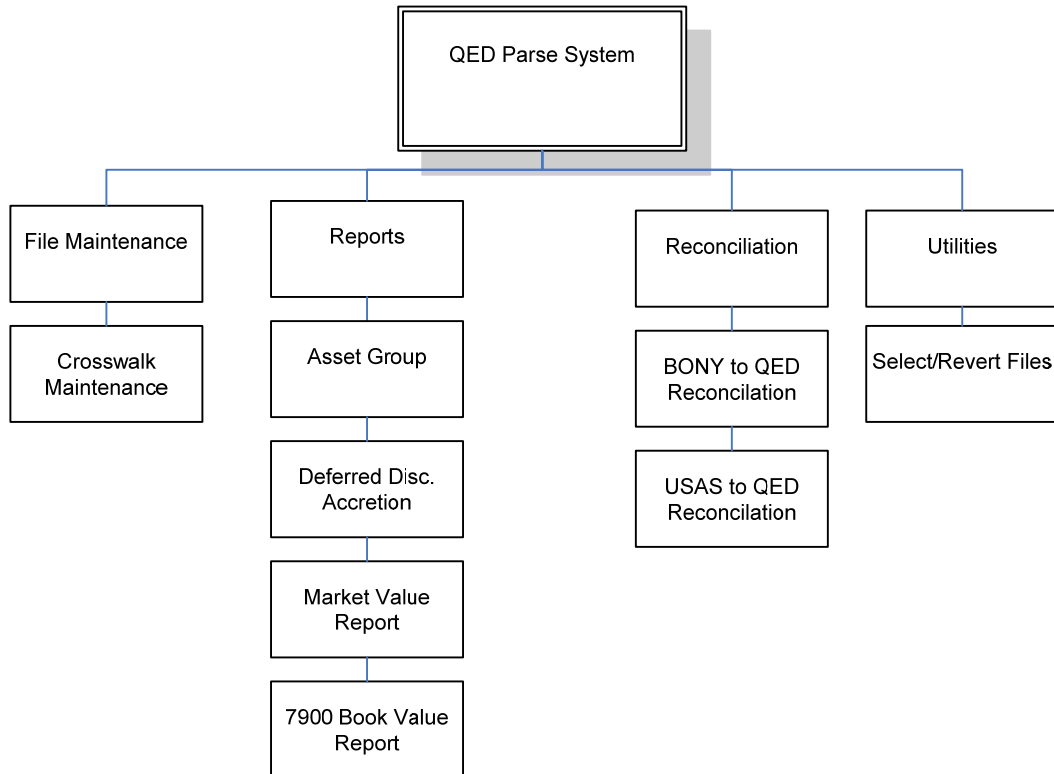
The "Detail Type Maintenance" dialog box contains the following fields and controls:

- Account Type :** A text box containing the word "CASH".
- Endowment Account # :** An empty text box.
- Non Endowment Account # :** An empty text box.
- A row of buttons at the bottom: "Top", "Prev", "Next", "Bottom", "Find", "Print", "Add", "Edit", "Delete", and "Exit".

At the bottom of the main window, there is a status bar that reads "Edit the account numbers assigned to various entries" and a small button labeled "NUM".

This table is used for premium, discount, purchased interest, interest, gain & loss, and cash general ledger accounts. These General ledger account numbers are not based on asset type transactions, but on a formula/calculation using certain data fields from the uploaded QED file.

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FMS RFI**



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The screenshot shows the 'QED File Parse/Report System' window. The 'Crosswalk Maintenance' menu item is selected. A dialog box titled 'QED/USAS Crosswalk file maintenance' is open. It contains the following fields and controls:

- QED Fund#:** [Empty text box]
- USAS Agency:** [Text box containing 'TRA']
- USAS Fund#:** [Empty text box]
- ☐ You must also match Grant & Phase
- USAS Grant #:** [Empty text box]
- USAS Grant Phase:** [Empty text box]
- Buttons at the bottom: Top, Prev, Next, Bottom, End, Print, Add, Edit, Delete, Exit.

At the bottom of the main window, there is a status bar with 'Skip to next record' and a 'NUM' button.

Table is used to enter QED participant account numbers. For each account number entered, an USAS State Agency, Fund Number, and Grant and Phase Number (Optional) will be entered from a completed new participant account form. This table is a crosswalk for the Reconciliation Report called "USAS to QED Reconciliation".

The screenshot shows the 'QED File Parse/Report System' window. The 'Reports' menu item is selected, and a sub-menu is open showing options like 'Broker Activity', 'Asset Group', 'Deferred Disc. Accretion', 'Market Value Report', 'Z900 Book Value Report', and 'QED to CMS translation'. The 'Asset Group' option is highlighted. A dialog box titled 'Asset Group Report Setup' is open. It contains the following fields and controls:

- Please enter the "as of" date for the report:** [Text box containing '01/11/2008']
- File being parsed:** [Text box containing 'L:\shared\qedtext\enbv0108']
- Report Progress:** [Empty text box]
- ☐ Preview Only
- Buttons at the bottom: Start, Cancel.

At the bottom of the main window, there is a status bar with 'Asgdata (QedparcelAsgdata)', 'Record: 1/600', 'Exclusive', and a 'NUM' button.

Arizona State Treasurer's Office FMS RFI

Report Designer - asstgrp.frx - Page 1 - QED File Parse/Report System

File Maintenance Reports Reconciliations Edit Utilities Quit

Print Preview

ET GROUP REPORT
17 January 2008 - 14:57:22 [Assetup]

For balances as of 31 December 2007

Fund	Description	End Per	Discount	Fees/Ints	Ending Book
Govt/Agcy.		777,400,047.83	2,381,223.86	1,110,483.80	776,218,477.77
Reps.		101,000,000.00	0.00	0.00	101,000,000.00
		878,400,047.83	2,381,223.86	1,110,483.80	877,218,477.77
Capex/Inv.		1,051,072,375.15	660,614.20	1,221,340.84	1,051,431,000.79
Govt/Agcy.		1,082,028,781.96	5,402,214.88	985,811.00	1,081,640,777.84
Comm. Paper		110,240,000.00	320,884.58	0.00	109,919,115.42
		3,113,741,124.79	8,644,933.77	3,788,595.32	3,107,407,144.85
Capex/Inv.		949,072,887.17	1,105,011.76	84,591.70	948,852,283.71
CDs		49,000,000.00	22,260.46	0.00	48,977,739.54
Govt/Agcy.		2,168,904,000.00	7,500,178.90	85,809.89	2,161,418,762.81
Comm. Paper		198,000,000.00	919,213.88	0.00	197,080,786.11
		3,366,774,000.00	8,419,392.78	85,809.89	3,358,268,807.11
Reps.		14,000,000.00	0.00	0.00	14,000,000.00
		1,600,000.00	0.00	0.00	1,600,000.00
Govt/Agcy.		100,000,000.00	8,487.19	81,180.43	99,910,432.38
Reps.		1,915,000,000.00	0.00	0.00	1,915,000,000.00
		3,995,500,000.00	8,495.19	81,180.43	3,995,581,612.38
Govt/Agcy.		600,070,000.00	2,280,251.74	347,885.72	597,489,962.54
Comm. Paper		2,000,000,000.00	98,137.22	0.00	1,999,901,862.78
		2,600,070,000.00	2,378,438.96	347,885.72	2,597,383,644.04
Govt/Agcy.		150,000,000.00	0.00	0.00	150,000,000.00
		150,000,000.00	0.00	0.00	150,000,000.00
Reps.		10,000,000.00	0.00	0.00	10,000,000.00
		160,000,000.00	0.00	0.00	160,000,000.00
Capex/Inv.		20,004,889.36	115,813.94	1,153.34	20,019,550.76
Govt/Agcy.		66,987,813.11	103,135.03	185,330.81	66,884,348.27
Money Mkt.		16,315,240.87	0.00	0.00	16,315,240.87
		103,307,943.34	218,948.97	186,461.15	102,902,533.21
Govt/Agcy.		26,765,000.00	149,481.84	0.00	26,615,518.16
Reps.		50,000,000.00	0.00	0.00	50,000,000.00
		76,765,000.00	149,481.84	0.00	76,615,518.16
Capex/Inv.		4,367,819.87	23,116.72	43,885.92	4,383,721.67
Govt/Agcy.		12,095,889.36	14,881.77	3,420.11	12,077,586.78

Page 1

Asset_group_report_a Record: 24/25 Exclusive NUM

Uses QED file to generate the Book Value Report for Non-Endowments, Endowments, and Long-Term Non-Endowments by Pool number and as a whole for the portfolio. Report breaks down QED file by asset categories (Repo, etc.). Asset types on QED file is the major field used to distinguish the data into the categories. This report is used to reconcile some of the investment accounting General Ledger accounts to USAS (we do this in an excel spreadsheet).

Arizona State Treasurer's Office FMS RFI

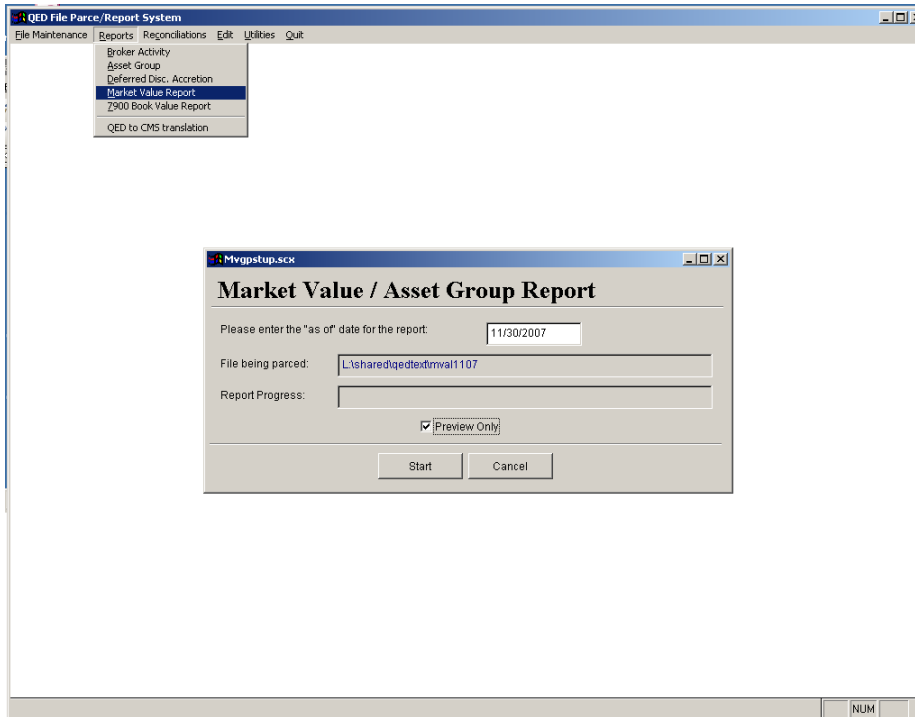
The screenshot shows the 'QED File Parse/Report System' application window. A menu is open with 'Deferred Disc. Accretion' selected. A secondary window titled 'ENBV Parse Class - Qedshar.vcx' is displayed, showing the 'Deferred Discount Accretion Report Setup' dialog. The dialog includes a date field set to '01/11/2008', radio buttons for 'Endowments', 'General', and 'LT Non Endowment', a file path field, a progress bar, and checkboxes for 'Preview Only' and 'Include Detail on Report'. 'Start' and 'Cancel' buttons are at the bottom.

The screenshot shows the 'Report Designer' window displaying a 'Deferred Discount Accretion Report'. The report is titled 'Deferred Discount Accretion Report' and includes a subtitle 'For balances as of: 31 December 2007'. It shows the run date 'Run on: 17 January 2008 - 15:49:58'. The report contains a table with columns: Fund#, Inv#, Cost, Book, and Deferred Discount Accretion. The data is summarized by Fund#.

Fund#	Inv#	Cost	Book	Deferred Discount Accretion
Total Fund		518,318,030.59	522,391,971.37	-4,073,940.78
Total Fund		1,924,819,454.93	1,930,244,796.85	-5,425,341.92
Total Fund		2,233,706,638.33	2,242,107,004.16	-8,400,365.83
Total Fund		44,980,664.07	44,991,502.81	-10,838.74
Total Fund		462,526,154.88	464,751,551.04	-2,225,396.16
Total Fund		39,339,891.62	39,385,037.50	-45,145.88
Total Fund		26,600,563.58	26,616,508.16	-15,944.58
Total Fund		7,092,032.94	7,101,981.86	-9,948.92
Total Fund		31,379,235.03	31,379,235.03	0.00
Grand Total		5,288,762,665.97	5,308,969,588.78	-20,206,922.81

Uses QED file to calculate deferred discount income by pool #.

Arizona State Treasurer's Office FMS RFI



Report Designer - mvalagr.fx - Page 1

Asset Group Report With Market Value [Mvalagr.p]
Run on: 11 January 2008 - 17:45:04

For balances as of: 30 November 2007

Endowments

Fund	Description	Par	Book	Market	Over/Under
	S&P 500 Shares	2,614,533.79	2,381,401.29	3,188,958.29	807,557.00
	Fix Income Shares	2,922,917.15	3,201,336.47	4,094,200.52	892,864.05
	S&P 400 Shares	853,378.91	816,353.50	1,598,853.10	743,499.60
Subtotal for		6,390,829.85	6,399,091.26	8,882,011.91	2,443,920.65
	S&P 500 Shares	1,386,947.43	1,152,576.07	1,891,665.84	539,089.77
	Fix Income Shares	1,480,373.54	1,554,474.66	2,073,594.91	519,120.25
	S&P 400 Shares	406,700.60	394,505.90	743,389.82	348,883.92
Subtotal for		3,274,021.57	3,101,556.63	4,508,650.57	1,407,093.94
	S&P 500 Shares	2,020,480.59	1,987,853.52	2,464,389.01	476,535.49
	Fix Income Shares	2,445,859.71	2,671,270.35	3,425,974.67	754,704.32
	S&P 400 Shares	657,324.80	681,368.65	1,201,494.57	520,125.92
Subtotal for		5,123,665.10	5,340,492.52	7,091,858.25	1,751,365.73
	S&P 500 Shares	1,133,607.88	1,133,305.95	1,602,982.25	469,676.30

Uses a QED file to generate market value by Pool and this report also generates totals for each Asset group for the Non-Endowments and Endowments. Report breaks down QED file by asset categories (Repo, etc.). Asset types on QED file is the major field used to distinguish the data into the categories.

Arizona State Treasurer's Office FMS RFI

QED File Parse/Report System

File Maintenance Reports Reconciliations Edit Utilities Quit

- Broker Activity
- Asset Group
- Deferred Disc. Accretion
- Market Value Report
- 7900 Book Value Report**
- QED to CMS translation

7900 Book Value Report Screen

7900 Adjustments Report

This screen is going to flip the 7900 reports from Unix, then process the data to give you a 7900 adjustment report. If the upload box is checked, then an upload file will be created.

☐ Create upload file
☐ Preview report

Welcome!

Hold Record: None Exclusive NUM

Report Designer - rpt7900.frx - Page 1 - QED File Parse/Report System

File Maintenance Reports Reconciliations Edit Utilities Quit

Print Preview

Run on: January 17, 2008

Arizona State Treasurer's Office 7900 Adjustment Report for December 2007

Fund	Date	Security Book Value	Members Balance	7900 Balance	Adjustment Amount	Calculated 7900 Balance
2	12/01/2007	965,395,204.57	909,639,360.85	65,294,170.45	-9,538,326.73	55,755,843.7
2	12/02/2007	965,420,748.20	909,639,360.85	55,755,843.72	25,543.63	55,781,387.3
2	12/03/2007	925,571,779.50	909,544,360.85	55,781,387.35	-39,753,968.70	16,027,418.6
2	12/04/2007	1,022,597,054.39	906,589,360.85	16,027,418.65	99,980,274.89	116,007,693.5
2	12/05/2007	929,622,329.28	913,233,360.85	116,007,693.54	-99,618,725.11	16,388,968.4
2	12/06/2007	912,647,604.13	911,012,918.85	16,388,968.43	-14,754,283.15	1,634,685.2
2	12/07/2007	926,672,879.06	910,175,585.85	1,634,685.28	14,862,607.93	16,497,293.2
2	12/08/2007	926,698,154.00	910,175,585.85	16,497,293.21	25,274.94	16,522,568.1
2	12/09/2007	926,723,428.89	910,175,585.85	16,522,568.15	25,274.89	16,547,843.0
2	12/10/2007	929,748,703.77	859,689,585.85	16,547,843.04	53,511,274.88	70,059,117.9
2	12/11/2007	877,773,978.69	857,897,585.85	70,059,117.92	-50,182,725.08	19,876,392.8
2	12/12/2007	875,799,253.60	855,411,585.85	19,876,392.84	511,274.91	20,387,667.7
2	12/13/2007	885,824,528.47	798,399,585.85	20,387,667.75	67,037,274.87	87,424,942.6
2	12/14/2007	923,849,803.40	853,771,585.85	87,424,942.62	-17,346,725.07	70,078,217.5
2	12/15/2007	923,875,078.32	853,771,585.85	70,078,217.55	25,274.92	70,103,492.4

Holdbv Record: 17/279 Exclusive NUM

Arizona State Treasurer's Office FMS RFI

Uses a QED file and generates entries to post to 7900 (General Fund) by pool. These entries (adjusted amount) are put into a file (optional) which is then used to upload to QED and post. Another aspect of this application is that if any of the pool's 'calculated 7900 balance' is negative on any individual day, an exception report is printed with that day and the amount of the negative balance. If no exception report is printed, then a report shown above is printed. We run this report on a monthly basis.

The screenshot shows the 'QED File Parse/Report System' window. The 'Reconciliations' menu is open, showing 'BONY to QED Reconciliation' and 'USAS to QED Reconciliation'. The 'QED to BONY reconciliation' dialog box is open, displaying the following fields:

- Date to reconcile: 11/30/2007
- QED File name: L:\shared\qed\srcn1107
- ChaseFileName: L:\shared\chase\srcn1107.csv
- Preview Report: ☒
- Progress: (empty bar)
- Start and Cancel buttons

The status bar at the bottom indicates 'Chasearc (Qedparse\Chasearc) Record: 1/1336 Exclusive'.

The screenshot shows the 'Report Designer - q2crecon.frx - Page 1' window. The report is titled 'BONY/QED Reconciliation' and is dated 'Run on: 11 January 2008 - 17:47:26'. The report is for the date '11/30/2007' and is titled 'GADA'. The report is generated by 'Q2crecon'.

Cusip	Description	Maturity Date	Acct/ Fund	Rate	Par
Unmatched BONY items:					
3128X5VF	FEDERAL HOME LN MTG CORPMEDIUM	12/27/2011	505857	5.4000	500,000.00
3133XMJ3	FEDERAL HOME LN BKS	10/03/2012	505857	5.3500	500,000.00
3133XMXZ	FEDERAL HOME LN BKS DEB	11/16/2012	505857	0.0000	500,000.00
31359MN4	FEDERAL NATL MTG ASSNFEDERAL N	05/12/2011	505857	5.7000	356,000.00
3136F8JS	FEDERAL NATL MTG ASSN M/T/INTER	04/12/2010	505857	5.3500	1,000,000.00
31395KFW	FEDERAL HOME LN MTG CORPCMO/SE	04/15/2019	505857	5.0000	141,317.02
31396LYT	FEDERAL NATL MTG ASSN REMIC TR	05/25/2014	505857	5.5000	186,799.20
43812RAB	HONDA AUTO RECEIVABLES 2006-30	03/15/2009	505857	5.2500	551,138.91
713448BF	PEP SICO INCNT	05/15/2012	505857	5.1500	200,000.00
S9999055	BNY HAMILTON MONEY FUND PREMIE	/ /	505857	0.0000	2,580,277.50
Subtotal for BONY:					6,515,532.63

Used to reconcile QED assets held to Bank of New York Mellon assets held on a portfolio basis. Report uses data files from both QED and BNY Mellon. We have multiple BNY Mellon bank

Arizona State Treasurer's Office FMS RFI

accounts that correspond with different QED pools, but not always on a one for one basis. We have multiple QED pools that would be compared to one BNY Mellon bank account. All the rest

of the QED Pools would have a one to one comparison to a BNY Mellon bank account. This report compares par, rate, maturity date and description by Cusip number. If there are differences, an exception report is generated.

The screenshot displays the 'QED File Parse/Report System' application window. The menu bar includes 'File Maintenance', 'Reports', 'Reconciliations', 'Edit', 'Utilities', and 'Quit'. The 'Reconciliations' menu is open, showing 'BONY to QED Reconciliation' and 'USAS to QED Reconciliation'. The 'USAS to QED Reconciliation' option is selected. A dialog box titled 'QED to USAS reconciliation' is open in the foreground. It contains the following fields and controls:

- Date to reconcile:** 11/30/2007
- QED File name:** L:\shared\qedtext\ffsum1107
- USAS file name:** L:\shared\qedtext\cashinv.txt
- Preview Report:** ☒
- Progress:** A progress bar.
- Buttons:** Start and Cancel.

The status bar at the bottom of the application window shows 'Q2uwwik (QedparcelQ2uwwik)', 'Record: 1/2487', 'Record Unlocked', and a 'NUM' field.

Arizona State Treasurer's Office FMS RFI

Report Designer - q2urecon.frx - Page 1 - QED File Parse/Report System

File Maintenance Reports Reconciliations Edit Utilities Quit

Print Preview

QED/USAS Reconciliation Report [Q2urecon]

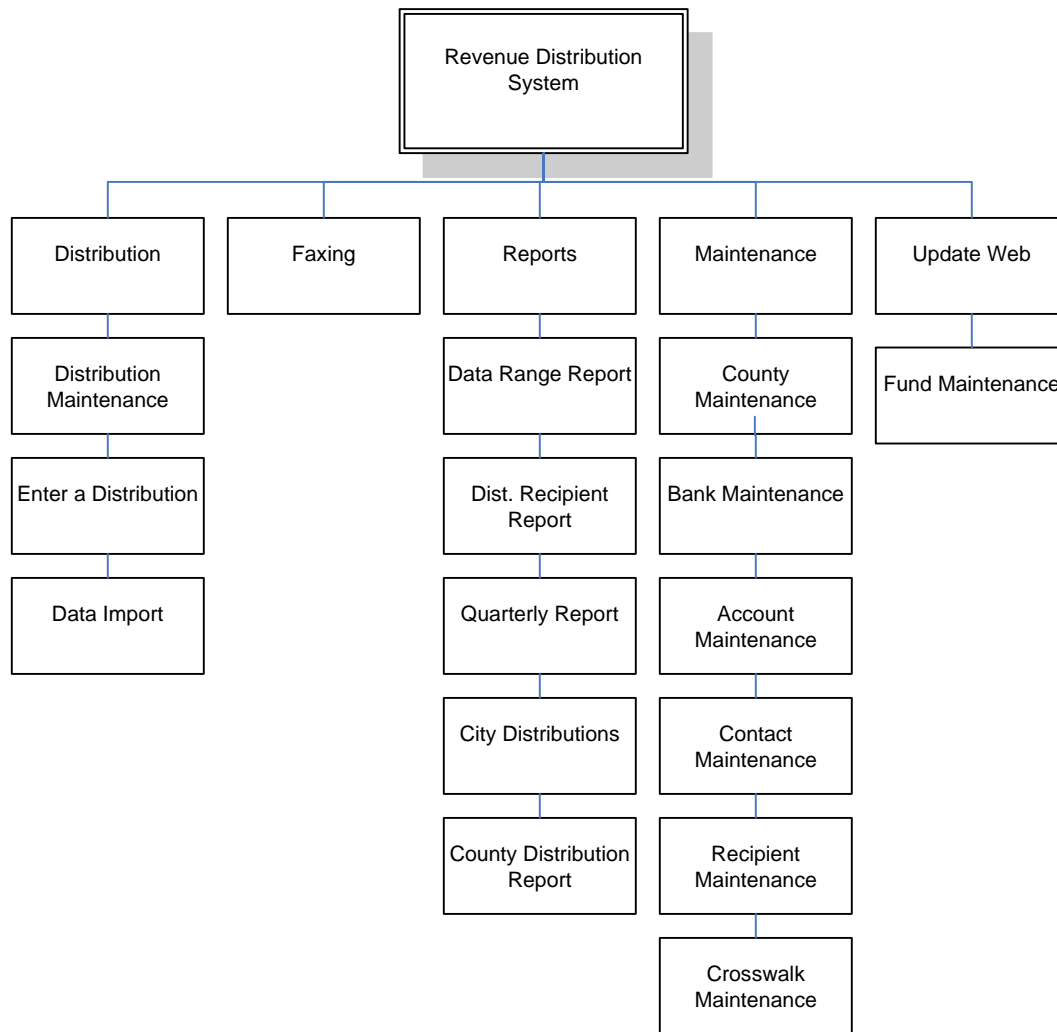
Run on: January 17, 2008 - 17:00:13
For balances as of: 31 December 2007

USAS Agy	USAS Fund	Grant # & Phase	QED Fund#	Fund Name	QED Total	USAS Total	Difference
			7102	ADOT 1998A RARF PROCEEDS	0.00	0.00	0.00
			0		0.00	4,586.17	-4,586.17
			0		0.00	1,413,714.11	-1,413,714.11
			0		0.00	81,109.34	-81,109.34
			0		0.00	1,915.12	-1,915.12
			0		0.00	91,224.91	-91,224.91
ADA			3194	FEDERAL REVENUE SHARING	3.71	3.71	0.00
ADA			3170	EMERGENCY TELECOM REV	54,214,259.57	54,214,259.57	0.00
ADA			3764	DOA-STATE HOSP TL CAP CON	6,087.37	6,087.37	0.00
ADA			3362	DOA SPECIAL EMPLOYEE HLTH	0.00	0.00	0.00
ADA			3751	GAO-MEDICAL SAVINGS ACCT	0.00	0.00	0.00
ADA			3510	DOA-GAO ERE/BENEFIT ADMIN.	0.00	0.00	0.00
ADA			3314	PUBLIC BUILDINGS - DOA	4,586.17	0.00	4,586.17
ADA			3216	HEALTH & ACCIDENT	0.00	0.00	0.00
ADA			3132	D.O.A. STATE SURPLUS PR	6,297.12	6,297.12	0.00
ADA			3130	D.O.A. FEDERAL SURPLUS	136,433.04	136,433.04	0.00
ADA			3166	DOA ARBITRAGE REBATE	91,224.91	0.00	91,224.91
AGA			2445	IAG105-0976 ORTEGA CASE	24,554.40	24,554.40	0.00
AGA			2444	IAG105-0031 SAUL CHAVEZ CASE	0.00	0.00	0.00
AGA			2457	ATTN GEN IAG105-0385 LOSORDO	45,924.97	45,924.97	0.00
AGA			2402	IAG104-0901 AMARILLAS CASE	223,154.26	223,154.26	0.00
AGA			2403	IAG104-0653 VERTIN CASE	63,706.95	63,706.95	0.00
AGA			2459	ATTN GEN IAG104-0339 HALL	14,758.91	14,758.91	0.00
AGA			2380	IAG104-0228 TAYLOR CASE	43,772.52	43,772.52	0.00
AGA			2379	IOC100-1559 ANGEL TRANSPORT	54,821.89	54,821.89	0.00

Qed_usas_recon_view_1 Record: 41/710 Exclusive NUM

This is used to reconcile participant balances from QED to USAS. Report uses data files from QED and USAS. Report shows all items. 'QED Fund' field is reconciled with 'USAS Fund' field (from USAS).

Arizona State Treasurer's Office
FMS RFI



Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Distribution System' application window. The 'Distribution Maintenance' menu is highlighted. The 'DISTNMM1.SCX' window is open, displaying the 'Distribution Maintenance Screen'. The screen contains the following fields and options:

- Distribution Name:** AHCCCS County to offset Net Loss
- Distribution Code:** ACNL
- Statute Reference:** 45THLEG SB1577 CH118 SEC119
- Short Name:** Co Net Loss
- ☒ Show this on the Quarterly Report
- ☒ Sent Via Wire (X) or ACH (Blank)
- ☐ Disable this type for data entry
- ☒ Show this on the County Report
- Buttons: Top, Prev, Next, Bottom, End, Print, Add, Edit, Delete, Exit
- Button: Add / Edit Recipients

At the bottom of the application window, a status bar reads: 'Setup Distribution types, assign recipients, contacts and bank accounts.' and 'NUM'.

Used to maintain distribution types, add new distribution types.

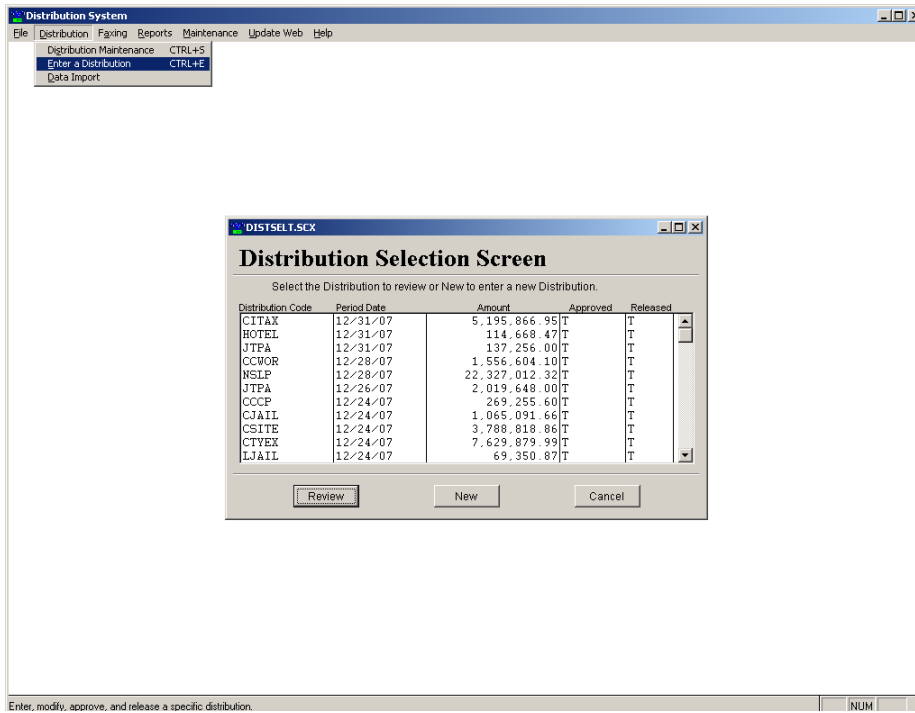
The screenshot shows the 'Distribution System' application window with the 'Distribution Maintenance Screen' in the background and the 'RCPDSTID.SCX' window in the foreground. The 'Recipient Selection Screen' is displayed, showing the following:

- Select which type of Recipients to list:**
 - ☒ Counties
 - ☒ JTFA
 - ☒ Towns
 - ☒ Other
- Recipients for:** ACNL
- Select the entities who will receive this distribution.**
- Non-Recipients:** A list of entities including 4-WINDS ACADEMY, INC., A CHILD'S VIEW SCHOOL, INC., ABC ALTERNATIVE LEARNING CTR, ACADEMY/PERSONAL EXCELLENCE, ACADEMY OF ARIZONA, ACADEMY OF BUILDING INDUSTRIES, ACADEMY OF EXCELLENCE, ACADEMY OF EXCELLENCE, ACADEMY OF MATH & SCIENCE, and ACADEMY WITH COMMUNITY PART.
- Active Recipients:** A list of entities including COCHISE COUNTY TREASURER, GRAHAM COUNTY TREASURER, GREENLEE COUNTY TREASURER, LA PAZ COUNTY TREASURER, MARICOPA COUNTY TREASURER, PIMA COUNTY TREASURER, SANTA CRUZ COUNTY TREASURER, and YAVAPI COUNTY TREASURER.
- Buttons: Add, Modify, Remove, Exit

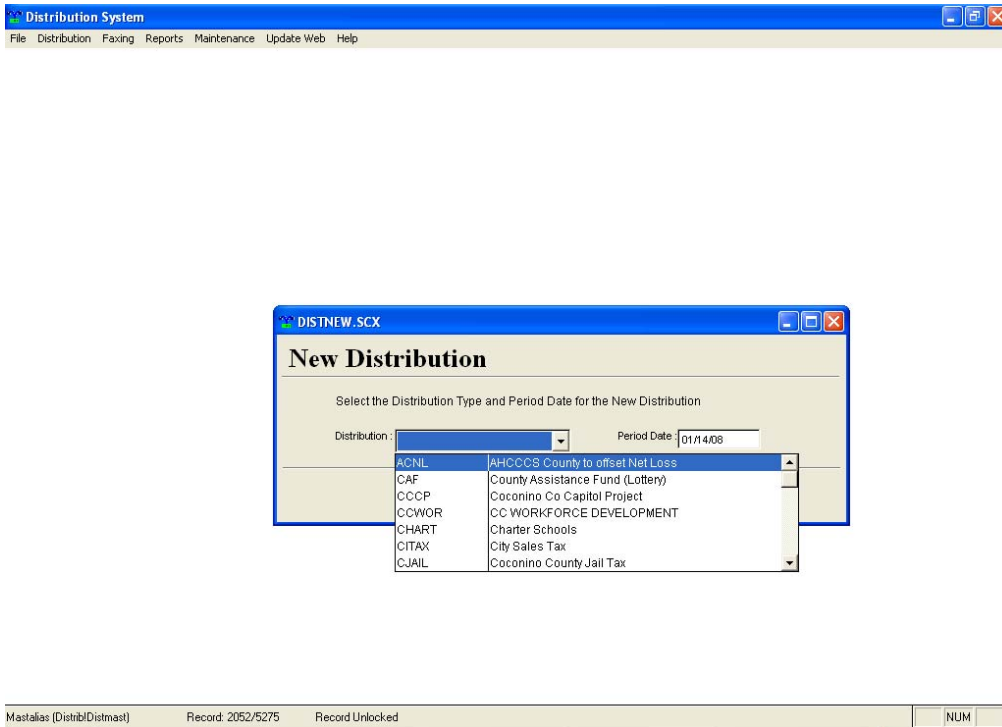
At the bottom of the application window, a status bar reads: 'Recipient (Drink/Recipient) Record: 1/3439 Record Unlocked' and 'NUM'.

Used to maintain the recipients for each different distribution.

Arizona State Treasurer's Office FMS RFI



Select an existing distribution, press “Review” button to look at that distribution or re-print any reports pertaining to that distribution.



Create a new distribution.

Arizona State Treasurer's Office FMS RFI

Distribution System
File Distribution Faxing Reports Maintenance Update Web Help

Distribution Entry Screen

Distribution Information | Amount Entry | Exception Entry | Approval Status

Distribution Code : STTAX Period Date : 12/24/07 Dist Id : 8486

Distribution Amount : \$75,061,258.44 ☐ Wire (X) or ACH (Blank)

Comment : NOV 07

Claim/Warrant Information | Other Information

Claim Date : 12/18/07 Claim Number : 2LJ08128

Warrant Date : 12/18/07 Warrant Number : 212399143

Input Date : 12/18/07 Wire Date : 12/24/07

Save Cancel Exit

Gridlist Record: EOF/105 Exclusive NUM

Input information from warrant.

Distribution System
File Distribution Faxing Reports Maintenance Update Web Help

DISTENTR.SCX

Distribution Entry Screen

Distribution Information | Amount Entry | Exception Entry | Approval Status

Recipient ID	Recipient Name	Distribution Amount	ACH No
2	Apache County Treasurer	313175.0800	0
1	Apache Junction, City Of	255598.0000	0
5	Avondale, City Of	520318.6200	0
8	Benson, City Of	35560.1800	0
11	Bisbee, City Of	49289.0700	0
13	Buckeye, Town Of	190599.4500	0
14	Bullhead City, City Of	286656.8900	0
16	Camp Verde, Town Of	80497.9800	0
17	Carefree, Town Of	27637.9100	0
18	Casa Grande, City Of	243594.5800	0
19	Cave Creek, City Of	35755.2200	0
20	Chandler, City Of	1731832.2100	0
22	Chino Valley, Town Of	92463.9200	0
23	Clarkdale, Town Of	27607.8800	0
24	Clifton, Town Of	19475.5600	0
26	Cochise County Treasurer	519447.3700	0

Save Cancel Exit

Gridlist Record: 105/105 Exclusive NUM

Screen of recipients of distribution, used to input amounts.

Arizona State Treasurer's Office FMS RFI

Distribution Entry Screen

Distribution Information | Amount Entry | Exception Entry | Approval Status

☐ Except the Entire Distribution

Recipient ID	Recipient Name	Exception Amount
2	Apache County Treasurer	218252.0700
1	Apache Junction, City Of	0.0000
5	Avondale, City Of	0.0000
8	Benson, City Of	0.0000
11	Bisbee, City Of	0.0000
13	Buckeye, Town Of	0.0000
14	Bullhead City, City Of	0.0000
16	Camp Verde, Town Of	0.0000
17	Carefree, Town Of	0.0000
18	Casa Grande, City Of	0.0000
19	Cave Creek, City Of	0.0000
20	Chandler, City Of	0.0000
22	Chino Valley, Town Of	0.0000
23	Clarkdale, Town Of	0.0000
24	Clifton, Town Of	0.0000

Save Cancel

Exit

Gridlist Record: 105/105 Exclusive NUM

List of distribution recipients, used to input amounts to withhold from distribution. This amount is deducted from the amount entered on the “Amount Entry” page and the net is the amount actually distributed. The net amount is the amount that is used to create the bank wire/bank ach report.

Distribution Entry Screen

Distribution Information | Amount Entry | Exception Entry | Approval Status

Reprint Approval Rpt. ☒ Approved

Approve Distribution ☒ Transferred

Release Distribution ☒ Faxed

Reprint Release Reports ☒ Released

Exit

Gridlist Record: 105/105 Exclusive NUM

Used to generate Approval Report which is used to verify individual recipient amounts. Used to Approve Distribution, Release Distribution. Releasing the distribution created a wire/ACH Out Request. Releasing the distribution also creates entries into the LGIP

Arizona State Treasurer's Office FMS RFI

system as deposits into the recipients account if they have chosen to have their money distributed to their LGIP account instead of being wired to a bank account.

Report Designer - signoff.frx - Page 1 - Distribution System

01/16/08 Arizona State Treasurer's Office Distribution Initialization [SIGNOFF]

Distribution Code: STTAX Distribution Id: 8486
Distribution Period: 12/24/07
Claim Date: 12/18/07 Claim Number: 2LJ08128
Warrant Date: 12/18/07 Warrant Number: 212399143
Input Date: 12/18/07 Total Distribution Amount: 75,061,258.44
Wire Date: 12/24/07 Wire or ACH transfer: ACH
Fax Date: 12/21/07

Input by: _____
Reviewed by: _____
Mailed by: _____
Called by: _____
Sent by: _____

Comment (will appear on Mailer): NOV 07

Cash Management Division Approval: _____

Distmast (Distri/Distmast) Record: EOF/5280 Record Unlocked NUM

This is the 1st page of the Approval Report.

Report Designer - aprdoctest.frx - Page 1 - Distribution System

01/17/08 Arizona State Treasurer's Office Distribution Approval Listing [APRDOCTEST]

Distribution id 8486 Period 12/24/07
Distribution State Sales Tax

Bank Wires/ACH

Recip Code	Recipient Name	Bank	Account #	Amount	Exception	Total
APACH CO	Apache County Treasurer			313,175.08	218,252.07	94,923.01
AVOND	Avondale, City Of			520,318.62	0.00	520,318.62
BENSO	Benson, City Of			35,560.18	0.00	35,560.18
BISBE	Bisbee, City Of			49,289.07	0.00	49,289.07
BUCKE	Buckeye, Town Of			190,599.45	0.00	190,599.45
CAMPVE	Camp Verde, Town Of			80,497.98	0.00	80,497.98
CAREF	Carettee, Town Of			27,637.91	0.00	27,637.91
CAVEC	Cave Creek, City Of			35,755.22	0.00	35,755.22
CHAND	Chandler, City Of			1,731,832.21	0.00	1,731,832.21
CHINO	Chino Valley, Town Of			92,463.92	0.00	92,463.92

Replst Record: 26/105 Exclusive NUM

This is the 2nd page of the Approval Report.

Arizona State Treasurer's Office FMS RFI

**Arizona State Treasurer's Office
Batch ACH Out Request**

Source System: Distributions
 Batch Description: STTAX for 12/24/07
 Wire Batch Number: 27910

Wire Code	Description	Amount
295	Apache County Treasurer	94,923.01
2	Avondale, City Of	520,318.62
3	Benson, City Of	35,560.18
4	Bisbee, City Of	49,289.07
7291	Buckeye, Town Of	190,599.45
1574	Camp Verde, Town Of	80,497.98
7	Carefree, Town Of	27,637.91
1127	Cave Creek, City Of	35,755.22
7285	Chandler, City Of	1,731,832.21
10	Chino Valley, Town Of	92,463.92
12	Clifton, Town Of	19,475.56

Prints a report NUM

Release Report page showing ACH Out Request for recipients whose money is wired (or ACH) to bank accounts. Wire code is unique; it has specific banking information connected to it. It is used by banking department to send money through the banking system.

**Arizona State Treasurer's Office
Batch ACH Out Request**

Source System: Distributions
 Batch Description: STTAX for 12/24/07 - LGIP Batch
 Wire Batch Number: 27911

Wire Code	Description	Amount
6400	Apache Junction, City Of	255,598.00
6402	Bullhead City, City Of	286,656.89
6403	Casa Grande, City Of	243,594.58
6405	Clarkdale, Town Of	27,607.88
93	Colorado City, Town Of	30,608.73
6407	Cottonwood, Town Of	81,473.29
6409	Douglas, City Of	128,999.34
6410	Fountain Hills, City Of	183,742.48
6411	Gilbert, Town Of	1,331,960.49
27	Goodyear, City Of	346,696.55
6414	Guadalupe, Town Of	41,674.39

Replst Record: EOF/105 Exclusive NUM

Release Report page showing reinvestments into LGIP accounts. Wire code is unique, it has specific banking information (could be LGIP account information) connected to it.

Arizona State Treasurer's Office FMS RFI

Report Designer - achsecu2.frx - Page 1 - Distribution System

File Distribution Faxing Reports Maintenance Update Web Help

Print Preview

Give this sheet to Klint or Tim!!!! [ACHSECUR

Run Date 01/16/08 Run Time 15:19:10

ACH Batch Number :	27910
ACH Date :	12/24/07
Distribution Name :	State Sales Tax
# of Debit Transactions :	0
Total Debit Transactions :	0.00
# of Credit Transactions :	106
Total Credit Transactions :	75,061,258.44

Klint or Tim :

This is the information that you need in order to fill out the required fax to accompany the ACH information being sent. Please prepare the security numbers using this data and fax the result to the bank at the appropriate fax number.

It is imperative that the fax be sent on the same day as the electronic file is transferred. Failure to do so will cause the electronic file to be rejected.

File Audited by : _____

Sent to Bank by : _____

Replist Record: EOF/105 Exclusive NUM

This page is generated when the distribution is being sent ACH. This is used to approve the electronic ACH file that was sent to the bank.

Distribution System

File Distribution Faxing Reports Maintenance Update Web Help

Distribution Maintenance CTRL+S
Enter a Distribution CTRL+E
Data Import

ImpForm

Distribution Data Import

Please select a distribution from the drop down list to import.

Distribution Code: CITAX

Total Amount: 0.00

File Name: \\shared\import\CITAX\JAN112008.xls

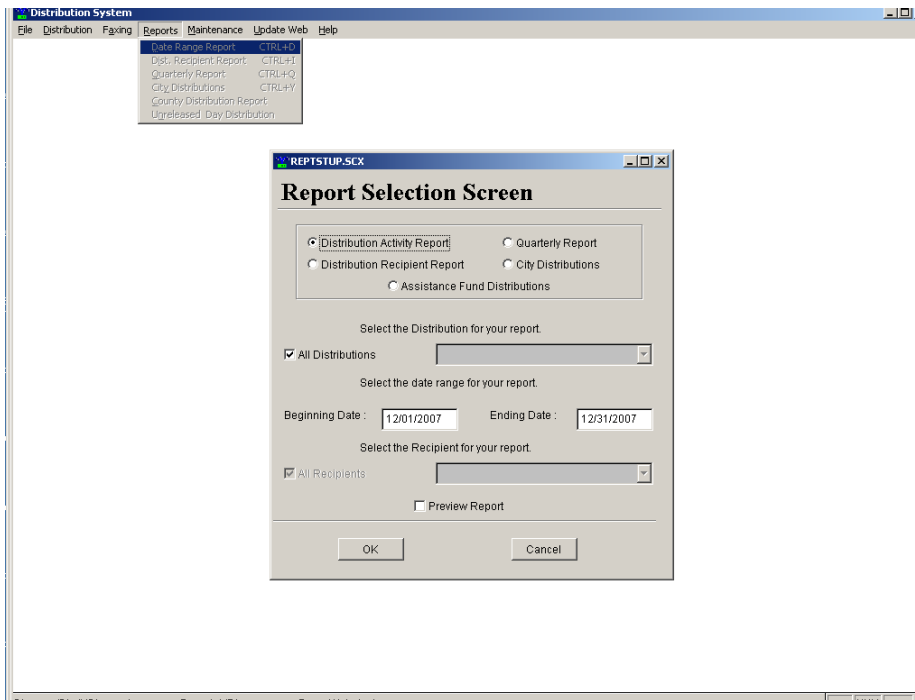
Period Date: 01/11/2008

Import Cancel

Tempcode Record: 1/8 Exclusive NUM

Arizona State Treasurer's Office FMS RFI

If agency distribution information can be imported, this is used to import by selecting a file that has previously been saved into a shared, import drive within the Treasurer's Office system.



Generates report based on criteria selected. Could be distribution type for a specified time period, a specific distribution recipient or specific distribution type for a specified time period.

Arizona State Treasurer's Office FMS RFI

Report Designer - distactv.frx - Page 1

Arizona State Treasurer's Office Distribution Activity Report				
Distribution Type: CAF		Date Range 12/01/07 through 12/31/07		
Period	Distribution Amount	Wire Date	Claim Number	Claim Date
12/21/07	1,350,000.00	12/21/07	2LJ08134	12/18/07
Total for CAF		1,350,000.00	Count: 1	
Distribution Type: CCCP		Date Range 12/01/07 through 12/31/07		
Period	Distribution Amount	Wire Date	Claim Number	Claim Date
12/24/07	269,255.60	12/24/07	2LJ08129	12/18/07
Total for CCCP		269,255.60	Count: 1	
Distribution Type: CCWOR		Date Range 12/01/07 through 12/31/07		
Period	Distribution Amount	Wire Date	Claim Number	Claim Date
12/28/07	1,556,604.10	12/28/07	2LJ08147-148	12/26/07

Distribution System

File Distribution Filing Reports Maintenance Update Web Help

Date Range Report: CTRL+D
 Distribution Recipient Report: CTRL+R
 Quarterly Report: CTRL+Q
 City Distributions: CTRL+Y
 County Distribution Report
 Unreleased Day Distribution

REPORTUP.SCX

Report Selection Screen

☐ Distribution Activity Report
 ☐ Quarterly Report

☒ Distribution Recipient Report
 ☐ City Distributions

☐ Assistance Fund Distributions

Select the Distribution for your report.

☒ All Distributions

Select the date range for your report.

Beginning Date: 12/01/2007
 Ending Date: 12/31/2007

Select the Recipient for your report.

☒ All Recipients

☐ Preview Report

OK Cancel

Distribution (Distribution) Record: 1/74 Record Unlinked INUM

Generates report based on criteria selected. Could be distribution type for a specified time period, a specific distribution recipient or specific distribution type for a specified time period.

Arizona State Treasurer's Office FMS RFI

Report Designer - recpdist.frx - Page 1

01/11/08

Arizona State Treasurer's Office
Distribution Recipient Report

[RECPDIST]

for distributions from 12/01/07 through 12/31/07

Recipient : Keystone Montessori Charter Sc

Distribution	Period	Amount	Exception	Total
CHART	Charter Schools			
CHART	12/17/07	110,252.39	0.00	110,252.39
Total for CHART		110,252.39	0.00	110,252.39
CSITE	Classroom Site Fund - Charter School			
CSITE	12/24/07	7,933.60	0.00	7,933.60
Total for CSITE		7,933.60	0.00	7,933.60
Total for Keystone Montessori		118,185.99	0.00	118,185.99

Distribution System

File Distribution Filing Reports Maintenance Update Web Help

Date Range Report CTRL+D
 Dist. Recipient Report CTRL+H
 Quarterly Report CTRL+Q
 City Distributions CTRL+V
 County Distribution Report
 Unreleased Day Distribution

REPORTSTUP.SCX

Report Selection Screen

☐ Distribution Activity Report ☐ Quarterly Report
☐ Distribution Recipient Report ☒ City Distributions
☐ Assistance Fund Distributions

Select the Distribution for your report.

☒ All Distributions [Dropdown]

Select the date range for your report.

Beginning Date : 12/01/2007 Ending Date : 12/31/2007

Select the Recipient for your report.

☒ All Recipients [Dropdown]

☒ Preview Report

OK Cancel

Generates report based on criteria selected only for cities and towns. Could be distribution type for a specified time period, a specific distribution recipient, or specific distribution type for a specified time period.

Arizona State Treasurer's Office FMS RFI

Report Designer - citywks.frx - Page 1

01/11/08

Arizona State Treasurer's Office
City and Town Distributions

[CITYWKS]

For Distributions from 12/01/07 through 12/31/07

City/Town Recipient	State Shared Sales Taxes	Highway User Revenue	Urban Revenue Sharing	Local Transit Assistance	City Sales Tax	Vehicle License Tax
Apache Junction, City Of	0.00	0.00	0.00	0.00	0.00	90,460.73
Avondale, City Of	0.00	0.00	0.00	0.00	0.00	108,192.90
Benson, City Of	0.00	0.00	0.00	0.00	0.00	9,623.27
Bisbee, City Of	0.00	0.00	0.00	0.00	0.00	13,337.94
Buckeye, Town Of	0.00	0.00	0.00	0.00	0.00	39,598.60
Bullhead City, City Of	0.00	0.00	0.00	0.00	0.00	90,413.64
Camp Verde, Town Of	0.00	0.00	0.00	0.00	0.00	28,812.98
Carefree, Town Of	0.00	0.00	0.00	0.00	0.00	5,734.22
Casa Grande, City Of	0.00	0.00	0.00	0.00	0.00	86,500.83
Cave Creek, City Of	0.00	0.00	0.00	0.00	0.00	7,411.21
Chandler, City Of	0.00	0.00	0.00	0.00	0.00	360,065.97
Chino Valley, Town Of	0.00	0.00	0.00	0.00	0.00	33,498.49
Clarkdale, Town Of	0.00	0.00	0.00	0.00	0.00	9,859.37
Clifton, Town Of	0.00	0.00	0.00	0.00	0.00	11,476.54
Colorado City, Town Of	0.00	0.00	0.00	0.00	0.00	9,655.02
Coolidge, City Of	0.00	0.00	0.00	0.00	0.00	21,792.06

Distribution System

File Distribution Fixing Reports Maintenance Update Web Help

- Date Range Report CTRL+D
- Dist. Recipient Report CTRL+I
- Quarterly Report CTRL+Q
- City Distributions CTRL+Y
- County Distribution Report**
- Unreleased Day Distribution

County Distribution Report

Please enter the date range to report:

From: 01/11/08 To: 01/11/08

☒ Detail Summary

☒ Summary

Preview Cancel Print

Generates report based on criteria selected only for counties. Summary information by county and distribution type.

Arizona State Treasurer's Office FMS RFI

Report Designer - countydist.frx - Page 1

Run Date : 01/11/08 [COUNTYDIST]

Arizona State Treasurer's Office County Distribution Report Detail Summary

FROM 11/01/07 TO 11/21/07

County		Amount	Total Amount	Percentage(%)
APACH CO				
	HURF	593,691.27	19,999,856.29	2.97
	SA	109,508.11	11,625,010.71	0.94
	STTAX	0.00	20,228,708.34	0.00
	TIRE	26,506.22	2,158,860.60	1.23
	VLT	278,646.60	22,789,567.38	1.22
	Subtotal:	1,008,352.20		
COCHI CO				
	HURF	700,859.10	19,999,856.29	3.50
	SA	1,866,663.22	11,625,010.71	16.1
	STTAX	0.00	20,228,708.34	0.00

Distribution System

File Distribution Faxing Reports Maintenance Update Web Help

- Date Range Report CTRL+D
- Dist. Recipient Report CTRL+I
- Quarterly Report CTRL+Q
- City Distributions CTRL+Y
- County Distribution Report
- Unreleased Day Distribution

Form1

Current Day Distribution

This screen will generate a report listing the unreleased distribution(s).

☐ Preview

Detail (Distrib/Detail) Record: 1/103207 Record Unlocked NUM

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Distribution System' application window. The 'Maintenance' menu is open, displaying options: County Maintenance (CTRL+C), Bank Maintenance (CTRL+B), Account Maintenance, Contact Maintenance (CTRL+T), Recipient Maintenance (CTRL+R), and Crosswalk Maintenance (CTRL+W). The 'County Maintenance Screen' (CNTYMANT.SCX) is displayed, featuring input fields for 'County Name' (Apache County) and 'County Number' (1). A toolbar at the bottom includes buttons for Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, and Exit. The status bar at the bottom indicates 'Allows maintenance of the county file.' and a 'NUM' field.

Maintain County information.

The screenshot shows the 'Distribution System' application window with the 'Maintenance' menu open. The 'Bank Maintenance Screen' (BANKMANT.SCX) is displayed, featuring input fields for 'Bank Name' (Access Bank), 'Bank Code' (ACCES), and 'Routing Number'. A checkbox for 'Servicing Bank (S), Non-Servicing (N) or Reinvestment (R)' is checked. A toolbar at the bottom includes buttons for Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, and Exit. The status bar at the bottom indicates 'Allows maintenance of the bank name file.' and a 'NUM' field.

Maintain bank information; add new banks.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Distribution System' application window. The 'Maintenance' menu is open, and 'Account Maintenance' is selected. The 'Account Maintenance Screen' (ACCTMANT.SCX) is displayed. It contains a warning message, input fields for Bank Code (ACB), Account Key (7209), Bank Account Number, and Addenda Information. There are checkboxes for Demand Account and Account Lock. Navigation buttons (Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit) are at the bottom. The status bar at the bottom reads 'Allows for maintenance of the account file. THIS IS FOR I.S. USE ONLY!' and 'NUM'.

Distribution System
File Distribution Faxing Reports Maintenance Update Web Help
County Maintenance CTRL+C
Bank Maintenance CTRL+B
Account Maintenance
Contact Maintenance CTRL+T
Recipient Maintenance CTRL+R
Crosswalk Maintenance CTRL+W

ACCTMANT.SCX
Account Maintenance Screen

WARNING! This Screen is to be used by the IS Department ONLY! If you are not a member of IS, Big Brother is now watching you. Exit before it is too late!

Bank Code: Account Key:
Bank Account Number: Demand Account: ☒
Addenda Information: Account Lock: ☐

Allows for maintenance of the account file. THIS IS FOR I.S. USE ONLY! NUM

Adds a bank account, assigns the unique Account Key # (4digit); the Account Key number is the same as the Wire Code number that is on the Wire/ACH/LGIP Out pages of the Release Reports. The Account Key number must then be linked to the appropriate distribution recipient to be used when information is transmitted to bank.

The screenshot shows the 'Distribution System' application window. The 'Maintenance' menu is open, and 'Contact Maintenance' is selected. The 'Contact Maintenance Screen' (CONTMANT.SCX) is displayed. It contains input fields for Last Name, First Name, Contact Key (3709), Voice Phone, Fax Number, Address, and Backup Contact. There are checkboxes for Demand Account and Account Lock. Navigation buttons (Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, Exit) are at the bottom. The status bar at the bottom reads 'Allows for maintenance of the contact file.' and 'NUM'.

Distribution System
File Distribution Faxing Reports Maintenance Update Web Help
County Maintenance CTRL+C
Bank Maintenance CTRL+B
Account Maintenance
Contact Maintenance CTRL+T
Recipient Maintenance CTRL+R
Crosswalk Maintenance CTRL+W

CONTMANT.SCX
Contact Maintenance Screen

Last Name: First Name: Contact Key:
Voice Phone: Fax Number:
Address:
 AZ
Backup Contact:

Allows for maintenance of the contact file. NUM

Arizona State Treasurer's Office FMS RFI

Maintain distribution recipient contact information. Each recipient has a contact that is used to fax distribution notices to. Contact id is a unique identifier that is system assigned.

Recipient Maintenance Screen

District name: 4-winds Academy, Inc. Recipient Key: 915

Recipient Sort Id: 018752 Organization Type: ☐ City ☐ County ☐ JTPA ☒ Other

County Id Number: Charter Schools

Top Prev Next Bottom End Print Add Edit Delete Exit

Allows for maintenance of the recipient file. NUM

Maintain distribution recipients; add new recipients. Recipient Key is unique identifier that is assigned by the system.

Crosswalk Maintenance Screen

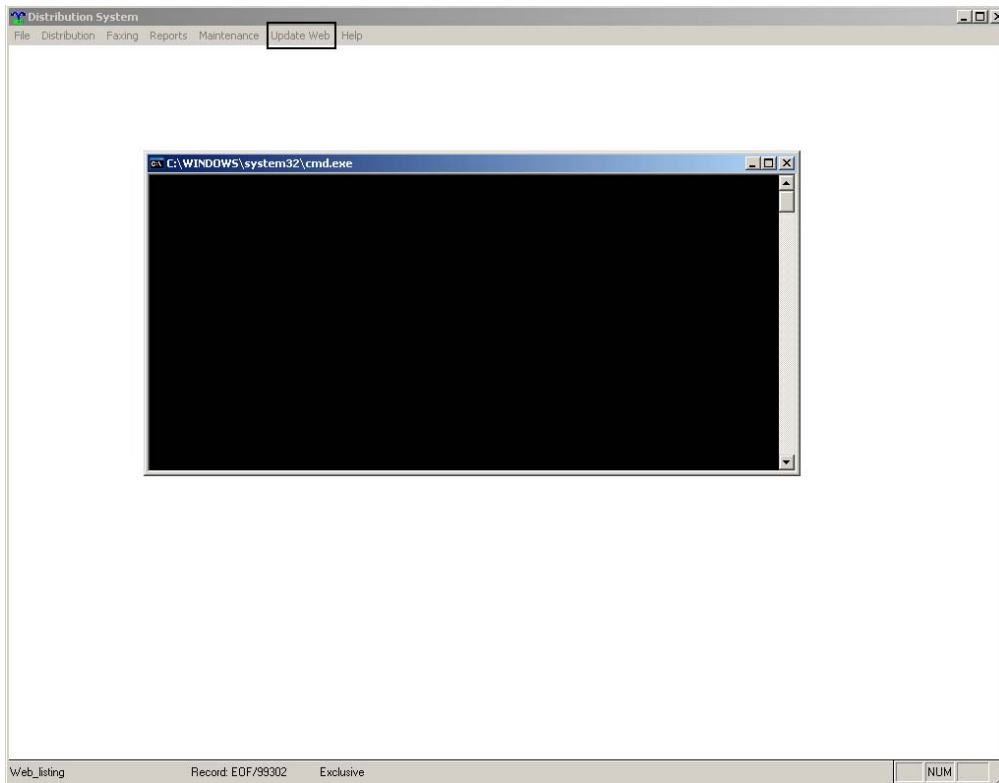
Foreign Key	Recipient ID	Distribution Code
111111	500	CHART
018756	537	CHART
018757	538	CHART
028750	539	CHART
038610	540	CHART
038706	541	CHART
038750	542	CHART
038751	543	CHART

Edit Add Cancel refresh

Allows for maintenance of the Crosswalk table. NUM

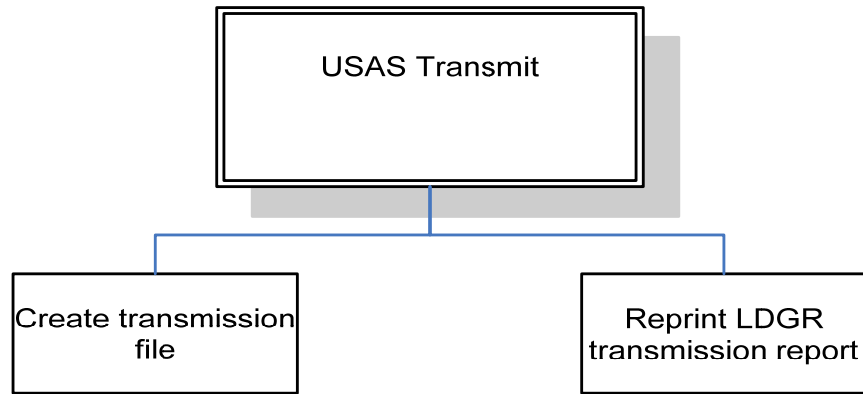
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Matches a recipient id and charter school CTD#. CTD# is Dept of Education's unique identifier for each school and the Recipient ID is the Treasurer office's unique identifier.

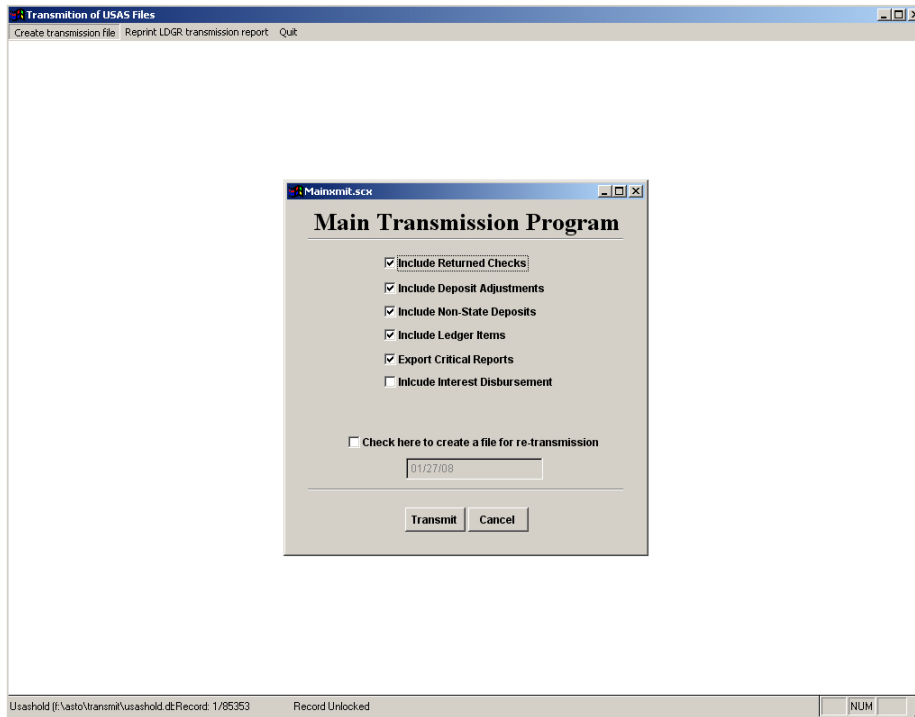


Update web creates a new file that overwrites each night to update the searchable data base on the Treasurer offices' website. (Exports Excel file, which in turn updates an Access database, which is ftp'd)

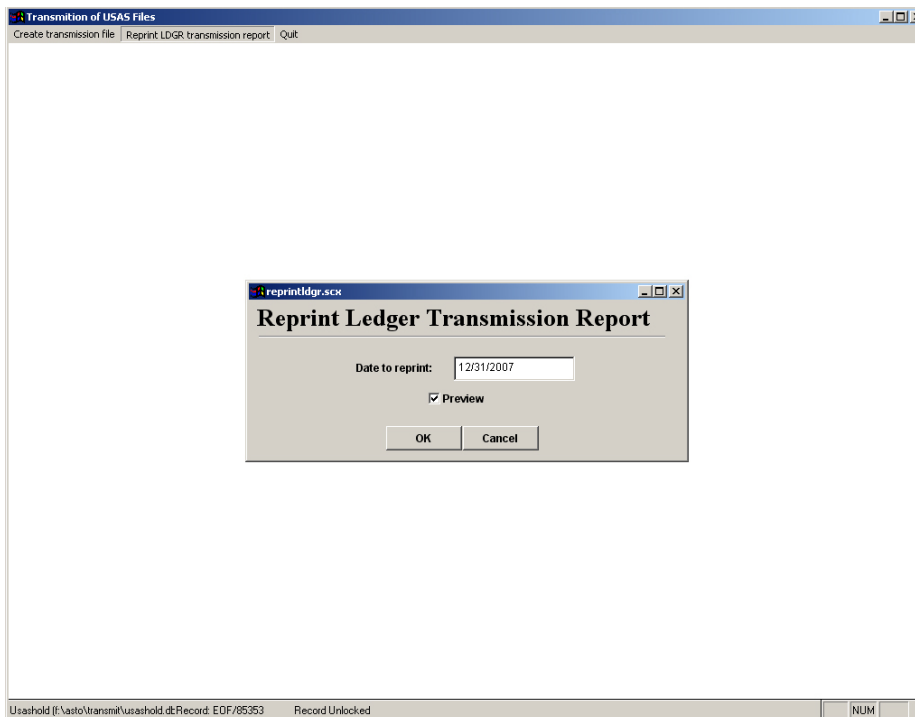
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This system is used at the end of the day to transmit files to USAS.



If it is necessary to reprint you must chose the "Reprint LDGR transmission Report.

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Transmission of USAS Files
Create transmission file Reprint LDGR transmission report Quit

Print Preview
100%

Reprint Ledger Transmission Report
Date to reprint: 12/31/2007

Report Designer - ldrtran.frx - Page 1

Ledger Items Transmitted on 12/31/07
Run on January 27, 08 at 15:29:14

Batch Agy: TRA Batch Type: 6
Batch Date: 12/28/07 Batch #: 206

Doc#:	Suffix	Seq. #	Eff. Date	TC	PCA	Index Description	Comp. GL	Amount
MGP1228	1	22	12/28/07	800	97090	0 GENPUR 12/28/07 167100 CR	9671	2,183,635,538.70
MGP1228	2	23	12/28/07	800	97090	0 GENPUR 12/28/07 321000 DR	210	184,555,000.00
MGP1228	3	24	12/28/07	800	97090	0 GENPUR 12/28/07 321500 DR	215	2,000,000,000.00
MGP1228	4	25	12/28/07	800	97090	0 GENPUR 12/28/07 328100 CR	281	919,461.30
Total for document number MGP1228 :								4,369,110,000.00
Total for batch 206:								4,369,110,000.00

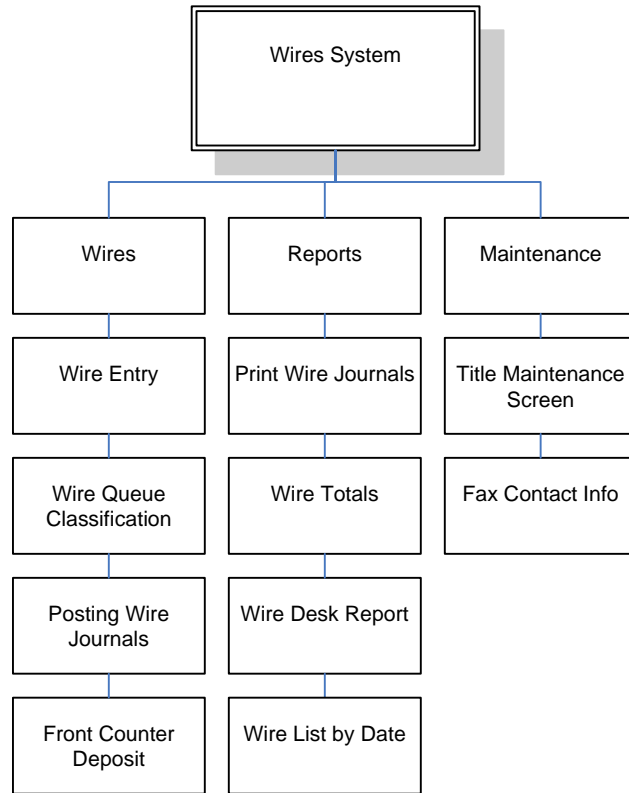
Batch Agy: TRA Batch Type: 6
Batch Date: 12/28/07 Batch #: 207

Doc#:	Suffix	Seq. #	Eff. Date	TC	PCA	Index Description	Comp. GL	Amount
MGS1228	1	26	12/28/07	800	97090	0 GENSALE 12/28/07 167100 DR	9671	2,210,438,385.43
MGS1228	2	27	12/28/07	800	97090	0 GENSALE 12/28/07 229500 CR	295	438,385.43

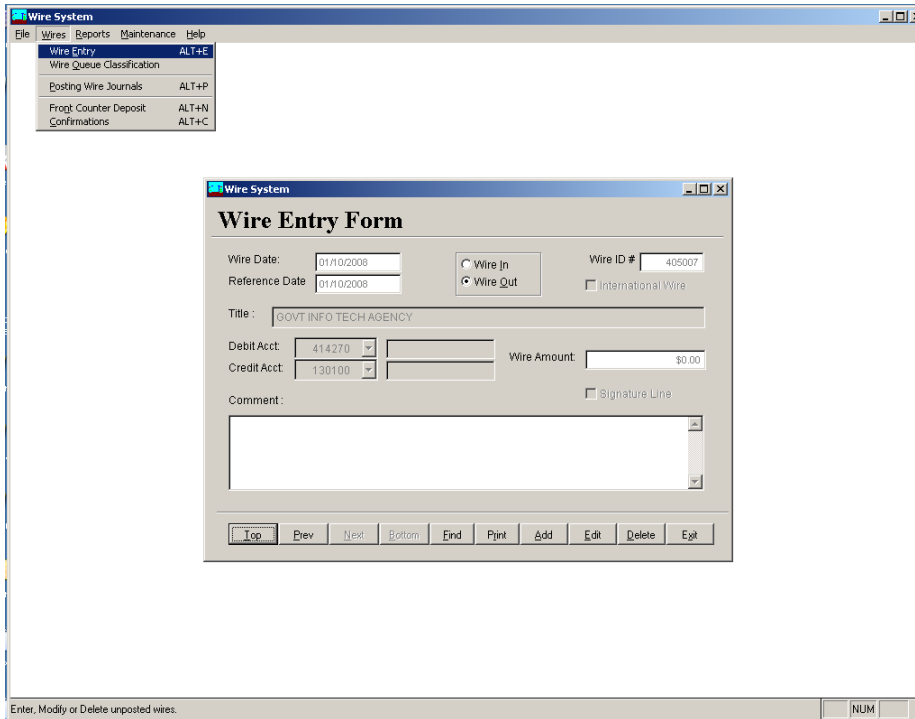
Usashold (I:\asto\transmit\usashold.d\Record: 85318/85353 Record Unlocked

NUM

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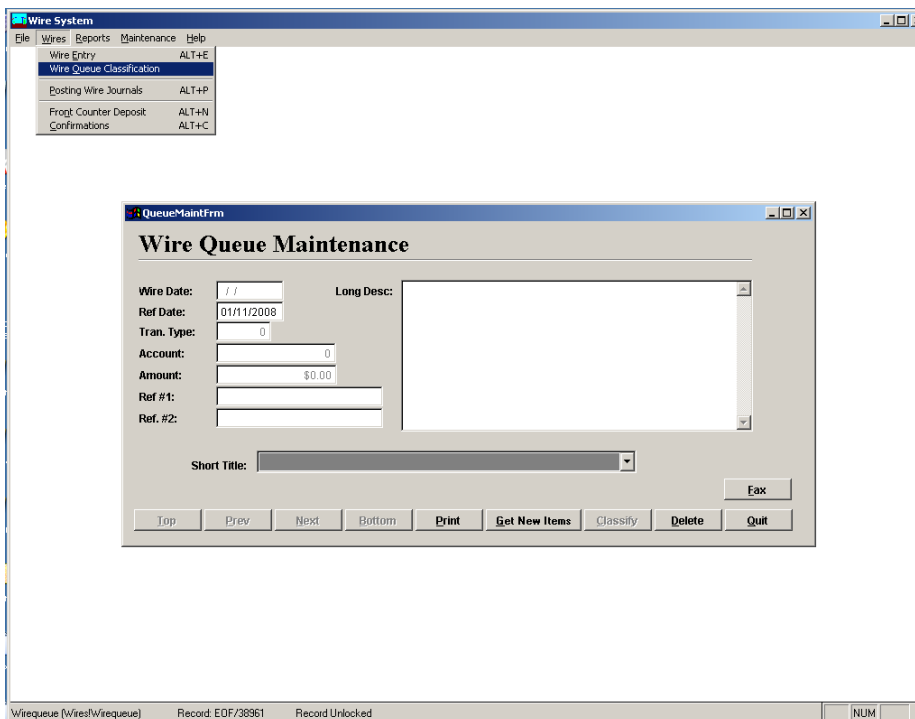


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The image shows a screenshot of the 'Wire System' application. The main window is titled 'Wire System' and contains a menu bar with 'File', 'Wires', 'Reports', 'Maintenance', and 'Help'. The 'Wires' menu is open, showing options: 'Wire Entry' (ALT+E), 'Wire Queue Classification', 'Posting Wire Journals' (ALT+P), 'Frost Counter Deposit' (ALT+N), and 'Confirmations' (ALT+C). The 'Wire Entry' option is selected. Below the menu, there is a 'Wire Entry Form'. The form has the following fields: 'Wire Date' (01/10/2008), 'Reference Date' (01/10/2008), 'Wire ID #' (405007), 'Wire In' (selected), 'Wire Out' (deselected), 'International Wire' (checkbox, deselected), 'Title' (GOVT INFO TECH AGENCY), 'Debit Acct' (414270), 'Credit Acct' (130100), 'Wire Amount' (\$0.00), and 'Comment' (empty text area). At the bottom of the form are buttons: 'Top', 'Prev', 'Next', 'Bottom', 'End', 'Print', 'Add', 'Edit', 'Delete', and 'Exit'. The status bar at the bottom of the application window says 'Enter, Modify or Delete unposted wires.' and 'NUM'.

Manually add/delete wire transactions to the journal.



The image shows a screenshot of the 'Wire System' application. The main window is titled 'Wire System' and contains a menu bar with 'File', 'Wires', 'Reports', 'Maintenance', and 'Help'. The 'Wires' menu is open, showing options: 'Wire Entry' (ALT+E), 'Wire Queue Classification' (selected), 'Posting Wire Journals' (ALT+P), 'Frost Counter Deposit' (ALT+N), and 'Confirmations' (ALT+C). Below the menu, there is a 'Wire Queue Maintenance' form. The form has the following fields: 'Wire Date' (//), 'Ref Date' (01/11/2008), 'Tran. Type' (0), 'Account' (0), 'Amount' (\$0.00), 'Ref #1' (empty), 'Ref #2' (empty), 'Long Desc' (empty text area), 'Short Title' (empty text field), and 'Fax' (checkbox, deselected). At the bottom of the form are buttons: 'Top', 'Prev', 'Next', 'Bottom', 'Print', 'Get New Items', 'Classify', 'Delete', and 'Quit'. The status bar at the bottom of the application window says 'Wirequeue (Wires/Wirequeue)', 'Record: EOF/38961', 'Record Unlocked', and 'NUM'.

Loads incoming wire from servicing bank into a queue.

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The screenshot shows the 'Wire System' application window. The 'Wire Queue Maintenance' window is open, displaying fields for 'Wire Date', 'Ref Date' (01/11/2008), 'Tran. Type', 'Account', 'Amount' (\$0.00), 'Ref. #1', 'Ref. #2', and 'Short Title'. A 'Fax' button is visible. Overlaid on this is a 'FaxFrm' dialog box titled 'Pick the recipients that you'd like to receive this fax:'. It contains a list of recipients with their names and phone numbers. The status bar at the bottom shows 'Wirequeue [WireQueue]', 'Record: EOF/38961', 'Record Unlocked', and a 'NUM' field.

Recipient Name	Phone Number
PAT WADE	96027123257
ADOT GEN LEDGER JENNIFER	96027128471
ADOT	96025420111
ACCOUNTING	96025425940
JOHN STEVENS	96023642897
PAUL	96023641052
MAT KENNEDY	96024951302
OLIVE	
ADOT RIGHT OF WAY	
AGRICULTURE	
ATTORNEY GENERAL	
AUTO THEFT AUTHORITY	
BUILDING & FIRE SAFETY	
COLISEUM	

Fax notification to recipient agency.

The screenshot shows the 'Wire System' application window. The 'Post the Wire journal' dialog box is open, titled 'Post the Wire journal'. It has a 'Date to post:' field set to 01/11/2008 and two radio buttons: 'Wire In' (selected) and 'Wire Out'. 'Continue' and 'Quit' buttons are at the bottom. The status bar at the bottom shows 'Post the Wire Journals.' and a 'NUM' field.

Post the wire journals to the general ledger.

Arizona State Treasurer's Office FMS RFI

The 'Wire System' application window shows the 'Front Counter Deposits Received' dialog box. The dialog has a title bar 'FCTRCLR.SCX' and a main title 'Front Counter Deposits Received'. It includes a 'Front Counter Clear Date' field set to '01/11/2008'. Below this is a table with columns: Wire #, Wire Date, Ref Date, Intl, Title, and Amount. The table contains five rows of data. At the bottom are 'Save' and 'Cancel' buttons. The status bar at the bottom of the application window says 'Enter the Front Counter Deposit Date.' and has a 'NUM' button.

Wire #	Wire Date	Ref Date	Intl	Title	Amount
404411	12/26/2007	12/24/2007	F	WEIGHTS & MEAS - PORTAL	\$ 970.50
404735	12/28/2007	12/27/2007	F	WEIGHTS & MEAS - PORTAL	\$ 12.00
404418	12/26/2007	12/24/2007	F	WIFA LOAN COLLECTIONS	\$ 4,958.25
404538	12/27/2007	12/26/2007	F	WIFA LOAN COLLECTIONS	\$ 202,496.08
404755	12/28/2007	12/27/2007	F	WIFA LOAN COLLECTIONS	\$ 97,877.82

Shows what incoming wires are available from the main servicing bank for the front counter to process.

The 'Wire System' application window shows the 'Print the Wire Journal' dialog box. The dialog has a title bar 'Jnlprint.scx' and a main title 'Print the Wire Journal'. It includes a 'Wire Journal Date' field set to '01/11/2008'. Below this are two radio buttons: 'Wire In' (selected) and 'Wire Out'. There is a 'Preview Only' checkbox which is currently unchecked. At the bottom are 'Print' and 'Cancel' buttons. The status bar at the bottom of the application window says 'Print the posted or unposted journal for the date entered.' and has a 'NUM' button.

Print an unposted/posted version of the journals for verification.

Arizona State Treasurer's Office FMS RFI

Report Designer - jnlprt1.frx - Page 1

Journal Date: 07 December 2007				Arizona State Treasury		[Jnlprt1]	
Run On: 11 January 2008 - 16:43:21				Wire In Journal		Posted Copy	
Transaction Detail Section							
Date	Description	Account	DR	CR			
12/07/2007	401427	Wire in: CHASE BANK	110100 BOA Servicing		361,000.00		
12/07/2007	401427	Wire in: CHASE BANK	130100 BAA Servicing	361,000.00			
12/07/2007	401428	Wire in: BANK OF THE WEST	150100 CFB Servicing			28,000.00	
12/07/2007	401428	Wire in: BANK OF THE WEST	130100 BAA Servicing	28,000.00			
12/07/2007	401430	Wire in: WELLS FARGO BANK - SERVICING	120100 WFB Servicing			359,000.00	
12/07/2007	401430	Wire in: WELLS FARGO BANK - SERVICING	130100 BAA Servicing	359,000.00			
12/07/2007	401431	Wire in: MVD	410400 Credit Items Clearing			4,352.47	
12/07/2007	401431	Wire in: MVD	130100 BAA Servicing	4,352.47			
12/07/2007	401455	Wire in: MVD	410400 Credit Items Clearing			208,805.36	
12/07/2007	401455	Wire in: MVD	110100 BOA Servicing	208,805.36			
12/07/2007	401456	Wire in: MVD	410400 Credit Items Clearing			283,142.78	
12/07/2007	401456	Wire in: MVD	120100 WFB Servicing	283,142.78			
12/07/2007	401457	Wire in: MVD	410400 Credit Items Clearing			15,901.72	
12/07/2007	401457	Wire in: MVD	150100 CFB Servicing	15,901.72			
12/07/2007	401458	Wire in: ARIZONA HIGHWAY MAGAZINE	410400 Credit Items Clearing			22,823.95	
12/07/2007	401458	Wire in: ARIZONA HIGHWAY MAGAZINE	110100 BOA Servicing	22,823.95			
12/07/2007	401459	Wire in: AHCCCS KIDS CARE	410400 Credit Items Clearing			40.00	
12/07/2007	401459	Wire in: AHCCCS KIDS CARE	130100 BAA Servicing	40.00			

Print version of the above.

Wire System

File Wires Reports Maintenance Help

Print Wire Journals ALT+J

Wire Totals

Wire Desk Report ALT+D

International Wire Report ALT+I

Entered Date Report

Wire List by Date

Show me the money

Check Non-Posted Wires

Enter the date you're interested in: 12/31/2007 Go

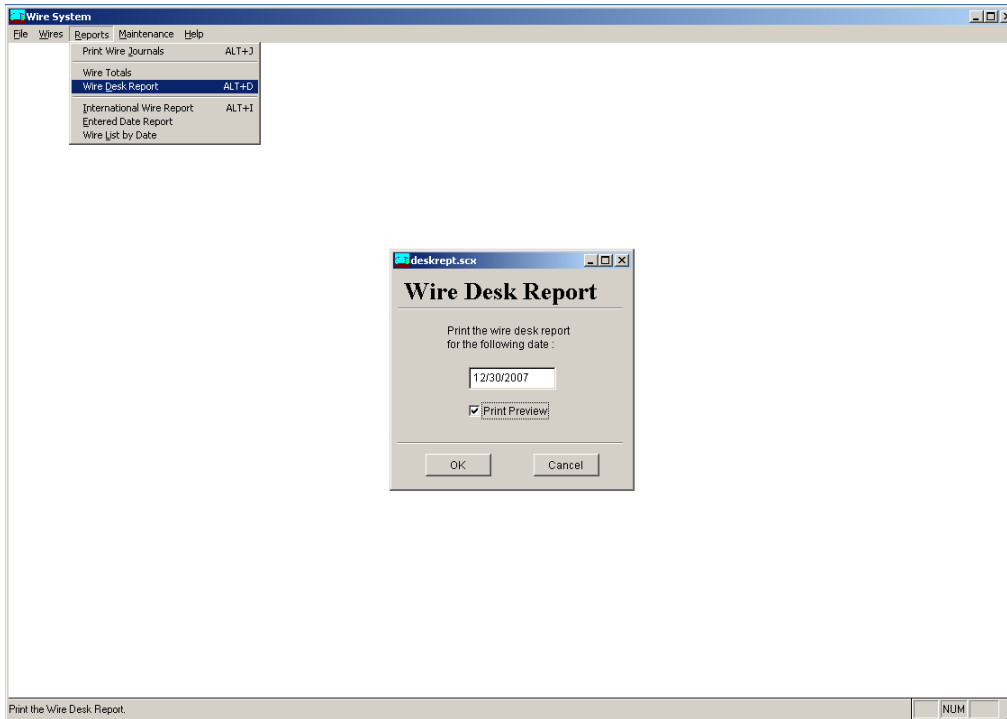
	Wires In:	Wires Out:
Same Day:	91,427,000.65	47,000,940.57
Not Same Day:	74,862,055.20	0.00

Quit

NUM

Report the wire in and wire out totals by date for cash flow purposes.

Arizona State Treasurer's Office FMS RFI



View all outstanding wire transactions by agency.

Report Designer - wiredesk.frx - Page 1

Arizona State Treasurer's Office				Run Date : 01/11/2008
Wire Desk Daily Closing Report				
For 12/30/2007				
Wire In Journal		Wire Out Journal		
Total Items :	0	Total Items :	0	
Total Amount :	\$0.00	Total Amount :	\$0.00	
WIRES IN TRANSIT TO CASHIERS				
Entity	Wire Id	Jnl Date	Amount	
ACH VENDOR RETURNS	404740	12/28/2007	\$139.29	
			\$139.29	
ADOT - AERONAUTICS	404780	12/28/2007	\$7,219.92	
ADOT - AERONAUTICS	404779	12/28/2007	\$7,384.77	
			\$14,604.69	
AGRICULTURE	397217	11/07/2007	\$228.75	
AGRICULTURE	398036	11/14/2007	\$552.50	
AGRICULTURE	400110	11/28/2007	\$5.00	

Print version of the above.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Wire System' application window. A menu is open under 'Wires', listing options: 'Print Wire Journals' (ALT+J), 'Wire Totals' (ALT+D), 'Wire Desk Report' (ALT+D), 'International Wire Report' (ALT+I), 'Entered Date Report', and 'Wire List by Date'. The 'Wire List by Date' option is selected. A dialog box titled 'List Wires by Date' is displayed. It has three radio buttons for 'List wires for:': 'Wire (Journal) Date' (selected), 'Reference (Bank) Date', and 'Creation Date'. Below these are three radio buttons for 'Of type:': 'In' (selected), 'Out', and 'Both'. The 'In the date range:' section shows '01/11/2008' through '01/11/2008'. There is a 'Print Preview' checkbox and 'OK' and 'Cancel' buttons. The status bar at the bottom of the application window shows 'Wirehd (Wire/Wirehd)', 'Record: 8220/124061', 'Record Unlocked', and a 'NUM' button.

Verify outbound wires to the bank statement daily.

The screenshot shows the 'Report Designer - wirelist.frx - Page 1' window. It displays a 'Wire List' report. The report title is 'Wire List' and it includes a timestamp 'Run on 01/11/2008 at 16:49:46' and a filter 'For all wires with wire/jnl. date between 12/01/2007 and 12/30/2007'. The report is titled '[Wirelist]'. The data is presented in a table with the following columns: ID, Wire Date, Short Title, Ref. Date, Create Date, Debit, Credit, and Amount. The table contains 28 rows of data, each representing a wire transaction. The status bar at the bottom shows 'Wirelistcursor', 'Record: 36/3999', 'Exclusive', and a 'NUM' button.

ID	Wire Date	Short Title	Ref. Date	Create Date	Debit	Credit	Amount
400726	12/03/2007	I CHASE BANK	12/03/2007	11/30/2007	130100	110100	193,000.00
400727	12/03/2007	I STOCKMEN'S BANK	12/03/2007	11/30/2007	130100	170100	6,000.00
400728	12/03/2007	I WELLS FARGO BANK - SERVICING	12/03/2007	11/30/2007	130100	120100	302,000.00
400729	12/03/2007	I MVD	12/03/2007	11/30/2007	130100	410400	4,656.84
400793	12/03/2007	O DIST: JTPA - Fort Mohave Indian Tribe	12/03/2007	12/03/2007	414270	130100	26,704.00
400794	12/03/2007	O DIST: JTPA - Gila County Treasurer	12/03/2007	12/03/2007	414270	130100	32,898.00
400795	12/03/2007	O DIST: JTPA - Mohave County Treasurer	12/03/2007	12/03/2007	414270	130100	69,646.00
400796	12/03/2007	O DIST: JTPA - Navajo County Treasurer	12/03/2007	12/03/2007	414270	130100	21,759.00
400797	12/03/2007	I DES - LOCKBOX	11/30/2007	12/03/2007	130100	410400	8,682.08
400798	12/03/2007	I DES OARC CLRG ACCT	11/30/2007	12/03/2007	130100	410400	24,183.19
400799	12/03/2007	I MVD	11/30/2007	12/03/2007	110100	410400	676,162.51
400800	12/03/2007	I MVD	11/30/2007	12/03/2007	120100	410400	309,878.17
400801	12/03/2007	I MVD	11/30/2007	12/03/2007	150100	410400	30,884.73
400802	12/03/2007	I WEIGHTS & MEAS - PORTAL	11/30/2007	12/03/2007	130100	410400	36.00
400803	12/03/2007	I PHARMACY BOARD - PORTAL	11/30/2007	12/03/2007	130100	410400	156.67
400804	12/03/2007	I AHCCCS KIDS CARE	11/30/2007	12/03/2007	130100	410400	189.00
400805	12/03/2007	I MVD	11/30/2007	12/03/2007	130100	410400	228.61
400806	12/03/2007	I RADIATION REG BD PORTAL	11/30/2007	12/03/2007	130100	410400	275.00
400807	12/03/2007	I TECHNICAL REG. PORTAL	11/30/2007	12/03/2007	130100	410400	537.00
400808	12/03/2007	I COSMETOLOGY BOARD PORTAL	11/30/2007	12/03/2007	130100	410400	580.00
400809	12/03/2007	I SUPREME COURT - PORTAL	11/30/2007	12/03/2007	130100	410400	750.00
400810	12/03/2007	I HEALTH SERVICES LICENSING PORTAL	11/30/2007	12/03/2007	130100	410400	800.00
400811	12/03/2007	I DEQ - AUTO EMISSIONS	11/30/2007	12/03/2007	130100	410400	3,786.00
400812	12/03/2007	I INSURANCE LIC REN - PORTAL	11/30/2007	12/03/2007	130100	410400	4,440.00
400813	12/03/2007	I AZ GOVT UNIV DOA PORTAL	11/30/2007	12/03/2007	130100	410400	7,728.00

Print version of the above.

Arizona State Treasurer's Office FMS RFI

The screenshot shows the 'Wire System' application window. The 'Maintenance' menu is open, showing 'Title Maintenance Screen' and 'Fax Contact Info'. The 'Title Maintenance Screen' is displayed as a modal dialog. It has a title bar 'Mainform' and a title 'Title Maintenance Screen'. Below the title is a list box containing the following items: ACCOUNTANCY BOARD, ACH - DISBURSEMENT ACCOUNT, ACH VENDOR ACCOUNT, ADOT, AGRICULTURE, AHCCCS, AHCCCS FREEDOM TO WORK, and ARIZONA HIGHWAY MAGAZINE. At the bottom of the dialog are four buttons: Delete, Add, Edit, and Cancel. The status bar at the bottom of the main window shows 'Shorttitle (WireShorttitle)', 'Record: 5/2431', 'Record Unlocked', and a 'NUM' field.

Maintain agency titles.

The screenshot shows the 'Wire System' application window. The 'Maintenance' menu is open, showing 'Title Maintenance Screen' and 'Fax Contact Info'. The 'Fax Contact Info' is selected, and the 'FaxList.scr' dialog is displayed. The dialog has a title bar 'FaxList.scr' and a title 'Fax Contact Maintenance'. It contains three text input fields: 'Name:' with the value 'PAT WADE', 'Agency:' with the value 'ADOT RIGHT OF WAY', and 'Fax #:' with the value '96027123257'. At the bottom of the dialog are ten buttons: Top, Prev, Next, Bottom, Find, Print, Add, Edit, Delete, and Exit. The status bar at the bottom of the main window shows a 'NUM' field.

Maintain agencies fax information.

**Arizona State Treasurer's Office
FMS RFI**

B. ASTO Functional Requirements Definition

1. Overview Diagram

1 FMS High Level Functional Requirements

The functional-requirements matrix details the business function capabilities the core-services and related information technology (IT) applications solution (FMS) should provide. These requirements reflect directly upon the business needs of the Arizona State Treasurer's Office. The requirements are identified as belonging to one of the following categories:

- **Mandatory (M):** requirement that is determined to be mandatory and essential to ASTO's operations as part of the initial FMSR implementation
- **Optional (O):** requirement that is desired as part of the initial FMSR implementation, but is optional
- **Future (F):** requirement that is determined to be required at some point in the future (approximately one to two years from initial implementation).

To ensure that our selection is adjudicated by the merits of the proposed solutions, the following responses are required for each listed capability. Acceptable vendor responses are indicated in table 1:

Table 1. Acceptable Vendor Responses

Response	Definition
'Off-the-shelf'	Yes, Supplied functionality meets requirement 'off-the-shelf' without customizing.
Level of Customization Effort	Level of Customization Effort Required to meet requirement is H = High, M = Med, L = Low.
Third Party	Functionality requires a third-party partnering arrangement.
Future	Functionality is not currently available but will be available after the next 12 months.

FMS functional requirements are organized into the following areas:

1. **Account Information:** customer account information, including account number, balances, limits, exposures and pledged amounts.
2. **Account Transactions:** system transactions requirements.
3. **Administration:** back-office monitoring, reconciliation and related administration.
4. **Cash Management:** functions required to manage client cash positions and enable customer cash management.

Arizona State Treasurer's Office
FMS RFI

5. Customer Information: all information relating to ASTO customers and their relationships with the ASTO.
6. General Ledger: general ledger, journal and accounting sub-systems posting and reporting requirements.
7. Interfaces: overview of interfaces required.
8. Reporting: daily operational reports, *ad hoc* and customized reporting capabilities.
9. Security: security requirements and system resource access controls.
10. Special Requirements: are unique to the Arizona State Treasurer's Office.
11. Transactions and Processing.
12. Financial tracking and performance monitoring.

**Arizona State Treasurer's Office
FMS RFI**

2 FMS Functional Requirements Matrix

Requirement Category	Requirement Number	Functional Requirement	Requirement Description	'Off-the-shelf'	Level of Customization Effort Required is H = High, M = Med, L = Low	Third Party	Future
M	1	Account information					
	1.1	Customize Account Rules	Flexibility to support account rules on an account level and account-type.				
	1.2	Flag Account Status	Reduction or elimination of account flag process (by providing real-time status attributes via a user-friendly menu).				
	1.3	Attribute Accounts	Associate a flexible list of mandatory information with account number (e.g., customer ID).				
	1.4	Build Account Relationships	Link accounts for balance transfer and total balance aggregation.				
	1.5	Consistent Account Balances	Consistent display of account balances across multiple users-access channels (phone, paper statements, Internet). Account balance updates real-time across all channels that makeup the customer relationship.				
	1.6	Control and Security	Associate account creation, access and activity with flexible security permission scheme. Force resetting of passwords at predetermined periods. Assign unique passwords to individual users.				
	1.7	Inquire Customer Status	Flexibility for grouping and analyzing accounts according to status.				
	1.8	Support Standing Orders	Standing orders established/monitored on a date-basis.				
	1.9	Flexible Accounts	Ability of the core-system to recognize and/or post non-core positions (e.g., investments, treasury products).				
	1.10	Set Flexible Limits	Hierarchical structure for account limit setting (e.g., by client, account type, product, branch, business unit, etc.).				

**Arizona State Treasurer's Office
FMS RFI**

Requirement Category	Requirement Number	Functional Requirement	Requirement Description	'Off-the-shelf'	Level of Customization Effort Required is H = High, M = Med, L = Low	Third Party	Future
	1.11	Online Search	Dynamic online searches for customer records, account number, name searches, agency searches, telephone number, etc. (support for all fields).				
	1.12	Recurring Transactions	Associate transactions with periodic intervals or set times.				
	1.13	Sub-Accounts	Store reference name subs-same customer name, but different sub-accounts.				
	1.14	Transaction Historian	Analyze and report performance and historical transaction information by time period or account type.				
M	2	Account transactions					
	2.1	Open Accounts	Capture name, address, statement frequency, notes.				
	2.2	Handle Split Transactions	Accept deposit transaction and split credit to multiple accounts. And, ability to handle single-deposit transaction with multiple "split" value dates.				
	2.3	Select Account Numbers	Customize account number.				
	2.4	Close Accounts	Flag accounts as closed without deletion from system database.				
	2.5	Maintain Accounts	Perform maintenance (non-financial) or non-banking "static" transactions without creating journal entries.				
	2.6	Open Accounts	Straightforward account opening including user defined number generation.				
	2.7	Transfer Accounts	Accommodate transfer of funds between accounts.				
	2.8	Automated Settlement and Clearing	Automated matching of all inter- and intra-bank journal entries according to dual-entry accounting standards.				
	2.9	Back Date Value	Validate back value-date and ensure that it is a correct date with rules.				

**Arizona State Treasurer's Office
FMS RFI**

Requirement Category	Requirement Number	Functional Requirement	Requirement Description	'Off-the-shelf'	Level of Customization Effort Required is H = High, M = Med, L = Low	Third Party	Future
	2.10	Balance Reporting	Consistent, real-time balance requests from any point-of-contact, as well as improved exception reporting/trial balance for internal reconciliation between systems.				
	2.11	Cash Deposits	Handle deposit of cash monies.				
	2.12	Deposits and Withdrawals	Support deposits and withdrawals, and real-time account balances between.				
	2.13	Dormancy Rules	Set rules for account dormancy (manually). Manually set rules for account dormant or restrict action if rules are not met.				
	2.14	Fund Transfer	Generate fund transfers with proper formatting.				
	2.15	Online Reports	Review reports online and print on demand. Reports should be available for seven years.				
	2.16	Post	Posting for each individual item.				
	2.17	Reactivate Dormant Accounts	Set rules for reactivating dormant accounts. Rules should be set to ensure that accounts are reactivated only through manual process and with supervisory approval.				
	2.18	Post Transactions to FMS Real-Time	All transactions should be validated and posted online, in real-time, to the appropriate journal.				
	2.19	Report Supervisor Exceptions	Production of exception reports with legible and meaningful reasons for the acceptance of the exception.				
	2.20	NSF Checks	Handle all transactions associated with NSF checks.				
	2.21	Transaction Defaults	Default transaction fields and parameters based on transaction type. The ability to flexibly define the default position and information available per transaction type.				
	2.22	Transaction Definitions	Create, amend, delete, update transaction header and create live parameter file.				

**Arizona State Treasurer's Office
FMS RFI**

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	2.23	Transfer and Exchanges	Transfer balances between linked accounts.				
M	3	Administration					
	3.1	Amend Accounts	Modify account details after account has been set, subject to dual control, as necessary. Both front- and back-office areas can do this.				
	3.2	Maintain Error Message File	Create, amend and delete a message.				
	3.3	Maintain Help Text	Create, amend and delete text record.				
	3.4	Implementation of Limits	Flexible application of limits/accounts/group of accounts/sub-accounts.				
	3.5	Monitor Accounts	Monitor selected accounts according to risk or other parameters.				
	3.6	Security Mechanism for Log-In and Log-Out	Hierarchy of security for user IDs and access to online and offline system capabilities.				
M	4	Cash Management					
	4.1	Customer Support	Online access for the customer to view their accounts.				
	4.2	Execute Transactions	Client-activated or relationship-manager-activated.				
	4.3	Flexible Reporting	Client-initiated and relationship-manager-initiated.				
	4.4	Industry-Standard Accounting Packages	Flexibility to conform to evolving local and international standards, as well as client-run applications via XML.				
	4.5	Monitoring/Tracking	Client and relationship manager perspective on cash balances, investments, performance, etc.				
	4.6	Security/Logging/Audit Trail	Identification, archival and retrieval of all transaction and other system-input records.				

**Arizona State Treasurer's Office
FMS RFI**

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M	5	Customer Information					
	5.1	Aggregate Relationships	View 360-degree relationship with ASTO's customer across the entire customer position and/or transaction history, including all customers in relationship, accounts, products, aggregate balances and limits.				
	5.2	Custom Aggregate Balances	View account balances across all accounts, available funds and pledged/earmarked funds.				
	5.3	Classify Customer	Segment and aggregate all information by customer type, balances, date range, type of account, type of customer, type of transaction, etc.				
	5.4	Customer Relationship	Link and identify related customer accounts and sub-accounts. Must be able to provide link to oversight agency as well as account owner.				
	5.5	Enhanced Query Capabilities	Flexible ability to search for and identify client information by any account parameter.				
	5.6	Flexible Account Numbers	Assign "easy number" (user defined – alpha/numeric) in such a way as not to compromise security, and to ensure that account numbers are not reused.				
	5.7	Integrator with Auto-Dialer	Customer information records should interface with auto-dialer facilities. This is a potential future requirement for ASTO.				
	5.8	Rules-Based Relationships	Associate flexible business rules with client relationships (e.g., customer preferences, limits and ticklers).				
	5.9	Contact Lists	Produce customer lists (name, address, customer list, etc.) to direct-mailing system, with ability to generate proactive prompts for interactions.				

**Arizona State Treasurer's Office
FMS RFI**

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	5.10	Notes/Comments/Requirements	Ability to incorporate free-form text notices to client records, with expiry capability.				
	5.11	Relationship/Contact History	Integration of historical interactions with client records (correspondence, complaints, past transaction, contact annotations, "moments of truth").				
	5.12	Call/Contact Tracking	Ability to identify and report on historical activities for certain clients and client groups.				
M	6	General Ledger					
	6.1	Accounting Standards	Flexible control over chart of accounts and journal process to conform to GASB and FASB regulations and rules for Ownership Accounting.				
	6.2	Archival Capability	Support online access to fully relational historical transaction data for past 36 months, plus 48 additional months offline.				
	6.3	Asset/Liability Manager	Should support daily asset and liability reporting.				
	6.4	Automated Account Generator	Auto generate accounts required to support transactions according to modifiable business rules.				
	6.5	Automatic Posting	Auto posting with rules from other core systems and deposit processing.				
	6.6	Reconciliation	The G/L system must provide an auto-reconciliation function for the sub-ledgers related to a parent ledger.				
	6.7	Average Balances	Calculate account balances according to flexible parameters (monthly or daily balances).				
	6.8	Batch and Online Transaction Capability	Perform both Batch and Online transactions as deemed necessary.				
	6.9	General Journal	Correct any transaction detail of associated journal entries.				

**Arizona State Treasurer's Office
FMS RFI**

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	6.10	Daily Closing	End-of-day reconciliation should provide trial balance, suspense accounting and sign-off capabilities.				
	6.11	Date Capability	Back-date transactions.				
	6.12	Export Data	Export G/L information into Excel spreadsheet, etc.				
	6.13	Historical Analysis	Back-test risk models, product development analysis, etc.				
	6.14	Journal Inquiry	To display totals, print, scan and print journal.				
	6.15	Management Reporting	Flexible reporting capability for stakeholders; modifications should be in purview of DBA, not code developers. Must be compatible with BI applications.				
	6.16	Reference Number	Use traceable reference numbers on transactions sent to G/L in batch.				
	6.17	Non-Update Mode	View changes and entries in a non-update "what-if" mode (i.e. interest postings).				
	6.18	Online Correction	Perform real-time corrections of posted transactions in individual journals with rules.				
	6.19	Open Transaction Ledger	Open transaction ledgers for more than a day without affecting transaction entries for subsequent days.				
	6.20	Point-In-Time Recovery	Back up transaction log and G/L balance to any desired point in time, to recover from corruption of either G/L or transaction history data.				
	6.21	Regulatory Reporting	Automatically generate required external reports.				
	6.22	Sub-Ledger	Tightly coupled ledgers such that a change to a sub-ledger automatically updates its parent ledger(s).				
M	7	Interfaces					
	7.1	Download Data	Download and upload information to and from the Arizona Department of Administration USAS.				

**Arizona State Treasurer's Office
FMS RFI**

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M	8	Reporting					
	8.1	<i>Ad Hoc</i> Capabilities	Flexible report abilities supportable as much as possible by non-technologists, spanning customer and account information across the entire ASTO.				
	8.2	Consolidated Statements	Support consolidated account statements that reflect all accounts held, regardless of type (total customer position); set delivery period.				
	8.3	Customer Statements	Provide customer statements on demand, and the ability to view individual deposit and withdrawal transactions in multiple formats.				
	8.4	Customized Reports	Scope and frequency of reports should be established by each group that requires the data, with parameters being set by other responsible groups, e.g., FCD for accounting rules or RAD for risk rules.				
	8.5	Forecasting	Perform "what-if" type of forecasting based on parameter-driven approach.				
	8.6	MIS, EIS Reports	Define, generate online and archive management reporting.				
	8.7	Reconciliation	Automated generation of reconciliation between General Ledger and bank info with highlighted breaks and fails, potentially with intelligent capabilities for streamlining correction accounting.				
	8.8	Supervisor Review and Editing	Ability to refer all necessary elements of select transactions to supervisor or original maker for review and potential editing, with ability to enforce multi-level authorization control in this context.				
	8.9	Transaction Narrative	Ability to select from a list of transaction narratives to describe transaction, or by using free-form text.				
M	9	Security					

**Arizona State Treasurer's Office
FMS RFI**

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	9.1	Assign Security	Assign/modify security access to individuals based on role and profile (template). .				
	9.2	Physical Device and Location Audit	Report and audit specific location where transaction occurred.				
	9.3	Auto Log-Off	Forced time-out based on time parameters. Time-out parameters should be flexible, based on job role and function.				
	9.4	Blocking Certain Types of Passwords	Blocking use of certain passwords, such as easily guessed passwords, passwords based on the user ID, and passwords containing words from a dictionary.				
	9.5	Data Encrypting	Encrypt passwords and customer-sensitive data based on industry-standard encryption mechanisms.				
	9.6	Security Access	Deletion of security access and removal of access privileges in-real-time.				
	9.7	Exception and Violation Reporting	Automatically generate exception reports for security violations (e.g., exceeding maximum number of password entries).				
	9.8	Flexible Security Administration	Easy administration of security (establishing profiles and audit capabilities) by authorized personnel. Perform this function from a central location.				
	9.9	Flexible Security Reporting	Perform user profile reporting easily, using flexible reporting mechanism.				
	9.10	Forced Token in Password	Force use of a token and password for high-risk applications/transactions, such as security administration and funds transfers over a set minimum figure.				
	9.11	LDAP	Support for LDAP security infrastructure. This includes single sign-on from user's workstation.				

**Arizona State Treasurer's Office
FMS RFI**

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	9.12	Modify Security	Modify an individual's security access by assigning them a new role. Modification to security access should be straightforward, based on selection of certain functions or transactions.				
	9.13	Password Expiration	Automatic expiration of user passwords.				
	9.14	Password Length	Support specified minimum password length.				
	9.15	Profile- (Template-) Based Security Administrator	Granting security access using role-based templates. Individual access is based on functions and transactions allowed within role.				
	9.16	Repeated Use of Password	Enforce input of additional or repeated password on certain high-risk transactions to ensure the user has not left the terminal unattended.				
	9.17	Required Alphanumeric Characters in Password	Enforce use of alpha and numeric characters in password.				
	9.18	Restrict Access by Account Type	Restrict access to certain accounts or account groupings. Restricted access should be at transaction level.				
	9.19	Access Revocation	Blocking the ID after three failed attempts.				
	9.20	Security Audit Trail	Generate robust security audit reports describing who, what, when and where security was assigned, modified or deleted.				
	9.21	Security Authorization	Support of authorization workflow for secondary approval of security access or modifications.				
	9.22	Link Security to Physical Device	Restrict access to system and system's functions based on physical location of terminal.				

**Arizona State Treasurer's Office
FMS RFI**

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	9.23	Session Manager	Ability to restrict single/multiple session(s) per user, e.g., user should only be allowed to be logged on at one workstation at a time.				
	9.24	Report Unusual Activity	Generate exception reports on a criteria-based method, to detect unusual frequency of transactions just within limit, etc.				
	9.25	Validate User	Ensure that operator is recorded as the user who is entering the transaction. This should handle cases when original operator steps away from terminal, but has not logged off.				
M	10	Special Requirements					
	10.1	Peak Volumes	Handle peak volumes of approximately 100,000 transactions in a day.				
	10.2	Tickler File	Ability to allow for information to be passed from one area of responsibility to another area of responsibility.				
	10.3	User Access	Handle 20 (scalable to 25) internal concurrent users and administrators, 200 concurrent external users (via web access) with future scalability.				
M	11	Transactions					
	11.1	Reverse Transactions	Process transaction reversals.				
O	12	Financing Reporting					
	12.1	Budget	Ability to budget at department level.				
	12.2	Cost center tree-structure	Ability to move cost centers within a "tree" structure. Ability to move accounts/cost centers within "tree".				
	12.3	Scenario developer	Ability to create scenarios based on different assumptions.				
	12.4	Base and new business reporting	Ability to budget at line of business department levels and customer.				

**Arizona State Treasurer's Office
FMS RFI**

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	12.5	Budget unit drill-down	Ability to breakdown what the budget number is made up of (line item schedules).				
	12.6	Budget tracker	Ability to track the budget process by user.				
	12.7	Ad hoc reporting	Ability to customize reports, Ability to create graphs, Ability to file and retrieve reports electronically.				
	12.8	Budget timeframe drill-down	Ability to budget either by month, quarter, or year.				
	12.9	Ad hoc rollup	Ability to have alternate rollups.				
	12.10	Multiple funding source capability	Ability to have more than one budget source file per year in General Ledger.				
	12.11	Budget version (What ifs)	Ability to create more than one budget versions at a time.				
	12.12	Formula and method (What ifs)	Ability to change formulas and methods.				
	12.13	Intra-year what ifs	Ability to create budgets based on year to date actuals, prior budgets, current budgets, year to date averages, or combination thereof.				
	12.15	Unit spread algorithms	Be able to spread information by a variety of ways – evenly, by account, by statistic, by account from last year.				
	12.16	Personnel spreads	Ability to budget personnel by individual information (including fringes, taxes, raises).				
	12.17	Historical look-back	Ability to have history at least five years back.				
	12.18	Look-forward	Budget at least five years out.				
	12.19	Project analysis	Be able to create cash flows based on Capital Projects for at least a 5-yr time frame.				
	12.20	Self-contained reporting	Generate cash flow report without use of additional software.				

**Arizona State Treasurer's Office
FMS RFI**

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	12.21	Export functionality	Ability to export information out as different file extensions or save as different file extensions.				
	12.22	Multiple functional roles	Ability to have "users" access their budgets to create, adjust, or review them General Ledger.				
	12.23	Allocations	Be able to perform allocations.				
	12.24	GL import/export	Ability to import/export data to/from general ledger.				
	12.25	MS Word interface	Ability to paste reports directly to Word documents or other software.				
	12.26	MS Excel interface	Ability to export inquiry results directly to spreadsheet.				
	12.27	GL-source document drill-down	Ability to "drill down" to source documents and from source documents to G/L.				
	12.28	Investment tracking	Investment tracking.				
	12.29	Interest tracking	Interest accrual.				
	12.30	Cash position forecast	Cash forecasting.				
	12.31	Chart of accounts	Ability to have chart of accounts linked to budget software.				
	12.32	Balance sheet	Must be able to produce schedules for balance Sheet requirements.				
	12.33	Statements	Must be able to produce monthly and annual financial statements.				